Deploying and Configuring VMware Unified Access Gateway

Unified Access Gateway 3.3
You can find the most up-to-date technical documentation on the VMware website at:
https://docs.vmware.com/
If you have comments about this documentation, submit your feedback to
docfeedback@vmware.com
# Contents

Deploying and Configuring VMware Unified Access Gateway  

## 1 Preparing to Deploy VMware Unified Access Gateway  
Unified Access Gateway as a Secure Gateway  6  
Using Unified Access Gateway Instead of a Virtual Private Network  7  
Unified Access Gateway System and Network Requirements  7  
Firewall Rules for DMZ-Based Unified Access Gateway Appliances  9  
Unified Access Gateway Load Balancing Topologies  16  
DMZ Design for Unified Access Gateway with Multiple Network Interface Cards  18  
Upgrade with Zero Downtime  21  
Deploying Unified Access Gateway Without Network Protocol Profile (NPP)  23  
Join or Leave the Customer Experience Improvement Program  23  

## 2 Deploying Unified Access Gateway Appliance  25  
Using the OVF Template Wizard to Deploy Unified Access Gateway  25  
Deploy Unified Access Gateway Using the OVF Template Wizard  26  
Configuring Unified Access Gateway From the Admin Configuration Pages  31  
Configure Unified Access Gateway System Settings  32  
Change NIC Settings  33  
Configure User Account Settings  34  
Update SSL Server Signed Certificates  36  

## 3 Using PowerShell to Deploy Unified Access Gateway  38  
System Requirements to Deploy Unified Access Gateway Using PowerShell  38  
Using PowerShell to Deploy the Unified Access Gateway Appliance  39  

## 4 Deployment Use Cases for Unified Access Gateway  43  
Deployment with Horizon and Horizon Cloud with On-Premises Infrastructure  43  
Support for IPv4 and IPv6 Dual Mode for Horizon Infrastructure  48  
Advanced Edge Service Settings  48  
Configure Horizon Settings  51  
Blast TCP and UDP External URL Configuration Options  54  
Endpoint Compliance Checks for Horizon  55  
Deployment as Reverse Proxy  56  
Configure Reverse Proxy With VMware Identity Manager  58  
Deployment for Single Sign-on Access to On-Premises Legacy Web Apps  62  
Identity Bridging Deployment Scenarios  63  
Configuring Identity Bridging Settings  66
<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>VMware AirWatch Components on Unified Access Gateway</td>
<td>79</td>
</tr>
<tr>
<td>Deploying VMware Tunnel on Unified Access Gateway</td>
<td>80</td>
</tr>
<tr>
<td>About TLS Port Sharing</td>
<td>83</td>
</tr>
<tr>
<td>Content Gateway on Unified Access Gateway</td>
<td>83</td>
</tr>
<tr>
<td>Additional Deployment Use Cases</td>
<td>84</td>
</tr>
<tr>
<td>5 Configuring Unified Access Gateway Using TLS/SSL Certificates</td>
<td>86</td>
</tr>
<tr>
<td>Configuring TLS/SSL Certificates for Unified Access Gateway Appliances</td>
<td>86</td>
</tr>
<tr>
<td>Selecting the Correct Certificate Type</td>
<td>86</td>
</tr>
<tr>
<td>Convert Certificate Files to One-Line PEM Format</td>
<td>87</td>
</tr>
<tr>
<td>Change the Security Protocols and Cipher Suites Used for TLS or SSL Communication</td>
<td>89</td>
</tr>
<tr>
<td>6 Configuring Authentication in DMZ</td>
<td>91</td>
</tr>
<tr>
<td>Configuring Certificate or Smart Card Authentication on the Gateway Appliance</td>
<td>91</td>
</tr>
<tr>
<td>Configure Certificate Authentication on Unified Access Gateway</td>
<td>92</td>
</tr>
<tr>
<td>Obtain the Certificate Authority Certificates</td>
<td>93</td>
</tr>
<tr>
<td>Configure RSA SecurID Authentication in Unified Access Gateway</td>
<td>95</td>
</tr>
<tr>
<td>Configuring RADIUS for Unified Access Gateway</td>
<td>96</td>
</tr>
<tr>
<td>Configure RADIUS Authentication</td>
<td>96</td>
</tr>
<tr>
<td>Configuring RSA Adaptive Authentication in Unified Access Gateway</td>
<td>98</td>
</tr>
<tr>
<td>Generate Unified Access Gateway SAML Metadata</td>
<td>100</td>
</tr>
<tr>
<td>Creating a SAML Authenticator Used by Other Service Providers</td>
<td>101</td>
</tr>
<tr>
<td>Copy Service Provider SAML Metadata to Unified Access Gateway</td>
<td>102</td>
</tr>
<tr>
<td>7 Troubleshooting Unified Access Gateway Deployment</td>
<td>103</td>
</tr>
<tr>
<td>Monitoring the Health of Deployed Services</td>
<td>103</td>
</tr>
<tr>
<td>Troubleshooting Deployment Errors</td>
<td>104</td>
</tr>
<tr>
<td>Troubleshooting Errors: Identity Bridging</td>
<td>106</td>
</tr>
<tr>
<td>Troubleshooting Errors: Cert-to-Kerberos</td>
<td>108</td>
</tr>
<tr>
<td>Troubleshooting Endpoint Compliance</td>
<td>109</td>
</tr>
<tr>
<td>Troubleshooting Certificate Validation in the Admin UI</td>
<td>110</td>
</tr>
<tr>
<td>Troubleshooting Firewall and Connection Issues</td>
<td>110</td>
</tr>
<tr>
<td>Troubleshooting Root Login Issues</td>
<td>112</td>
</tr>
<tr>
<td>About the Grub2 Password</td>
<td>114</td>
</tr>
<tr>
<td>Collecting Logs from the Unified Access Gateway Appliance</td>
<td>114</td>
</tr>
<tr>
<td>Export Unified Access Gateway Settings</td>
<td>116</td>
</tr>
</tbody>
</table>
Deploying and Configuring VMware Unified Access Gateway

*Deploying and Configuring Unified Access Gateway* provides information about designing VMware Horizon®, VMware Identity Manager™, and VMware AirWatch® deployment that uses VMware Unified Access Gateway™ for secure external access to your organization's applications. These applications can be Windows applications, software as a service (SaaS) applications, and desktops. This guide also provides instructions for deploying Unified Access Gateway virtual appliances and changing the configuration settings after deployment.

**Intended Audience**

This information is intended for anyone who wants to deploy and use Unified Access Gateway appliances. The information is written for experienced Linux and Windows system administrators who are familiar with virtual machine technology and data center operations.
Preparing to Deploy VMware Unified Access Gateway

Unified Access Gateway functions as a secure gateway for users who want to access remote desktops and applications from outside the corporate firewall.

**Note** VMware Unified Access Gateway® was formerly named VMware Access Point.

This chapter includes the following topics:

- Unified Access Gateway as a Secure Gateway
- Using Unified Access Gateway Instead of a Virtual Private Network
- Unified Access Gateway System and Network Requirements
- Firewall Rules for DMZ-Based Unified Access Gateway Appliances
- Unified Access Gateway Load Balancing Topologies
- DMZ Design for Unified Access Gateway with Multiple Network Interface Cards
- Upgrade with Zero Downtime
- Deploying Unified Access Gateway Without Network Protocol Profile (NPP)
- Join or Leave the Customer Experience Improvement Program

**Unified Access Gateway as a Secure Gateway**

Unified Access Gateway is an appliance that is normally installed in a demilitarized zone (DMZ). Unified Access Gateway is used to ensure that the only traffic entering the corporate data center is traffic on behalf of a strongly authenticated remote user.

Unified Access Gateway directs authentication requests to the appropriate server and discards any unauthenticated request. Users can access only the resources that they are authorized to access.

Unified Access Gateway also ensure that the traffic for an authenticated user can be directed only to desktop and application resources to which the user is actually entitled. This level of protection involves specific inspection of desktop protocols and coordination of potentially rapid changing policies and network addresses, to accurately control access.

Unified Access Gateway acts as a proxy host for connections inside your company’s trusted network. This design provides an extra layer of security by shielding virtual desktops, application hosts, and servers from the public-facing Internet.
Unified Access Gateway is designed specifically for the DMZ. The following hardening settings are implemented.

- Up-to-date Linux Kernel and software patches
- Multiple NIC support for Internet and intranet traffic
- Disabled SSH
- Disabled FTP, Telnet, Rlogin, or Rsh services
- Disabled unwanted services

**Using Unified Access Gateway Instead of a Virtual Private Network**

Unified Access Gateway and generic VPN solutions are similar as they both ensure that traffic is forwarded to an internal network only on behalf of strongly authenticated users.

Unified Access Gateway advantages over generic VPN include the following.

- **Access Control Manager.** Unified Access Gateway applies access rules automatically. Unified Access Gateway recognizes the entitlements of the users and the addressing required to connect internally. A VPN does the same, because most VPNs allow an administrator to configure network connection rules for every user or group of users individually. At first, this works well with a VPN, but requires significant administrative effort to maintain the required rules.

- **User Interface.** Unified Access Gateway does not alter the straightforward Horizon Client user interface. With Unified Access Gateway, when the Horizon Client is launched, authenticated users are in their View environment and have controlled access to their desktops and applications. A VPN requires that you must set up the VPN software first and authenticate separately before launching the Horizon Client.

- **Performance.** Unified Access Gateway is designed to maximize security and performance. With Unified Access Gateway, PCoIP, HTML access, and WebSocket protocols are secured without requiring additional encapsulation. VPNs are implemented as SSL VPNs. This implementation meets security requirements and, with Transport Layer Security (TLS) enabled, is considered secure, but the underlying protocol with SSL/TLS is just TCP-based. With modern video remoting protocols exploiting connectionless UDP-based transports, the performance benefits can be significantly eroded when forced over a TCP-based transport. This does not apply to all VPN technologies, as those that can also operate with DTLS or IPsec instead of SSL/TLS can work well with View, a component of Horizon desktop protocols.

**Unified Access Gateway System and Network Requirements**

To deploy the Unified Access Gateway appliance, ensure that your system meets the hardware and software requirements.
VMware Product Versions Supported


Hardware Requirements for ESXi Server

The Unified Access Gateway appliance must be deployed on a version of VMware vSphere that is the same as a version supported for the VMware products and versions you are using.

**Note**  UAG supports all the vSphere versions starting from vSphere 5.5.x.

If you plan to use the vSphere Web client, verify that the client integration plug-in is installed. For more information, see the vSphere documentation. If you do not install this plug-in before you start the deployment wizard, the wizard prompts you to install the plug-in. This requires that you close the browser and exit the wizard.

**Note**  Configure the clock (UTC) on the Unified Access Gateway appliance so that the appliance has the correct time. For example, open a console window on the Unified Access Gateway virtual machine and use arrow buttons to select the correct time zone. Also verify that the ESXi host time is synchronized with the NTP server and verify that VMware Tools, which is running in the appliance virtual machine, synchronizes the time on the virtual machine with the time on the ESXi host.

Virtual Appliance Requirements

The OVF package for the Unified Access Gateway appliance automatically selects the virtual machine configuration that the Unified Access Gateway requires. Although you can change these settings, VMware recommends that you not change the CPU, memory, or disk space to smaller values than the default OVF settings.

- CPU minimum requirement is 2000 MHz
- Minimum memory of 4GB

Ensure that the data store you use for the appliance has enough free disk space and meets other system requirements.

- Virtual appliance download size is 1.4 GB
- Thin-provisioned disk minimum requirement is 2.6 GB
- Thick-provisioned disk minimum requirement is 20 GB

The following information is required to deploy the virtual appliance.

- Static IP address (recommended)
- IP address of the DNS server
- Password for the root user
- Password for the admin user
- URL of the server instance of the load balancer that the Unified Access Gateway appliance points to

**Browser Versions Supported**

Supported browsers for launching the Admin UI are Chrome, Firefox, and Internet Explorer. Please use the most current version of the browser.

**Hardware Requirements When Using Windows Hyper-V Server**

When you use Unified Access Gateway for an VMware AirWatch Per-App Tunnel deployment, you can install the Unified Access Gateway appliance on a Microsoft Hyper-V server.

Supported Microsoft servers are Windows Server 2012 R2 and Windows Server 2016.

**Networking Configuration Requirements**

You can use one, two, or three network interfaces and Unified Access Gateway requires a separate static IP address for each. Many DMZ implementations use separated networks to secure the different traffic types. Configure Unified Access Gateway according to the network design of the DMZ in which it is deployed.

- One network interface is appropriate for POCs (proof of concept) or testing. With one NIC, external, internal, and management traffic is all on the same subnet.
- With two network interfaces, external traffic is on one subnet, and internal and management traffic are on another subnet.
- Using three network interfaces is the most secure option. With a third NIC, external, internal, and management traffic all have their own subnets.

**Log Retention Requirements**

The log files are configured by default to use a certain amount of space which is smaller than the total disk size in the aggregate. The logs for Unified Access Gateway are rotated by default. You must use syslog to preserve these log entries. See [Collecting Logs from the Unified Access Gateway Appliance](#).

**Firewall Rules for DMZ-Based Unified Access Gateway Appliances**

DMZ-based Unified Access Gateway appliances require certain firewall rules on the front-end and back-end firewalls. During installation, Unified Access Gateway services are set up to listen on certain network ports by default.
A DMZ-based Unified Access Gateway appliance deployment usually includes two firewalls:

- An external network-facing, front-end firewall is required to protect both the DMZ and the internal network. You configure this firewall to allow external network traffic to reach the DMZ.
- A back-end firewall between the DMZ and the internal network is required to provide a second tier of security. You configure this firewall to accept only traffic that originates from the services within the DMZ.

Firewall policy strictly controls inbound communications from DMZ service, which greatly reduces the risk of compromising your internal network.

The following tables list the port requirements for the different services within Unified Access Gateway.

**Note**  All UDP ports require forward datagrams and reply datagrams to be allowed.

### Table 1-1. Port Requirements for Horizon View

<table>
<thead>
<tr>
<th>Port</th>
<th>Protocol</th>
<th>Source</th>
<th>Target</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>443</td>
<td>TCP</td>
<td>Internet</td>
<td>Unified Access Gateway</td>
<td>For web traffic, Horizon Client XML - API, Horizon Tunnel, and Blast Extreme</td>
</tr>
<tr>
<td>443</td>
<td>UDP</td>
<td>Internet</td>
<td>Unified Access Gateway</td>
<td>UDP 443 is internally forwarded to UDP 9443 on UDP Tunnel Server service on Unified Access Gateway.</td>
</tr>
<tr>
<td>8443</td>
<td>UDP</td>
<td>Internet</td>
<td>Unified Access Gateway</td>
<td>Blast Extreme (optional)</td>
</tr>
<tr>
<td>8443</td>
<td>TCP</td>
<td>Internet</td>
<td>Unified Access Gateway</td>
<td>Blast Extreme (optional)</td>
</tr>
<tr>
<td>4172</td>
<td>TCP and UDP</td>
<td>Internet</td>
<td>Unified Access Gateway</td>
<td>PCoIP (optional)</td>
</tr>
<tr>
<td>443</td>
<td>TCP</td>
<td>Unified Access Gateway</td>
<td>Horizon Broker</td>
<td>Horizon Client XML-API, Blast extreme HTML access, Horizon Air Console Access (HACA)</td>
</tr>
<tr>
<td>22443</td>
<td>TCP and UDP</td>
<td>Unified Access Gateway</td>
<td>Desktops and RDS Hosts</td>
<td>Blast Extreme</td>
</tr>
<tr>
<td>4172</td>
<td>TCP and UDP</td>
<td>Unified Access Gateway</td>
<td>Desktops and RDS Hosts</td>
<td>PCoIP (optional)</td>
</tr>
<tr>
<td>32111</td>
<td>TCP</td>
<td>Unified Access Gateway</td>
<td>Desktops and RDS Hosts</td>
<td>Framework channel for USB Redirection</td>
</tr>
<tr>
<td>9427</td>
<td>TCP</td>
<td>Unified Access Gateway</td>
<td>Desktops and RDS Hosts</td>
<td>MMR and CDR</td>
</tr>
</tbody>
</table>

**Note**  To allow external client devices to connect to a Unified Access Gateway appliance within the DMZ, the front-end firewall must allow traffic on certain ports. By default the external client devices and external web clients (HTML Access) connect to a Unified Access Gateway appliance within the DMZ on TCP port 443. If you use the Blast protocol, port 8443 must be open on the firewall, but you can configure Blast for port 443 as well.
### Table 1-2. Port Requirements for Web Reverse Proxy

<table>
<thead>
<tr>
<th>Port</th>
<th>Protocol</th>
<th>Source</th>
<th>Target</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>443</td>
<td>TCP</td>
<td>Internet</td>
<td>Unified Access Gateway</td>
<td>For web traffic</td>
</tr>
<tr>
<td>Any</td>
<td>TCP</td>
<td>Unified Access Gateway</td>
<td>Intranet Site</td>
<td>Any configured custom port on which the Intranet is listening. For example, 80, 443, 8080 and so on.</td>
</tr>
<tr>
<td>88</td>
<td>TCP</td>
<td>Unified Access Gateway</td>
<td>KDC Server/AD Server</td>
<td>Required for Identity Bridging to access AD if SAML to Kerberos/Certificate to Kerberos is configured.</td>
</tr>
<tr>
<td>88</td>
<td>UDP</td>
<td>Unified Access Gateway</td>
<td>KDC Server/AD Server</td>
<td>Required for Identity Bridging to access AD if SAML to Kerberos/Certificate to Kerberos is configured.</td>
</tr>
</tbody>
</table>

### Table 1-3. Port Requirements for Admin UI

<table>
<thead>
<tr>
<th>Port</th>
<th>Protocol</th>
<th>Source</th>
<th>Target</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>9443</td>
<td>TCP</td>
<td>Admin UI</td>
<td>Unified Access Gateway</td>
<td>Management interface</td>
</tr>
</tbody>
</table>

### Table 1-4. Port Requirements for Content Gateway Basic Endpoint Configuration

<table>
<thead>
<tr>
<th>Port</th>
<th>Protocol</th>
<th>Source</th>
<th>Target</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>443* or any port &gt; 1024</td>
<td>HTTPS</td>
<td>Devices (from Internet and Wi-Fi)</td>
<td>Unified Access Gateway Content Gateway Endpoint</td>
<td>If 443 is used, Content Gateway will listen on port 10443.</td>
</tr>
<tr>
<td>443* or any port &gt; 1024</td>
<td>HTTPS</td>
<td>VMware AirWatch Device Services</td>
<td>Unified Access Gateway Content Gateway Endpoint</td>
<td></td>
</tr>
<tr>
<td>443* or any port &gt; 1024</td>
<td>HTTPS</td>
<td>Workspace ONE UEM Console</td>
<td>Unified Access Gateway Content Gateway Endpoint</td>
<td>If 443 is used, Content Gateway will listen on port 10443.</td>
</tr>
<tr>
<td>Any port where the repository is listening to.</td>
<td>HTTP or HTTPS</td>
<td>Unified Access Gateway Content Gateway Endpoint</td>
<td>Web-based content repositories such as (SharePoint/WebDAV/CMIS, and so on)</td>
<td>Any configured custom port on which the Intranet site is listening to.</td>
</tr>
<tr>
<td>137–139 and 445</td>
<td>CIFS or SMB</td>
<td>Unified Access Gateway Content Gateway Endpoint</td>
<td>Network Share-based repositories (Windows file shares)</td>
<td>Intranet Shares</td>
</tr>
</tbody>
</table>
### Table 1-5. Port Requirements for Content Gateway Relay Endpoint Configuration

<table>
<thead>
<tr>
<th>Port</th>
<th>Protocol</th>
<th>Source</th>
<th>Target/Destination</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>443* or any port &gt; 1024</td>
<td>HTTP/HTPS</td>
<td>Unified Access Gateway Relay Server (Content Gateway Relay)</td>
<td>Unified Access Gateway Content Gateway Endpoint</td>
<td>If 443 is used, Content Gateway will listen on port 10443.</td>
</tr>
<tr>
<td>443* or any port &gt; 1024</td>
<td>HTTPS</td>
<td>Devices (from Internet and Wi-Fi)</td>
<td>Unified Access Gateway Relay Server (Content Gateway Relay)</td>
<td>If 443 is used, Content Gateway will listen on port 10443.</td>
</tr>
<tr>
<td>443* or any port &gt; 1024</td>
<td>TCP</td>
<td>AirWatch Device Services</td>
<td>Unified Access Gateway Relay Server (Content Gateway Relay)</td>
<td>If 443 is used, Content Gateway will listen on port 10443.</td>
</tr>
<tr>
<td>443* or any port &gt; 1024</td>
<td>HTTPS</td>
<td>Workspace ONE UEM Console</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Any port where the repository is listening to.</td>
<td>HTTP or HTTPS</td>
<td>Unified Access Gateway Content Gateway Endpoint</td>
<td>Web-based content repositories such as SharePoint/WebDAV/CMIS, and so on</td>
<td>Any configured custom port on which the Intranet site is listening to.</td>
</tr>
<tr>
<td>443* or any port &gt; 1024</td>
<td>HTTPS</td>
<td>Unified Access Gateway Relay Server (Content Gateway Relay)</td>
<td>Unified Access Gateway Content Gateway Endpoint</td>
<td>If 443 is used, Content Gateway will listen on port 10443.</td>
</tr>
<tr>
<td>137–139 and 445</td>
<td>CIFS or SMB</td>
<td>Unified Access Gateway Content Gateway Endpoint</td>
<td>Network Share-based repositories (Windows file shares)</td>
<td>Intranet Shares</td>
</tr>
</tbody>
</table>

**Note**  Since Content Gateway service runs as a non-root user in Unified Access Gateway, Content Gateway cannot run on system ports and therefore, custom ports should be > 1024.

### Table 1-6. Port Requirements for VMware Tunnel

<table>
<thead>
<tr>
<th>Port</th>
<th>Protocol</th>
<th>Source</th>
<th>Target/Destination</th>
<th>Verification</th>
<th>Note (See the Note section at the bottom of the page)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2020</td>
<td>HTTPS</td>
<td>Devices (from Internet and Wi-Fi)</td>
<td>VMware Tunnel Proxy</td>
<td>Run the following command after installation: `netstat -tlnp</td>
<td>grep [Port]`</td>
</tr>
<tr>
<td>8443</td>
<td>TCP</td>
<td>Devices (from Internet and Wi-Fi)</td>
<td>VMware Tunnel Per-App tunnel</td>
<td>Run the following command after installation: `netstat -tlnp</td>
<td>grep [Port]`</td>
</tr>
</tbody>
</table>

VMware, Inc. 12
### Table 1-7. VMware Tunnel Basic Endpoint Configuration

<table>
<thead>
<tr>
<th>Port</th>
<th>Protocol</th>
<th>Source</th>
<th>Target/Destination</th>
<th>Verification</th>
<th>Note</th>
</tr>
</thead>
<tbody>
<tr>
<td>SaaS:</td>
<td>443</td>
<td>HTTP</td>
<td>VMware Tunnel</td>
<td>curl -Ivv https://&lt;AWCM URL&gt;:&lt;port&gt;/awcm/status/ping</td>
<td>2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>or</td>
<td></td>
<td>The expected response is HTTP 200 OK.</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>2001</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>*</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SaaS:</td>
<td>443</td>
<td>HTML</td>
<td>VMware Tunnel</td>
<td>curl -Ivv https://&lt;API URL&gt;/api/mdm/ping</td>
<td>5</td>
</tr>
<tr>
<td></td>
<td>or</td>
<td>or</td>
<td></td>
<td>The expected response is HTTP 401 unauthorized.</td>
<td></td>
</tr>
<tr>
<td></td>
<td>On-Prem:</td>
<td>or</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>80 or</td>
<td>or</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>443</td>
<td>or</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>80,44</td>
<td>TCP</td>
<td>HTTP</td>
<td>VMware Tunnel</td>
<td>Confirm that the VMware Tunnel can access internal resources over the required port.</td>
<td>4</td>
</tr>
<tr>
<td>3, any</td>
<td>UDP</td>
<td>VMware Tunnel</td>
<td>Internal Resources</td>
<td></td>
<td></td>
</tr>
<tr>
<td>514</td>
<td>*</td>
<td>UDP</td>
<td>VMware Tunnel</td>
<td></td>
<td></td>
</tr>
<tr>
<td>On-Prem:</td>
<td>HTTP</td>
<td>VMware Tunnel Proxy</td>
<td>VMware Tunnel Proxy</td>
<td>On-Premises users can test the connection using the telnet command:telnet &lt;Tunnel Proxy URL&gt; &lt;port&gt;</td>
<td>6</td>
</tr>
<tr>
<td>2020</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Table 1-8. VMware Tunnel Cascade Configuration

<table>
<thead>
<tr>
<th>Port</th>
<th>Protocol</th>
<th>Source</th>
<th>Target/Destination</th>
<th>Verification</th>
<th>Note</th>
</tr>
</thead>
<tbody>
<tr>
<td>SaaS:</td>
<td>443</td>
<td>TLS v1.2</td>
<td>VMware Tunnel Front-End</td>
<td>Verify by using wget to https://&lt;AWCM URL&gt;:&lt;port&gt;/awcm/status and ensuring you receive an HTTP 200 response.</td>
<td>2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>or</td>
<td>VMware Tunnel Front-End</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>2001</td>
<td>VMware Tunnel Front-End</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>*</td>
<td>VMware Tunnel Front-End</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8443</td>
<td>TLS</td>
<td>v1.2</td>
<td>VMware Tunnel Back-End</td>
<td>Telnet from VMware Tunnel Front-End to the VMware Tunnel Back-End server on port</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>or</td>
<td>or</td>
<td>VMware Tunnel Back-End</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>On-Prem:</td>
<td>or</td>
<td>VMware Tunnel Back-End</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>2001</td>
<td>or</td>
<td>VMware Tunnel Back-End</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>*</td>
<td>or</td>
<td>VMware Tunnel Back-End</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Table 1-8. VMware Tunnel Cascade Configuration (Continued)

<table>
<thead>
<tr>
<th>Port</th>
<th>Protocol</th>
<th>Source</th>
<th>Target/Destination</th>
<th>Verification</th>
<th>Note (See the Note section at the bottom of the page)</th>
</tr>
</thead>
<tbody>
<tr>
<td>SaaS: 443</td>
<td>TLS v1.2</td>
<td>VMware Tunnel Back-End</td>
<td>AirWatch Cloud Messaging Server</td>
<td>Verify by using <code>wget</code> to https://&lt;AWCM URL&gt;:&lt;port&gt;/awcm/status and ensuring you receive an HTTP 200 response.</td>
<td>2</td>
</tr>
<tr>
<td>On-Prem: 2001</td>
<td>TCP</td>
<td>VMware Tunnel Back-End</td>
<td>Internal websites/web apps</td>
<td></td>
<td></td>
</tr>
<tr>
<td>80 or 443</td>
<td>HTTP</td>
<td>VMware Tunnel Back-End</td>
<td>Internal resources</td>
<td></td>
<td></td>
</tr>
<tr>
<td>80, 443, any TCP</td>
<td>TCP</td>
<td>VMware Tunnel Back-End</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>80 or 443</td>
<td>HTTP S</td>
<td>VMware Tunnel Front-End and Back-End</td>
<td>Workspace ONE UEM REST API Endpoint</td>
<td><code>curl -Ivv https://&lt;API URL&gt;/api/mdm/ping</code> The expected response is HTTP 401 unauthorized.</td>
<td>5</td>
</tr>
</tbody>
</table>

### Table 1-9. VMware Tunnel Relay-Endpoint Configuration

<table>
<thead>
<tr>
<th>Port</th>
<th>Protocol</th>
<th>Source</th>
<th>Target/Destination</th>
<th>Verification</th>
<th>Note (See the Note section at the bottom of the page)</th>
</tr>
</thead>
<tbody>
<tr>
<td>SaaS: 443</td>
<td>HTTP or HTTP S</td>
<td>VMware Tunnel Relay</td>
<td>AirWatch Cloud Messaging Server</td>
<td><code>curl -Ivv https://&lt;AWCM URL&gt;:&lt;port&gt;/awcm/status/ping</code> The expected response is HTTP 200 OK.</td>
<td>2</td>
</tr>
<tr>
<td>On-Prem: 2001</td>
<td>TCP</td>
<td>VMware Tunnel Relay</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>80 or 443</td>
<td>HTTP S or HTTP S</td>
<td>VMware Tunnel Endpoint and Relay</td>
<td>Workspace ONE UEM REST API Endpoint</td>
<td><code>curl -Ivv https://&lt;API URL&gt;/api/mdm/ping</code> The expected response is HTTP 401 unauthorized.</td>
<td>5</td>
</tr>
</tbody>
</table>

The VMware Tunnel Endpoint requires access to the REST API Endpoint only during initial deployment.
### Table 1-9. VMware Tunnel Relay-Endpoint Configuration (Continued)

<table>
<thead>
<tr>
<th>Port</th>
<th>Protocol</th>
<th>Source</th>
<th>Target/Destination</th>
<th>Verification</th>
<th>Note</th>
</tr>
</thead>
<tbody>
<tr>
<td>2010</td>
<td>HTTP, S</td>
<td>VMware Tunnel Relay</td>
<td>VMware Tunnel Endpoint</td>
<td>Telnet from VMware Tunnel Relay to the VMware Tunnel Endpoint server on port</td>
<td>3</td>
</tr>
<tr>
<td>80, 443, any</td>
<td>HTTP, HTTP S, or TCP</td>
<td>VMware Tunnel Endpoint</td>
<td>Internal resources</td>
<td>Confirm that the VMware Tunnel can access internal resources over the required port.</td>
<td>4</td>
</tr>
<tr>
<td>514</td>
<td>UDP</td>
<td>VMware Tunnel</td>
<td>Syslog Server</td>
<td>On-Premises users can test the connection using the <code>telnet</code> command: <code>telnet &lt;Tunnel Proxy URL&gt; &lt;port&gt;</code></td>
<td>6</td>
</tr>
</tbody>
</table>

**Note** The following points are valid for the VMware Tunnel requirements.

1. If port 443 is used, Per-App Tunnel will listen on port 8443.

   **Note** When VMware Tunnel and Content Gateway services are enabled on the same appliance, and TLS Port Sharing is enabled, the DNS names must be unique for each service. When TLS is not enabled only one DNS name can be used for both services as the port will differentiate the incoming traffic. (For Content Gateway, if port 443 is used, Content Gateway will listen on port 10443.)

2. For the VMware Tunnel to query the Workspace ONE UEM console for compliance and tracking purposes.

3. For VMware Tunnel Relay topologies to forward device requests to the internal VMware Tunnel endpoint only.

4. For applications using VMware Tunnel to access internal resources.

5. The VMware Tunnel must communicate with the API for initialization. Ensure that there is connectivity between the REST API and the VMware Tunnel server. Navigate to **Groups & Settings > All Settings > System > Advanced > Site URLs** to set the REST API server URL. This page is not available to SaaS customers. The REST API URL for SaaS customers is most commonly your Console or Devices Services server URL.

6. This is required for a successful "Test Connection" to the VMware Tunnel Proxy from the Workspace ONE UEM console. The requirement is optional and can be omitted without loss of functionality to devices. For SaaS customers, the Workspace ONE UEM console might already have inbound connectivity to the VMware Tunnel Proxy on port 2020 due to the inbound Internet requirement on port 2020.
Unified Access Gateway Load Balancing Topologies

A Unified Access Gateway appliance in the DMZ can be configured to point to a server or a load balancer that fronts a group of servers. Unified Access Gateway appliances work with standard third-party load balancing solutions that are configured for HTTPS.

If the Unified Access Gateway appliance points to a load balancer in front of servers, the selection of the server instance is dynamic. For example, the load balancer might make a selection based on availability and the load balancer’s knowledge of the number of current sessions on each server instance. The server instances inside the corporate firewall usually have a load balancer to support internal access. With Unified Access Gateway, you can point the Unified Access Gateway appliance to this same load balancer that is often already being used.

You can alternatively have one or more Unified Access Gateway appliances point to an individual server instance. In both approaches, use a load balancer in front of two or more Unified Access Gateway appliances in the DMZ.

Figure 1-1. Multiple Unified Access Gateway Appliances Behind a Load Balancer
Horizon Protocols

When a Horizon Client user connects to a Horizon environment, several different protocols are used. The first connection is always the primary XML-API protocol over HTTPS. Following successful authentication, one or more secondary protocols are also made.

- **Primary Horizon Protocol**

  The user enters a hostname at the Horizon Client and this starts the primary Horizon protocol. This is a control protocol for authentication authorization, and session management. The protocol uses XML structured messages over HTTPS. This protocol is sometimes known as the Horizon XML-API control protocol. In a load balanced environment as shown in the Multiple Unified Access Gateway Appliances Behind a Load Balancer figure, the load balancer routes this connection to one of the Unified Access Gateway appliances. The load balancer usually selects the appliance based first on availability, and then out of the available appliances, routes traffic based on the least number of current sessions. This configuration evenly distributes the traffic from different clients across the available set of Unified Access Gateway appliances.

- **Secondary Horizon Protocols**

  After the Horizon Client establishes secure communication to one of the Unified Access Gateway appliances, the user authenticates. If this authentication attempt is successful, then one or more secondary connections are made from the Horizon Client. These secondary connections can include the following:

  - HTTPS Tunnel used for encapsulating TCP protocols such as RDP, MMR/CDR and the client framework channel. (TCP 443)
  - Blast Extreme display protocol (TCP 443, TCP 8443, UDP 443, and UDP 8443)
  - PCoIP display protocol (TCP 4172, UDP 4172)

  These secondary Horizon protocols must be routed to the same Unified Access Gateway appliance to which the primary Horizon protocol was routed. Unified Access Gateway can then authorize the secondary protocols based on the authenticated user session. An important security capability of Unified Access Gateway is that Unified Access Gateway only forwards traffic into the corporate data center if the traffic is on behalf of an authenticated user. If the secondary protocol is routed incorrectly to a different Unified Access Gateway appliance than the primary protocol appliance, users are not authorized and are dropped in the DMZ. The connection fails. Incorrectly routing the secondary protocols is a common problem, if the load balancer is not configured correctly.

**Load Balancing Considerations for Content Gateway and Tunnel Proxy**

Keep the following considerations in mind when you use a load balancer with Content Gateway and Tunnel Proxy:

- Configure the load balancers to Send Original HTTP Headers to avoid device connectivity problems. Content Gateway and Tunnel Proxy use information in the request's HTTP header to authenticate devices.
The Per-App Tunnel component requires authentication of each client after a connection is established. Once connected, a session is created for the client and stored in memory. The same session is then used for each piece of client data so the data can be encrypted and decrypted using the same key. When designing a load balancing solution, the load balancer must be configured with IP/session-based persistence enabled. An alternative solution might be to use DNS round robin on the client side, which means the client can select a different server for each connection.

**DMZ Design for Unified Access Gateway with Multiple Network Interface Cards**

One of the configuration settings for Unified Access Gateway is the number of virtual Network Interface Cards (NICs) to use. When you deploy Unified Access Gateway, you select a deployment configuration for your network.

You can specify one, two, or three NICS settings which are specified as onenic, twonic or threenic.

Reducing the number of open ports on each virtual LAN and separating out the different types of network traffic can significantly improve security. The benefits are mainly in terms of separating and isolating the different types of network traffic as part of a defense-in-depth DMZ security design strategy. This can be achieved either by implementing separate physical switches within the DMZ, with multiple virtual LANs within the DMZ, or as part of a full VMware NSX managed DMZ.

**Typical Single NIC DMZ Deployment**

The simplest deployment of Unified Access Gateway is with a single NIC where all network traffic is combined onto a single network. Traffic from the Internet-facing firewall is directed to one of the available Unified Access Gateway appliances. Unified Access Gateway then forwards the authorized traffic through the inner firewall to resources on the internal network. Unified Access Gateway discards unauthorized traffic.
Separating Unauthenticated User Traffic from Back-End and Management Traffic

An improvement over the single NIC deployment is to specify two NICs. The first is still used for Internet facing unauthenticated access, but the back-end authenticated traffic and management traffic are separated onto a different network.
In a two NIC deployment, Unified Access Gateway must authorize the traffic going to the internal network through the inner firewall. Unauthorized traffic is not on this back-end network. Management traffic such as the REST API for Unified Access Gateway is only on this second network.

If a device on the unauthenticated front-end network, such as the load balancer, was compromised then reconfiguring that device to bypass Unified Access Gateway is not possible in this two NIC deployment. It combines layer 4 firewall rules with layer 7 Unified Access Gateway security. Similarly, if the Internet facing firewall was misconfigured to allow TCP port 9443 through, this would still not expose the Unified Access Gateway Management REST API to Internet users. A defense-in-depth principle uses multiple levels of protection, such as knowing that a single configuration mistake or system attack does not necessarily create an overall vulnerability.

In a two NIC deployment, you can put additional infrastructure systems such as DNS servers, RSA SecurID Authentication Manager servers on the back-end network within the DMZ so that these servers cannot be visible on the Internet facing network. Putting infrastructure systems within the DMZ guards against layer 2 attacks from the Internet facing LAN from a compromised front-end system and effectively reduces the overall attack surface.

Most Unified Access Gateway network traffic is the display protocols for Blast and PCoIP. With a single NIC, display protocol traffic to and from the Internet is combined with traffic to and from the back-end systems. When two or more NICs are used, the traffic is spread across front-end and back-end NICs and networks. This reduces the potential bottleneck of a single NIC and results in performance benefits.
Unified Access Gateway supports a further separation by also allowing separation of the management traffic onto a specific management LAN. HTTPS management traffic to port 9443 is then only possible from the management LAN.

**Figure 1-4. Unified Access Gateway Three NIC Option**

---

**Upgrade with Zero Downtime**

Zero downtime upgrade enables you to upgrade Unified Access Gateway with no downtime for the users.

When the quiesce mode value is YES, the Unified Access Gateway appliance is shown as not available when the load balancer checks the health of the appliance. Requests that come to the load balancer are sent to the next Unified Access Gateway appliance that is behind the load balancer.

**Prerequisites**

- Two or more Unified Access Gateway appliances configured behind the load balancer.
- The Health Check URL setting configured with a URL that the load balancer connects to check the health of Unified Access Gateway appliance.
- Check the health of the appliance in the load balancer. Type the REST API command `GET https://mycoUnifiedAccessGateway.com:443/favicon.ico`. 
The response is HTTP/1.1 200 OK, if the Quiesce Mode is set to No, or HTTP/1.1 503, if the Quiesce Mode is set to Yes.

**Note**
- Do not use any other URL other than GET https://mycoUnifiedAccessGateway.com:443/favicon.ico. Doing so will lead to incorrect status response and resource leaks.
- favicon.ico is not supported for Content Gateway and VMware Tunnel services.

**Procedure**

1. In the admin UI Configure Manually section, click **Select**.
2. In the Advanced Settings section, click the **System Configuration** gearbox icon.
3. In the **Quiesce Mode** row, enable **YES** to pause the Unified Access Gateway appliance.
   
   When the appliance is stopped, existing sessions that the appliance is serving are honored for 10 hours, after which the sessions are closed.
4. Click **Save**.
   
   New requests that come to the load balancer are sent to the next Unified Access Gateway appliance.

**What to do next**

- For a vSphere deployment:
  
  a. Back up the JSON file by exporting the file.
  b. Delete the old Unified Access Gateway appliance in Quiesce Mode.
  c. Deploy the new version of Unified Access Gateway appliance.
  d. Import the JSON file you exported earlier.

- For a PowerShell deployment:
  
  a. Delete the Unified Access Gateway appliance in Quiesce Mode.
  b. Redeploy the Unified Access Gateway with the same INI file that was used during the first deployment. See Using PowerShell to Deploy the Unified Access Gateway Appliance.

**Note** If you see a Tunnel Server certificate error message after re-enabling the load balancer, apply the same SSL server certificate and private key PEM files that was used earlier on the Unified Access Gateway appliance. This is required because the JSON or INI file cannot contain private keys associated with an SSL server certificate since private keys cannot be exported, due to security reasons. With a PowerShell deployment, it is done automatically and you do not need to reapply the certificate.
Deploying Unified Access Gateway Without Network Protocol Profile (NPP)

The latest release of Unified Access Gateway does not accept netmask or prefix and default gateway settings from Network Protocol Profile.

You must provide this networking information while deploying your Unified Access Gateway instance.

In the case of static deployment, when configuring your Unified Access Gateway instance, specify the IPv4 or IPv6 address, the netmask or prefix for the respective NICs, and the IPv4/IPv6 default gateway. If you do not provide this information, it defaults to DHCPV4+DHCPV6 for the IP address allocation.

Note the following when configuring the networking properties:

- If you select STATICV4 for the IPMode of a NIC, you must specify the IPv4 address and netmask for that NIC.
- If you select STATICV6 for the IPMode of a NIC, you must specify the IPv6 address netmask for that NIC.
- If you select both STATICV4 and STATIC V6 for the IPMode of a NIC, you must specify the IPv4 and IPv6 address and netmask for that NIC.
- If you do not provide the address and netmask information, the values are allocated by DHCP server.
- IPv4 and IPv6 default gateway properties are optional and must be specified if Unified Access Gateway needs to communicate to an IP address that is not on a local segment of any NIC in Unified Access Gateway.

See Deploy Unified Access Gateway Using the OVF Template Wizard for more information about configuring networking properties.

Join or Leave the Customer Experience Improvement Program

The VMware Customer Experience Improvement Program (CEIP) provides information that VMware uses to improve its products and services, to fix problems, and to advise you on how best to deploy and use VMware products.

This product participates in VMware’s Customer Experience Improvement Program (“CEIP”). Details regarding the data collected through CEIP and the purposes for which it is used by VMware are set forth at the Trust & Assurance Center at http://www.vmware.com/trustvmware/ceip.html.

You can join or leave the CEIP for this product at any time from the Admin UI.

Procedure

1. From Advanced Settings > System Configuration select Yes or No.

   If you select Yes, the Customer Experience Improvement Program dialog appears with the checkbox selected to indicate that you are joining the program.
2 Review the information on the dialog and click **Close**.

3 Click **Save** on the System Configuration page to save your changes.
Deploying Unified Access Gateway Appliance

Unified Access Gateway is packaged as an OVF and is deployed onto a vSphere ESX or ESXi host as a pre-configured virtual appliance.

Two primary methods can be used to install the Unified Access Gateway appliance on a vSphere ESX or ESXi or host. Microsoft Server 2012 and 2016 Hyper-V roles are supported.

- The vSphere Client or vSphere Web Client can be used to deploy the Unified Access Gateway OVF template. You are prompted for basic settings, including the NIC deployment configuration, IP address, and management interface passwords. After the OVF is deployed, log in to the Unified Access Gateway admin user interface to configure Unified Access Gateway system settings, set up secure edge services in multiple use cases, and configure authentication in the DMZ. See Deploy Unified Access Gateway Using the OVF Template Wizard.

- PowerShell scripts can be used to deploy Unified Access Gateway and set up secure edge services in multiple use cases. You download the ZIP file, configure the PowerShell script for your environment, and run the script to deploy Unified Access Gateway. See Using PowerShell to Deploy the Unified Access Gateway Appliance.

**Note** For Per-App Tunnel and Proxy use cases, you can deploy Unified Access Gateway on either ESXi or Microsoft Hyper-V environments.

**Note** In both the above methods of deployment, if you do not provide the Admin UI password, you cannot add an Admin UI user later to enable access to either Admin UI or API. If you want to do so, you must redeploy your Unified Access Gateway instance with a valid password.

This chapter includes the following topics:

- Using the OVF Template Wizard to Deploy Unified Access Gateway
- Configuring Unified Access Gateway From the Admin Configuration Pages
- Update SSL Server Signed Certificates

**Using the OVF Template Wizard to Deploy Unified Access Gateway**

To deploy Unified Access Gateway, you deploy the OVF template using the vSphere Client or vSphere Web Client, power on the appliance, and configure settings.
When you deploy the OVF, you configure how many network interfaces (NIC) are required, the IP address and set up the administrator and root passwords.

After the Unified Access Gateway is deployed, go to the administration user interface (UI) to set up the Unified Access Gateway environment. In the admin UI, configure the desktop and application resources and the authentication methods to use in the DMZ. To log in to the admin UI pages, go to https://<mycoUnifiedGatewayAppliance>.com:9443/admin/index.html.

Deploy Unified Access Gateway Using the OVF Template Wizard

You can deploy the Unified Access Gateway appliance by logging in to vCenter Server and using the Deploy OVF Template wizard.

Two versions of the Unified Access Gateway OVA are available, standard OVA and a FIPS version of the OVA.

The FIPS version of the OVA supports the following Edge services:

- Horizon (pass-through auth only)
- VMware Per-App Tunnel

**Important** The FIPS 140-2 version runs with the FIPS certified set of ciphers and hashes and has restrictive services enabled that support FIPS certified libraries. When Unified Access Gateway is deployed in FIPS mode, the appliance cannot be changed to the standard OVA deployment mode.

Prerequisites

- Review the deployment options that are available in the wizard. See Unified Access Gateway System and Network Requirements.
- Determine the number of network interfaces and static IP addresses to configure for the Unified Access Gateway appliance. See Networking Configuration Requirements.
- Download the .ova installer file for the Unified Access Gateway appliance from the VMware website at https://my.vmware.com/web/vmware/downloads, or determine the URL to use (example: http://example.com/vapps/euc-access-point-Y.Y.0.0-xxxxxxx_OVF10.ova), where Y.Y is the version number and xxxxxx is the build number.
- In case of a Hyper-V deployment, and if you are upgrading Unified Access Gateway with static IP, delete the older appliance before deploying the newer instance of Unified Access Gateway.
- To upgrade your older appliance to a new instance of Unified Access Gateway with zero downtime for users, see the Upgrade with Zero Downtime section.

Procedure

1. Use the native vSphere Client or the vSphere Web Client to log in to a vCenter Server instance.

   For an IPv4 network, use the native vSphere Client or the vSphere Web Client. For an IPv6 network, use the vSphere Web Client.
2 Select a menu command for launching the **Deploy OVF Template** wizard.

<table>
<thead>
<tr>
<th>Option</th>
<th>Menu Command</th>
</tr>
</thead>
<tbody>
<tr>
<td>vSphere Client</td>
<td>Select File &gt; Deploy OVF Template.</td>
</tr>
<tr>
<td>vSphere Web Client</td>
<td>Select any inventory object that is a valid parent object of a virtual machine, such as a data center, folder, cluster, resource pool, or host, and from the <strong>Actions</strong> menu, select <strong>Deploy OVF Template</strong>.</td>
</tr>
</tbody>
</table>

3 On the Select Source page, browse to the `.ova` file that you downloaded or enter a URL and click **Next**.

Review the product details, version, and size requirements.

4 Follow the prompts and take the following guidelines into consideration as you complete the wizard.

Both ESXi and Hyper-V deployments have two options to assign the IP assignment for Unified Access Gateway. If you are upgrading, then for Hyper-V, delete the old box with the same IP address before deploying the box with the new address. For ESXi, you can turn off the old box and deploy a new box with same IP address using static assignment.

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name and Location</td>
<td>Enter a name for the Unified Access Gateway virtual appliance. The name must be unique within the inventory folder. Names are case-sensitive. Select a location for the virtual appliance.</td>
</tr>
<tr>
<td>Deployment Configuration</td>
<td>For an IPv4 or IPv6 network, you can use one, two, or three network interfaces (NICs). Many DMZ implementations use separated networks to secure the different traffic types. Configure Unified Access Gateway according to the network design of the DMZ in which it is deployed. Along with the number of NICs, you can also choose <strong>Standard</strong> or <strong>Large</strong> deployment options for Unified Access Gateway.</td>
</tr>
<tr>
<td></td>
<td><strong>Note</strong> VM options for <strong>Standard</strong> and <strong>Large</strong> deployments:</td>
</tr>
<tr>
<td></td>
<td>▪ <strong>Standard</strong> - 2 core and 4GB RAM</td>
</tr>
<tr>
<td></td>
<td>▪ <strong>Large</strong> - 4 core and 16 GB RAM</td>
</tr>
<tr>
<td>Host / Cluster</td>
<td>Select the host or cluster in which to run the virtual appliance.</td>
</tr>
<tr>
<td>Disk format</td>
<td>For evaluation and testing environments, select the Thin Provision format. For production environments, select one of the Thick Provision formats. Thick Provision Eager Zeroed is a type of thick virtual disk format that supports clustering features such as fault tolerance but takes much longer to create than other types of virtual disks.</td>
</tr>
</tbody>
</table>
### Option

<table>
<thead>
<tr>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Setup Networks/Network Mapping</strong></td>
</tr>
</tbody>
</table>

If you are using a vSphere Web Client, the Setup Networks page allows you to map each NIC to a network and specify protocol settings.

Map the networks used in the OVF template to networks in your inventory.

a. Select the first row in the table **Internet** and then click the down arrow to select the destination network. If you select IPv6 as the IP protocol, you must select the network that has IPv6 capabilities.

After you select the row, you can also enter IP addresses for the DNS server, gateway, and netmask in the lower portion of the window.

b. If you are using more than one NIC, select the next row **ManagementNetwork**, select the destination network, and then you can enter the IP addresses for the DNS server, gateway, and netmask for that network.

If you are using only one NIC, all the rows are mapped to the same network.

c. If you have a third NIC, also select the third row and complete the settings.

If you are using only two NICs, for this third row **BackendNetwork**, select the same network that you used for **ManagementNetwork**.

**Note** Ignore the IP protocol drop-down menu if it is displayed, and do not make any selection here. The actual selection of IP protocol (IPv4/IPv6/both) depends on what IP mode is specified for IPMode for NIC 1 (eth0), NIC 2 (eth1), and NIC 3 (eth2) when customizing Networking Properties.
<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Customize Network Properties</td>
<td>The text boxes on the Properties page are specific to Unified Access Gateway and might not be required for other types of virtual appliances. Text in the wizard page explains each setting. If the text is truncated on the right side of the wizard, resize the window by dragging from the lower-right corner. For each of the NICs, for STATICV4, you must enter the IPv4 address for the NIC. For STATICV6, you must enter the IPv6 address for the NIC. If you leave the text boxes empty, the IP address allocation defaults to DHCPV4+DHCPV6. <strong>Important</strong> The latest release of Unified Access Gateway does not accept netmask or prefix values and default gateway settings from the Network Protocol Profile (NPP). To configure Unified Access Gateway with static IP allocation, you must configure the netmask/prefix under network properties. These values do not be populated from NPP.</td>
</tr>
</tbody>
</table>

- IPMode for NIC1 (eth0):  
- IPMode for NIC2(eth1):  
- IPMode for NIC3 (eth2):  
- Comma-separated list of forward rules in the form {tcp|udp}/listening-port-number/destination-ip-address:destination-port-number. For example, for IPv4, tcp/5262/10.110.92.129:9443, tcp/5263/10.20.30.50:7443.  
- NIC 1 (eth0) IPv4 address. Enter the IPv4 address for the NIC if you entered STATICV4 for the NIC mode.  
  - Comma-separated list of IPv4 custom routes for NIC 1 (eth0) in the form ipv4-network-address/bits ipv4-gateway-address. For example, 20.2.0.0/16 10.2.0.1, 10.2.0.1/32.  
  - **Note** If ipv4-gateway-address is not specified, then the respective route that is added has a gateway of 0.0.0.0.  
- NIC 1 (eth0) IPv6 address. Enter the IPv6 address for the NIC if you entered STATICV6 for the NIC mode.  
- NIC 1 (eth0) IPv6 Netmask. Enter the IPv6 netmask for the NIC.  
- NIC 1 (eth0) IPv6 Prefix. Enter the IPv6 prefix for the NIC.  
- DNS server addresses. Enter space-separated IPv4 or IPv6 addresses of the domain name servers for the Unified Access Gateway appliance. Example of IPv4 entry is 192.0.2.1, 192.0.2.2. Example of IPv6 entry is fc00:10:112:54::1.  
- IPv4 Default Gateway. Enter a IPv4 default gateway if Unified Access Gateway needs to communicate to an IP address that is not on a local segment of any NIC in Unified Access Gateway.  
- IPv6 Default Gateway. Enter a IPv6 default gateway if Unified Access Gateway needs to communicate to an IP address that is not on a local segment of any NIC in Unified Access Gateway.  
- NIC 2 (eth1) IPv4 address. Enter the IPv4 address for the NIC if you entered STATICV4 for the NIC mode.
### Option Description

- **Comma-separated list of IPv4 custom routes for NIC 2 (eth1) in the form ipv4-network-address/bits ipv4-gateway-address.** For example, 20.2.0.0/16 10.2.0.1, 20.9.0.0/16, 10.2.0.1/32
  
  **Note** If ipv4-gateway-address is not specified, then the respective route that is added has a gateway of 0.0.0.0

- **NIC 2 (eth1) IPv6 address.** Enter the IPv6 address for the NIC if you entered STATICV6 for the NIC mode.

- **NIC 2 (eth1) IPv4 Netmask.** Enter the IPv4 netmask for this NIC.

- **NIC 2 (eth1) IPv6 Prefix.** Enter the IPv6 prefix for this NIC.

- **NIC 3 (eth2) IPv4 address.** Enter the IPv4 address for the NIC if you entered STATICV4 for the NIC mode.

- **Comma-separated list of IPv4 custom routes for NIC 3 (eth2) in the form ipv4-network-address/bits ipv4-gateway-address.** For example, 20.2.0.0/16 10.2.0.1, 20.9.0.0/16, 10.2.0.1/32
  
  **Note** If ipv4-gateway-address is not specified, then the respective route that is added has a gateway of 0.0.0.0

- **NIC 3 (eth2) IPv6 address.** Enter the IPv6 address for the NIC if you entered STATICV6 for the NIC mode.

- **NIC 3 (eth2) IPv4 Netmask.** Enter the IPv4 netmask for this NIC.

- **NIC 3 (eth2) IPv6 Prefix.** Enter the IPv6 prefix for this NIC.

- **VM root user password.** Enter the password for the root user to log in to the VM console.

- **Admin UI password.** Enter the password for the admin user to configure Unified Access Gateway from the Admin UI and also access the REST APIs. Other settings are either optional or already have a default setting entered.

---

### Join CEIP

Select **Join the VMware Customer Experience Improvement Program** to join CEIP or deselect the option to leave CEIP.

---

5. On the Ready to Complete page, select **Power on after deployment**, and click **Finish**.

   A Deploy OVF Template task appears in the vCenter Server status area so that you can monitor deployment. You can also open a console on the virtual machine to view the console messages that are displayed during system boot. A log of these messages is also available in the file /var/log/boot.msg.

6. When deployment is complete, verify that end users can connect to the appliance by opening a browser and entering the following URL:

   ```
   https://FQDN-of-UAG-appliance
   ```

   In this URL, **FQDN-of-UAG-appliance** is the DNS-resolvable, fully qualified domain name of the Unified Access Gateway appliance.

   If deployment was successful, you see the Web page provided by the server that Unified Access Gateway is pointing to. If deployment was not successful, you can delete the appliance virtual machine and deploy the appliance again. The most common error is not entering certificate thumbprints correctly.
The Unified Access Gateway appliance is deployed and starts automatically.

**What to do next**

- Log in to the Unified Access Gateway admin user interface (UI) and configure the desktop and application resources to allow remote access from the Internet through Unified Access Gateway and the authentication methods to use in the DMZ. The administration console URL is in the format `https://<mycoUnified Access Gatewayappliance.com:9443/admin/index.html`.

**Important**  You must complete the Unified Access Gateway configuration post-deployment using the Admin UI. If you do not provide the Admin UI password, you cannot add an Admin UI user later to enable access to either the Admin UI or the API. You must redeploy your Unified Access Gateway instance with a valid Admin UI password if you want to add an Admin UI user.

**Note**  If you are not able to access the Admin UI login screen, check to see if the virtual machine has the IP address displayed during the installation of the OVA. If the IP address is not configured, use the VAMI command mentioned in the UI to reconfigure the NICs. Run the command as "cd /opt/vmware/share/vami" then the command "/vami_config_net".

- If you have deployed using vSphere or PowerShell, perform a health check and ensure that the newly deployed instance returns a 200 OK response.

### Configuring Unified Access Gateway From the Admin Configuration Pages

After you deploy the OVF and the Unified Access Gateway appliance is powered on, log in to the Unified Access Gateway admin User Interface to configure the settings.

**Note**  When you launch the Unified Access Gateway Admin console for the first time, you are prompted to change the password you set when you deployed the appliance.

The General Settings page and Advanced Settings page include the following.

- Unified Access Gateway system configuration and TLS server certificate
- Edge service settings for Horizon, Reverse Proxy, and VMware Tunnel, and Content Gateway (also called CG)
- Authentication settings for RSA SecurID, RADIUS, X.509 Certificate, and RSA Adaptive Authentication
- SAML identity provider and service provider settings
- Network settings
- Endpoint Compliance Check Provider settings
- Identity Bridging setting configuration
- Account Settings
The following options can be accessed from the Support Settings pages.

- Download Unified Access Gateway log files.
- Export Unified Access Gateway settings to retrieve the configuration settings.
- Set the log level settings.
- Import Unified Access Gateway settings to create and update an entire Unified Access Gateway configuration.

**Configure Unified Access Gateway System Settings**

You can configure the security protocols and cryptographic algorithms that are used to encrypt communications between clients and the Unified Access Gateway appliance from the admin configuration pages.

**Prerequisites**

- Review the Unified Access Gateway Deployment Properties. The following settings information is required:
  - Static IP address for the Unified Access Gateway appliance
  - IP Address of the DNS server
  - Password for the administration console
  - URL of the server instance or load balancer that the Unified Access Gateway appliance points to
  - Syslog server URL to save the event log files

**Procedure**

1. In the admin UI Configure Manual section, click **Select**.
2. In the Advanced Settings section, click the **System Configuration** gearbox icon.
3. Edit the following Unified Access Gateway appliance configuration values.

<table>
<thead>
<tr>
<th>Option</th>
<th>Default Value and Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>UAG Name</strong></td>
<td>Unique UAG appliance name.</td>
</tr>
</tbody>
</table>

**Locale**

Specifies the locale to use when generating error messages.

- **en-US** for American English. This is the default.
- **ja_JP** for Japanese
- **fr_FR** for French
- **de_DE** for German
- **zh_CN** for Simplified Chinese
- **zh_TW** for Traditional Chinese
- **ko_KR** for Korean
- **es** for Spanish
- **pt_BR** for Brazilian Portuguese
- **en_BR** for British English
<table>
<thead>
<tr>
<th>Option</th>
<th>Default Value and Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cipher Suites</td>
<td>Most cases, the default settings do not need to be changed. This is the cryptographic algorithms that are used to encrypt communications between clients and the Unified Access Gateway appliance. Cipher settings are used for enabling various security protocols.</td>
</tr>
<tr>
<td>Honor Cipher Order</td>
<td>Default is NO. Select YES to enable TLS cipher list order control.</td>
</tr>
<tr>
<td>TLS 1.0 Enabled</td>
<td>Default is NO. Select YES to enable TLS 1.0 security protocol.</td>
</tr>
<tr>
<td>TLS 1.1 Enabled</td>
<td>Default is YES. The TLS 1.1 security protocol is enabled.</td>
</tr>
<tr>
<td>TLS 1.2 Enabled</td>
<td>Default is YES. The TLS 1.2 security protocol is enabled.</td>
</tr>
<tr>
<td>Syslog URL</td>
<td>Enter the Syslog server URL that is used for logging Unified Access Gateway events. This value can be a URL or a host name or IP address. If you do not set the syslog server URL, no events are logged. Enter as syslog://server.example.com:514.</td>
</tr>
<tr>
<td>Health Check URL</td>
<td>Enter a URL that the load balancer connects to and checks the health of Unified Access Gateway.</td>
</tr>
<tr>
<td>Cookies to be Cached</td>
<td>The set of cookies that Unified Access Gateway caches. The default is none.</td>
</tr>
<tr>
<td>IP Mode</td>
<td>Select the static IP mode, either STATICV4 OR STATICV6.</td>
</tr>
<tr>
<td>Session Timeout</td>
<td>Default value is 36000000 milliseconds.</td>
</tr>
<tr>
<td>Quiesce Mode</td>
<td>Enable YES to pause the Unified Access Gateway appliance to achieve a consistent state to perform maintenance tasks</td>
</tr>
<tr>
<td>Monitor Interval</td>
<td>Default value is 60.</td>
</tr>
<tr>
<td>Password Age</td>
<td>Number of days current administrator password is valid. The default is 90 days. Specify zero (0) if password will never expire.</td>
</tr>
<tr>
<td>Request Timeout</td>
<td>Specify the request timeout in seconds. The default is 3000.</td>
</tr>
<tr>
<td>Body Receive Timeout</td>
<td>Specify the body receive timeout in seconds. The default is 5000.</td>
</tr>
<tr>
<td>Authentication Timeout</td>
<td>Specify the authentication timeout in seconds. The default is 300000.</td>
</tr>
<tr>
<td>Join CEIP</td>
<td>If enabled, sends Customer Experience Improvement Program (&quot;CEIP&quot;) information to VMware. See Join or Leave the Customer Experience Improvement Program for details.</td>
</tr>
</tbody>
</table>

4 Click **Save**.

**What to do next**

Configure the edge service settings for the components that Unified Access Gateway is deployed with. After the edge settings are configured, configure the authentication settings.

**Change NIC Settings**

You can modify the NIC settings such as the IP address and the IP allocation mode for the configured NICs from the admin UI.

Note the following limitations when you modify the NIC settings:

- IPv4 is the only supported IP mode, IPv6 is not supported.
- When the IP address is changed on a management network IP dynamically, browser redirection is not supported to the new IP address,
When the IP address is changed, all the current sessions in the edge service are lost.

When you modify the NIC settings that the administrator interface is currently using, a warning message is displayed that the admin UI might become inaccessible.

After you update the IP address, sometimes the VAMI command may fail to assign the new address. In such scenario, the Admin UI redirects to the new IP address with a success notification even though the new IP address is not assigned. However, the old IP address is rolled back and the user can access the Admin UI through the old IP address.

**Prerequisites**

- Ensure that you have administrator privileges.
- If you are changing the IP to a static IP address, you must know the address beforehand.

**Procedure**

1. In the admin UI Configure Manually section, click **Select**.

2. Under **Advanced Settings**, click the gearbox icon next to **Network Settings**.

   A list of configured NICs and their settings is displayed.

3. In the Network Settings window, click the gearbox icon next to the NIC whose settings you want to change and enter the following information:

   The Static Routes, Netmask, and Gateway text boxes cannot be modified.

<table>
<thead>
<tr>
<th>Label</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>IP Address</td>
<td>IP address of the NIC. You do not need to specify the IP address if you select Dynamic IP allocation.</td>
</tr>
<tr>
<td>IP Allocation Mode</td>
<td>Select whether the IP should be allocated statically or dynamically.</td>
</tr>
</tbody>
</table>

4. Click **Save**.

   If the settings are changed successfully, a success message is displayed. An error message is displayed if the NIC settings cannot be updated.

**Configure User Account Settings**

As a superuser administrator who has complete access to the Unified Access Gateway system, you can add and delete users, change passwords, and modify roles for the users from the admin configuration pages.

The account settings, including the details of the low-privileged administrator, cannot be exported from or imported into the appliance settings. To configure a new low-privileged account on a new instance of Unified Access Gateway, configure manually through the admin UI.
Add a Low Privilege Administrator

You can now configure and add a low-privilege administrator who can perform a limited number of tasks such as read-only operations, system monitoring and so on.

**Note** Currently, you can add only one low-privilege administrator to an instance of Unified Access Gateway.

**Procedure**

1. In the admin UI Configure Manually section, click **Select**.
2. Under Advanced Settings, select the Account Settings gearbox icon.
3. In the Account Settings window, click **Add**.
   - The role is automatically set to ROLE_MONITORING.
4. In the Account Settings window, enter the following information:
   a. A unique user name for the user.
   b. (Optional) Select the **Enabled** box if you want to enable the user immediately after adding the user.
   c. Enter a password for the user. Passwords must be at least 8 characters long, contain at least one uppercase and one lowercase letter, one digit, and one special character, which includes ! @ # $ % * ( ).
   d. Confirm the password.
5. Click **Save**.

The administrator you added is listed under Account Settings.

**What to do next**

The low-privilege administrator can log in to the system to change the password or perform monitoring tasks.

**Modify User Account Settings**

As a superuser administrator, you can change the password for a user, and enable or disable a user.

You can also change your own password, but you cannot disable your own account.

**Procedure**

1. In the admin UI Configure Manually section, click **Select**.
2. In the Advanced Settings section, click Account Settings.
   - A list of users is displayed.
3. Click the gearbox icon next to the user whose account you want to modify.
4  Edit the following values.
   a  Select or deselect the Enable box depending on whether you want to enable or disable the user.
   b  To reset the user password, enter a new password, and confirm the password. If you are logged
       in as admin, you must enter your old password also.

       Passwords must be at least 8 characters long, contain at least one uppercase and one lowercase
       letter, one digit, and one special character, which includes ! @ $ % * ( ).

5  Click Save.

Delete a User
As a super-user administrator, you can delete a non-root user.
You cannot delete a root administrator.

Procedure
1  In the Admin UI Configure Manually section, click Select.

2  Under Advanced Settings, select the Account Settings gearbox icon.
   A list of users is displayed.

3  Click the ‘x’ button next to the user you want to delete.

   Caution  The user is deleted immediately. This action cannot be undone.

The user account is deleted and a message is displayed.

Update SSL Server Signed Certificates
You can replace your signed certificates when they expire or substitute the default certificates with CA-
signed certificates.

For production environments, VMware strongly recommends that you replace the default certificate as
soon as possible. The default TLS/SSL server certificate that is generated when you deploy an
Unified Access Gateway appliance is not signed by a trusted Certificate Authority.

Note the following considerations when you upload a certificate:

- You can replace the default certificate with a CA-signed PEM certificate for both the administrator and
  the user.

- When you upload a CA-signed certificate on the admin interface, the SSL connector on the admin
  interface is updated and restarted to ensure the uploaded certificate takes effect. If the connector fails
  to restart with the uploaded CA-signed certificate, a self-signed certificate is generated and applied on
  the admin interface and the user is notified that the previous attempt to upload a certificate was
  unsuccessful.
Prerequisites

- New signed certificate and private key saved to a computer that you can access.
- Convert the certificate to PEM-format files and convert the `.pem` to one-line format. See Convert Certificate Files to One-Line PEM Format.

Procedure

1. In the administration console, click Select.
2. In the Advanced Settings section, click the SSL Server Certificate Settings gearbox icon.
3. Select either Admin Interface or Internet Interface to apply the certificate to either of the interfaces. You can also select both to apply the certificate to both the interfaces.
4. Select a Certificate Type of PEM or PFX.
5. If the Certificate Type is PEM:
   a. In the Private Key row, click Select and browse to the private key file.
   b. Click Open to upload the file.
   c. In the Certificate Chain row, click Select and browse to the certificate chain file.
   d. Click Open to upload the file.
6. If the Certificate Type is PFX:
   a. In the Upload PFX row, click Select and browse to the pfx file.
   b. Click Open to upload the file.
   c. Enter the password of the PFX certificate.
   d. Enter an alias for the PFX certificate. You can use the alias to distinguish when multiple certificates are present.
7. Click Save.

A confirmation message is displayed when the certificate is updated successfully.

What to do next

- If you updated the certificate with a CA-signed certificate and the CA that signed the certificate is not well known, configure clients to trust the root and intermediate certificates.
- If you uploaded a CA-signed certificate for the Admin Interface, close the browser and reopen the Admin UI in a new browser window.
- If a CA-signed certificate is in effect on the admin interface and you upload a self-signed certificate, the Admin UI may not behave as expected. Clear the browser cache and open the Admin UI in a new window.
Using PowerShell to Deploy Unified Access Gateway

A PowerShell script can be used to deploy Unified Access Gateway. The PowerShell script is delivered as a sample script that you can adapt to your environment specific needs.

When you use the PowerShell script, to deploy Unified Access Gateway, the script calls the OVF Tool command and validates the settings to automatically construct the correct command-line syntax. This method also allows advanced settings such as configuration of the TLS/SSL server certificate to be applied at deployment time.

This chapter includes the following topics:
- System Requirements to Deploy Unified Access Gateway Using PowerShell
- Using PowerShell to Deploy the Unified Access Gateway Appliance

System Requirements to Deploy Unified Access Gateway Using PowerShell

To deploy Unified Access Gateway using PowerShell script, you must use specific versions of VMware products.

- VMware vSphere ESXi host with a vCenter Server.
- PowerShell script runs on Windows 8.1 or later machines or Windows Server 2008 R2 or later.
  - The machine can also be a vCenter Server running on Windows or a separate Windows machine.
- The Windows machine running the script must have VMware OVF Tool command installed.
  - You must install OVF Tool 4.0.1 or later from https://www.vmware.com/support/developer/ovf/.

You must select the vSphere data store and the network to use.
Using PowerShell to Deploy the Unified Access Gateway Appliance

PowerShell scripts prepare you environment with all the configuration settings. When you run the PowerShell script to deploy Unified Access Gateway, the solution is ready for production on first system boot.

**Important**  With a PowerShell deployment, you can provide all the settings in the INI file, and the Unified Access Gateway instance is production-ready as soon as it is booted up. If you do not want to change any settings post-deployment, you need not provide the Admin UI password.

However, both Admin UI and the API are not available if the Admin UI password is not provided during deployment.

**Note**  If you do not provide the Admin UI password at the time of deployment, you cannot add a user later to enable access to either the Admin UI or the API. You must redeploy your Unified Access Gateway instance with a valid password if you want to add an Admin UI user.

**Prerequisites**

- For a Hyper-V deployment, and if you are upgrading Unified Access Gateway with static IP, delete the older appliance before deploying the newer instance of Unified Access Gateway.

- Verify that the system requirements are appropriate and available for use.

This is a sample script to deploy Unified Access Gateway in your environment.

**Figure 3-1. Sample PowerShell Script**

![Sample PowerShell Script](image)
Procedure

1. Download the Unified Access Gateway OVA from My VMware to your Windows machine.
2. Download the `uagdeploy-XXX.zip` files into a folder on the Windows machine.
   The ZIP files are available at https://communities.vmware.com/docs/DOC-30835.
3. Open a PowerShell script and modify the directory to the location of your script.
   For example: Deploy a new Unified Access Gateway appliance AP1. The configuration file is named `ap1.ini`. This file contains all the configuration settings for AP1. You can use the sample .INI files in the `apdeploy.ZIP` file to create the .INI file and modify the settings appropriately.

   **Note** You can have unique .INI files for multiple Unified Access Gateway deployments in your environment. You must change the IP Addresses and the name parameters in the .INI file appropriately to deploy multiple appliances.

   **Example of the .INI File to modify.**

```
[General]
netManagementNetwork=
netInternet=
netBackendNetwork=
name=
dns=10.112.64.1
ip0=10.108.120.119
diskMode=
source=
defaultGateway=10.108.120.125
target=
ds=
authenticationTimeout=300000
fipsEnabled=false
uagName=trustedcert
locale=en_US
ipModeforNIC3=DHCPV4_DHCPV6
tls12Enabled=true
ipMode=DHCPV4_DHCPV6
requestTimeoutMsec=10000
ipModeforNIC2=DHCPV4_DHCPV6
tls11Enabled=true
clientConnectionIdleTimeout=180
tls10Enabled=false
adminCertRolledBack=false
honorCipherOrder=false
cookiesToBeCached=none
healthCheckUrl=/favicon.ico
quiesceMode=false
iscipherSetByUser=false
tlsPortSharingEnabled=true
ceipEnabled=true
```
bodyReceiveTimeoutMsec=15000
monitorInterval=60
cipherSuites=TLS_ECDHE_RSA_WITH_AES_128_CBC_SHA256,TLS_ECDHE_RSA_WITH_AES_128_CBC_SHA,TLS_RSA_WITH_AES_128_CBC_SHA
adminPasswordExpirationDays=90
httpConnectionTimeout=120
isTLS11SetByUser=false
sessionTimeout=36000000
ssl30Enabled=false

[WebReverseProxy1]
proxyDestinationUrl=https://10.108.120.21
trustedCert1=
ipAddress=10.108.120.21
instanceId=view
healthCheckUrl=/favicon.ico
userNameHeader=AccessPoint-User-ID
proxyPattern=/(.*)
landingPagePath=/
hostEntry1=10.108.120.21 HZNView.uagq.e.auto.com

Horizon
proxyDestinationUrl=https://enterViewConnectionServerUrl
gatewayLocation=external
disableHtmlAccess=false
healthCheckUrl=/favicon.ico
proxyDestinationIPSupport=IPV4
smartCardHintPrompt=false
queryBrokerInterval=300
proxyPattern=(/|/view-client/.*|/portal/.*|/appblast/.*)
matchWindowsUserName=false
windowsSSOEnabled=false

[SSLCert]
pemPrivKey=
pemCerts=
pfxCerts=
pfxCertAlias=

[SSLCertAdmin]
pemPrivKey=
pemCerts=
pfxCerts=
pfxCertAlias=
To make sure that the script execution is successful, type the PowerShell `set-executionpolicy` command.

```
set-executionpolicy -scope currentuser unrestricted
```

You must run this command once and only if it is currently restricted.

a. (Optional) If there is a warning for the script, run the following command to unblock the warning:

```
unblock-file -path .\uagdeploy.ps1
```

Run the command to start the deployment. If you do not specify the .INI file, the script defaults to `ap.ini`.

```
.\uagdeploy.ps1 -iniFile ap1.ini
```

Enter the credentials when prompted and complete the script.

**Note** If you are prompted to add the fingerprint for the target machine, enter `yes`.

Unified Access Gateway appliance is deployed and available for production.

For more information on PowerShell scripts, see [https://communities.vmware.com/docs/DOC-30835](https://communities.vmware.com/docs/DOC-30835).

**What to do next**

If you want to upgrade Unified Access Gateway while preserving the existing settings, edit the .ini file to change the source reference to the new version and rerun the .ini file: `uagdeploy.ps1 uag1.ini`. This process can take up to 3 minutes.

```
[General]
name=UAG1
source=C:\temp\euc-unified-access-gateway-3.2.1-7766089_OVF10.ova
```

If you want to upgrade with zero service interruption, see [Upgrade with Zero Downtime](https://communities.vmware.com/docs/DOC-30835).
Deployment Use Cases for Unified Access Gateway

The deployment scenarios described in this chapter can help you identify and organize the Unified Access Gateway deployment in your environment.

You can deploy Unified Access Gateway with Horizon, Horizon Cloud with On-Premises Infrastructure, VMware Identity Manager, and VMware AirWatch.

This chapter includes the following topics:
- Deployment with Horizon and Horizon Cloud with On-Premises Infrastructure
- Endpoint Compliance Checks for Horizon
- Deployment as Reverse Proxy
- Deployment for Single Sign-on Access to On-Premises Legacy Web Apps
- VMware AirWatch Components on Unified Access Gateway
- Additional Deployment Use Cases

Deployment with Horizon and Horizon Cloud with On-Premises Infrastructure

You can deploy Unified Access Gateway with Horizon Cloud with On-Premises Infrastructure and Horizon Air cloud infrastructure.

Deployment Scenario

Unified Access Gateway provides secure remote access to On-Premises virtual desktops and applications in a customer data center. This operates with an On-Premises deployment of Horizon or Horizon Air for unified management.

Unified Access Gateway provides the enterprise with strong assurance of the identity of the user, and precisely controls access to their entitled desktops and applications.

Unified Access Gateway virtual appliances are typically deployed in a network demilitarized zone (DMZ). Deploying in the DMZ ensure that all traffic entering the data center to desktop and application resources is traffic on behalf of a strongly authenticated user. Unified Access Gateway virtual appliances also ensure that the traffic for an authenticated user can be directed only to desktop and application resources to which the user is entitled. This level of protection involves specific inspection of desktop protocols and coordination of potentially rapid changing policies and network addresses, to accurately control access.
The following figure shows an example of a configuration that includes front-end and back-end firewalls.

**Figure 4-1. Unified Access Gateway in DMZ Topology**

You must verify the requirements for seamless Unified Access Gateway deployment with Horizon.

- Unified Access Gateway appliance points to a load balancer in front of the Horizon servers, the selection of the server instance is dynamic.

- By default, port 8443 must be available for Blast TCP/UDP. However, port 443 can also be configured for Blast TCP/UDP.

  **Note** If you configure Unified Access Gateway to use both IPv4 and IPv6 mode, then the Blast TCP/UDP must be set to port 443. You can enable Unified Access Gateway to act as a bridge for IPv6 Horizon clients to connect to an IPv4 backend Connection Server or agent environment. See Support for IPv4 and IPv6 Dual Mode for Horizon Infrastructure.

- The Blast Secure Gateway and PCoIP Secure Gateway must be enabled when Unified Access Gateway is deployed with Horizon. This ensures that the display protocols can serve as proxies automatically through Unified Access Gateway. The BlastExternalURL and pcoipExternalURL settings specify connection addresses used by the Horizon Clients to route these
display protocol connections through the appropriate gateways on Unified Access Gateway. This provides improved security as these gateways ensure that the display protocol traffic is controlled on behalf of an authenticated user. Unauthorized display protocol traffic is disregarded by Unified Access Gateway.

- Disable the secure gateways (Blast Secure Gateway and PCoIP Secure Gateway) on Horizon Connection Server instances and enable these gateways on the Unified Access Gateway appliances.

It is recommended that users deploying Horizon 7 use Unified Access Gateway appliance instead of Horizon security server.

**Note** View, a component of Horizon 7, does not work with an enabled web reverse proxy when there is an overlap in the proxy pattern. Therefore, if both Horizon and a web reverse proxy instance are configured and enabled with proxy patterns on the same Unified Access Gateway instance, remove the proxy pattern ‘/’ from Horizon settings and retain the pattern in the web reverse proxy to prevent the overlap. Retaining the ‘/’ proxy pattern in the web reverse proxy instance ensures that when a user clicks the URL of Unified Access Gateway, the correct web reverse proxy page is displayed. If only Horizon settings are configured, the above change is not required.

The differences between Horizon security server and Unified Access Gateway appliance is as follows.

- Secure deployment. Unified Access Gateway is implemented as a hardened, locked-down, preconfigured Linux-based virtual machine.

- Scalable. You can connect Unified Access Gateway to an individual Horizon Connection Server, or you can connect it through a load balancer in front of multiple Horizon Connection Servers, giving improved high availability. It acts as a layer between Horizon Clients and back end Horizon Connection Servers. As the deployment is fast, it can rapidly scale up or down to meet the demands of fast-changing enterprises.
Alternatively you can have one or more Unified Access Gateway appliances pointing to an individual server instance. In both approaches, use a load balancer in front of two or more Unified Access Gateway appliances in the DMZ.
Authentication

User authentication is similar to View security server. Supported user authentication methods in Unified Access Gateway include the following:

- Active Directory user name and password.
- Kiosk mode. For details about Kiosk mode, see the Horizon documentation.
- RSA SecurID two-factor authentication, formally certified by RSA for SecurID.
- RADIUS via various third party, two-factor security-vendor solutions.
- Smart card, CAC, or PIV X.509 user certificates.
- SAML.
These authentication methods are supported with View Connection Server. Unified Access Gateway is not required to communicate directly with Active Directory. This communication serves as a proxy through the View Connection Server, which can directly access Active Directory. After the user session is authenticated according to the authentication policy, Unified Access Gateway can forward requests for entitlement information, and desktop and application launch requests, to the View Connection Server. Unified Access Gateway also manages its desktop and application protocol handlers to allow them to forward only authorized protocol traffic.

Unified Access Gateway handles smart card authentication by itself. This includes options for Unified Access Gateway to communicate with Online Certificate Status Protocol (OCSP) servers to check for X.509 certificate revocation, and so on.

**Support for IPv4 and IPv6 Dual Mode for Horizon Infrastructure**

You can use Unified Access Gateway to act as a bridge for IPv6 Horizon clients to connect to an IPv4 back end Connection Server or agent environment.

You can deploy Unified Access Gateway in twonic mode with the front-end NIC in mixed IPv4/IPv6 mode and the Horizon back end or management NIC in IPv4 mode. The Horizon back end environment might consist of Connection Servers, agent desktops, or other server-side infrastructure.

**Note** When you configure Unified Access Gateway in IPv4/IPv6 mode, ensure that the Blast External URL for TCP/UDP is set to 443. See Deployment with Horizon and Horizon Cloud with On-Premises Infrastructure and Configure Horizon Settings.

The following Horizon client and server IP modes are supported.

**Table 4-1. Supported Horizon Settings (IP Modes)**

<table>
<thead>
<tr>
<th>Horizon Client Mode</th>
<th>Horizon Server Mode</th>
<th>Supported</th>
</tr>
</thead>
<tbody>
<tr>
<td>IPv4</td>
<td>IPv4</td>
<td>Yes</td>
</tr>
<tr>
<td>IPv6</td>
<td>IPv4</td>
<td>Yes</td>
</tr>
<tr>
<td>IPv6</td>
<td>IPv6</td>
<td>Yes</td>
</tr>
<tr>
<td>IPv4</td>
<td>IPv6</td>
<td>No</td>
</tr>
</tbody>
</table>

When installing a Horizon client, if you select **Automatic Selection** or **Dual**, connection occurs over IPv4 or IPv6, depending on the current network.

**Advanced Edge Service Settings**

Unified Access Gateway uses different variables to differentiate between edge services, configured web proxies, and proxy destination URLs.
Proxy Pattern and Unsecure Pattern

Unified Access Gateway uses proxy pattern to forward incoming HTTP requests to the right edge service such as Horizon or to one of the configured web reverse proxy instances such as VMware Identity Manager. It is therefore used as a filter to decide if a reverse proxy is needed to process incoming traffic.

If a reverse proxy is selected, then the proxy uses a specified unsecure pattern to decide whether to allow the incoming traffic to go to the back end without being authenticated or not.

The user must specify a proxy pattern, specifying an unsecure pattern is optional. The unsecure pattern is used by web reverse proxies such as VMware Identity Manager which have their own login mechanism and want certain URLs such as log in page paths, javascripts, or image resources, to be passed to the back end without being authenticated.

Note: An unsecure pattern is a subset of the proxy pattern and therefore some paths might be repeated between both of them for a reverse proxy.

Each edge service can have a different pattern. For example, the Proxy Pattern for Horizon can be configured as (/|/view-client(.*)|/portal(.*)|/appblast.*) and the pattern for VMware Identity Manager can be configured as (//|/SAAS(.*)|/hc(.*)|/web(.*)|/catalog-portal.*).

Note: View, a component of Horizon, does not work with an enabled web reverse proxy when there is an overlap in the proxy pattern. Therefore, if both Horizon and a web reverse proxy instance such as VMware Identity Manager are configured and enabled with proxy patterns on the same Unified Access Gateway instance, remove the proxy pattern '/' from Horizon settings and retain the pattern in VMware Identity Manager to prevent the overlap.

Retaining the '/' proxy pattern in the web reverse proxy instance (VMware Identity Manager) ensures that when a user clicks the URL of Unified Access Gateway, the VMware Identity Manager page is displayed.

If only Horizon settings are configured, the above change is not required.

Proxy Host Pattern

If there are multiple web reverse proxy instances configured, and there is an overlap in Proxy Patterns, Unified Access Gateway uses the Proxy Host Pattern to differentiate between them. Configure Proxy Host Pattern as the FQDN of the reverse proxy.

For example, a host pattern for Sharepoint can be configured as sharepoint.myco.com and a pattern for JIRA can be configured as jira.myco.com.
Host Entries
Configure this text box only if Unified Access Gateway is not able to reach the back end server or application. When you add the IP address and hostname of the back end application to the Host Entries, that information is added to the `/etc/hosts` file of Unified Access Gateway. This field is common across all the edge service settings.

Proxy Destination URL
This is the back end server application URL of the edge service settings for which Unified Access Gateway is the proxy. For example:

- For View, a component of Horizon, the connection server URL is the proxy destination URL.
- For web reverse proxy, the application URL of the configured web reverse proxy is the proxy destination URL.

Single Reverse Proxy Configuration
When Unified Access Gateway receives a single incoming request with a URI, the proxy pattern is used to decide whether to forward the request or drop it.

Multiple Reverse Proxy Configuration
1. When Unified Access Gateway is configured as a reverse proxy, and an incoming request arrives with a URI path, Unified Access Gateway uses the proxy pattern to match the correct web reverse proxy instance. If there is a match, the matched pattern is used. If there are multiple matches, then the filtering and matching process is repeated in step 2. If there is no match, the request is dropped and an HTTP 404 is sent back to the client.
2. The proxy host pattern is used to filter the list that was already filtered in step 1. The HOST header is used to filter the request and find the reverse proxy instance. If there is a match, the matched pattern is used. If there are multiple matches, then the filtering and matching process is repeated in step 3.
3. Note the following:
   - The first match from the filtered list in step 2 is used. This match might not always be the correct web reverse proxy instance. Therefore, ensure that the combination of proxy pattern and proxy host pattern for a web reverse proxy instance is unique if there are multiple reverse proxies setup in a Unified Access Gateway.
   - The host name of all the configured reverse proxies should resolve to same IP address as the external address of the Unified Access Gateway instance.

See Configure Reverse Proxy With VMware Identity Manager for more information and instructions about configuring a reverse proxy.
Example: Two Reverse Proxies Configured With Clashing Proxy Patterns, Distinct Host Patterns

Suppose the proxy pattern for the first reverse proxy is /(.*\/) with the host pattern as host1.domain.com and the pattern for the second reverse proxy is (/(app2(.*)|/app3(.*)|)//) with the host pattern as host2.domain.com.

- If a request is made with the path set to https://host1.domain.com/app1/index.html, then the request is forwarded to the first reverse proxy.
- If a request is made with the path set to https://host2.domain.com/app2/index.html, then the request is forwarded to the second reverse proxy.

Example: Two Reverse Proxies With Mutually Exclusive Proxy Patterns

Suppose the proxy pattern for the first reverse proxy is /app1(.*\/) and for the second reverse proxy is (/(app2(.*)|/app3(.*)|//)).

- If a request is made with the path set to https://<uag domain name>/app1/index.html, then the request is forwarded to the first reverse proxy.
- If a request is made with the path set to https://<uag domain name>/app3/index.html or https://<uag domain name>/, then the request is forwarded to the second reverse proxy.

Configure Horizon Settings

You can deploy Unified Access Gateway with Horizon Cloud with On-Premises Infrastructure and Horizon Air cloud infrastructure. For the Horizon deployment, the Unified Access Gateway appliance replaces Horizon security server.

Prerequisites

If you want to have both Horizon and a web reverse proxy instance such as VMware Identity Manager configured and enabled on the same Unified Access Gateway instance, see Advanced Edge Service Settings.

Procedure

1. In the admin UI Configure Manually section, click Select.
2. In the General Settings > Edge Service Settings, click Show.
3. Click the Horizon Settings gearbox icon.
4. In the Horizon Settings page, change NO to YES to enable Horizon.
5 Configure the following edge service settings resources for Horizon:

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Identifier</td>
<td>Set by default to Horizon. Unified Access Gateway can communicate with servers that use the Horizon XML protocol, such as Horizon Connection Server, Horizon Air, and Horizon Cloud with On-Premises Infrastructure.</td>
</tr>
<tr>
<td>Connection Server URL</td>
<td>Enter the address of the Horizon server or load balancer. Enter as <a href="https://00.00.00.00">https://00.00.00.00</a>.</td>
</tr>
<tr>
<td>Connection Server URL Thumbprint</td>
<td>Enter the list of Horizon server thumbprints. If you do not provide a list of thumbprints, ensure that the server certificates are issued by a trusted CA. Enter the hexadecimal thumbprint digits. For example, sha1 = C3 89 A2 19 DC 7A 48 2B 85 1C 81 EC 5E 8F 6A 3C 33 F2 95 C3.</td>
</tr>
<tr>
<td>Enable PCoIP</td>
<td>Change NO to YES to specify whether the PCoIP Secure Gateway is enabled.</td>
</tr>
<tr>
<td>PCOIP External URL</td>
<td>URL used by Horizon clients to establish the Horizon PCoIP session to this Unified Access Gateway appliance. It must contain an IPv4 address and not a hostname. For example, 10.1.2.3:4172. The default is the Unified Access Gateway IP address and port 4172.</td>
</tr>
<tr>
<td>Enable Blast</td>
<td>To use the Blast Secure Gateway, change NO to YES.</td>
</tr>
<tr>
<td>Connection Server IP mode</td>
<td>Select IPv4, IPv6, or IPv4+IPv6 from the drop-down menu. Default is IPv4.</td>
</tr>
</tbody>
</table>
To configure the authentication method rule, and other advanced settings, click More.

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Auth Methods</strong></td>
<td>Select the authentication methods to use.</td>
</tr>
<tr>
<td></td>
<td>The default is to use pass-through authentication of the user name and password.</td>
</tr>
<tr>
<td></td>
<td>The authentication methods you configured in Unified Access Gateway are listed in the drop-down menus. Currently, RSA SecurID and RADIUS authentication methods are supported.</td>
</tr>
<tr>
<td></td>
<td>To configure authentication that includes applying a second authentication method if the first authentication attempt fails.</td>
</tr>
<tr>
<td></td>
<td>a Select one authentication method from the first drop-down menu.</td>
</tr>
<tr>
<td></td>
<td>b Click the + and select either AND or OR.</td>
</tr>
<tr>
<td></td>
<td>c Select the second authentication method from the third drop-down menu.</td>
</tr>
<tr>
<td></td>
<td>To require users to authenticate through two authentication methods, change OR to AND in the drop-down.</td>
</tr>
</tbody>
</table>

**Note**

- With PowerShell deployment, for RSA SecurID authentication, configure this option to use `securid-auth AND sp-auth` to display the passcode screen.
- With vSphere deployment, for RSA SecurID authentication, configure this option to use `securid-auth` to display the passcode screen.
- Add the following lines to the Horizon section of the INI file.

```
authMethods=securid-auth && sp-auth
matchWindowsUserName=true
```

Add a new section at the bottom of your INI file.

```
[SecurIDAuth]
serverConfigFile=C:\temp\sdconf.rec
externalHostName=192.168.0.90
internalHostName=192.168.0.90
```

The IP addresses should both be set to the IP address of Unified Access Gateway. The `sdconf.rec` file is obtained from RSA Authentication Manager which must be fully configured. Verify that you are using Access Point 2.5 or later (or Unified Access Gateway 3.0 or later) and that the RSA Authentication Manager server is accessible on the network from Unified Access Gateway. Rerun the `uagdeploy` PowerShell command to redeploy the Unified Access Gateway configured for RSA SecurID.

<table>
<thead>
<tr>
<th>Health Check URI Path</th>
<th>The URI path for the connection server that Unified Access Gateway connects to, for health status monitoring.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Blast External URL</td>
<td>URL used by Horizon clients to establish the Horizon Blast or BEAT session to this Unified Access Gateway appliance. For example, <code>https://uag1.myco.com</code> or <code>https://uag1.myco.com:443</code>.</td>
</tr>
<tr>
<td></td>
<td>If the TCP port number is not specified, the default TCP port is 8443. If the UDP port number is not specified, the default UDP port is also 8443.</td>
</tr>
<tr>
<td>Enable Tunnel</td>
<td>If the Horizon secure tunnel is used, change NO to YES. The client uses the external URL for tunnel connections through the Horizon Secure Gateway. The tunnel is used for RDP, USB, and multimedia redirection (MMR) traffic.</td>
</tr>
</tbody>
</table>
### Tunnel External URL
- **Description**: URL used by Horizon clients to establish the Horizon tunnel session to this Unified Access Gateway appliance. For example, `https://uag1.myco.com` or `https://uag1.myco.com:443`. If the TCP port number is not specified, the default TCP port is 443.

### Endpoint Compliance Check Provider
- **Description**: Select the endpoint compliance check provider. Default is OPSWAT.

### Proxy Pattern
- **Description**: Enter the regular expression that matches the URIs that are related to the Horizon Server URL (`proxyDestinationUrl`). It has a default value of `(/|/view-client(.*)|/portal(.*)|/appblast(.*))`.

### SAML SP
- **Description**: Enter the name of the SAML service provider for the Horizon XMLAPI broker. This name must either match the name of a configured service provider metadata or be the special value DEMO.

### Match Windows User Name
- **Description**: Change NO to YES to match RSA SecurID and Windows user name. When set to YES, `securID-auth` is set to true and the `securID` and Windows user name matching is enforced.

### Gateway Location
- **Description**: The location from where the connection request originates. The security server and Unified Access Gateway set the gateway location. The location can be external or internal.

### Trusted Certificates
- **Description**: Add a trusted certificate to this edge service. Click '+' to select a certificate in PEM format and add to the trust store. Click '-' to remove a certificate from the trust store. By default, the alias name is the filename of the PEM certificate. Edit the alias text box to provide a different name.

### Host Entries
- **Description**: Enter the details to be added in `/etc/hosts` file. Each entry should include an IP, a hostname, and an optional hostname alias in that order, separated by a space. For example, `10.192.168.1 example1.com, 10.192.168.2 example2.com example-alias`. Click the '+' sign to add multiple host entries.

**Important**: The host entries are saved only after you click Save.

### Disable HTML Access
- **Description**: If set to YES, disables web access to Horizon. See Endpoint Compliance Checks for Horizon for details.

### Blast TCP and UDP External URL Configuration Options

The Blast Secure Gateway includes Blast Extreme Adaptive Transport (BEAT) networking, which dynamically adjusts to network conditions such as varying speeds and packet loss. In Unified Access Gateway, you can configure the ports used by the BEAT protocol.

Blast uses the standard ports TCP 8443 and UDP 8443. UDP 443 can also be used to access a desktop through the UDP tunnel server. The port configuration is set through the Blast External URL property.

---

7. Click **Save**.

---

**VMware, Inc. 54**
### Table 4-2. BEAT Port Options

<table>
<thead>
<tr>
<th>Blast External URL</th>
<th>TCP Port Used by Client</th>
<th>UDP Port Used by Client</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><a href="https://ap1.myco.com">https://ap1.myco.com</a></td>
<td>8443</td>
<td>8443</td>
<td>This form is the default and requires that TCP 8443, and optionally UDP 8443, to be opened at the firewall to allow the connections from the Internet to Unified Access Gateway.</td>
</tr>
<tr>
<td><a href="https://ap1.myco.com:443">https://ap1.myco.com:443</a></td>
<td>443</td>
<td>8443</td>
<td>Use this form when TCP 443 or UDP 8443 are required to be opened.</td>
</tr>
<tr>
<td><a href="https://ap1.myco.com:xxxx">https://ap1.myco.com:xxxx</a></td>
<td>xxxx</td>
<td>8443</td>
<td></td>
</tr>
<tr>
<td><a href="https://ap1.myco.com:xxx">https://ap1.myco.com:xxx</a> x/?UDPPort=yyyy</td>
<td>xxxx</td>
<td>yyyy</td>
<td></td>
</tr>
</tbody>
</table>

To configure ports other than the default, an internal IP forwarding rule must be added for the respective protocol when deployed. The forwarding rules might be specified on the deployment in the OVF template or through the INI files that are input through the PowerShell commands.

### Endpoint Compliance Checks for Horizon

The Endpoint Compliance Checks feature on Unified Access Gateway provides an extra layer of security for accessing Horizon desktops in addition to the other user authentication services that are available on Unified Access Gateway.

You can use the Endpoint Compliance Checks feature to ensure compliance to various policies such as an antivirus policy or encryption policy on endpoints, for example.

Endpoint compliance policy is defined on a service running in cloud or On-Premises.

If Endpoint Compliance Checks is enabled, Unified Access Gateway allows only compliant VDI desktops to be launched and blocks launching of all non-compliant endpoints.

#### Prerequisites

1. Sign up for an OPSWAT account and register your applications on the OPSWAT site. See https://go.opswat.com/communityRegistration.

2. Note down the client key and client secret key. You need the keys to configure OPSWAT in Unified Access Gateway.

3. Log in to the OPSWAT site and configure the compliance policies for your endpoints. See the relevant OPSWAT documentation.

4. On the OPSWAT homepage, click Connect Metadefender Endpoint Management and download and install the agent software on the client device.

#### Procedure

1. Log in to Admin UI and go to Advance Settings > Endpoint Compliance Check Provider Settings.
2 Click **Add** to add the **Client Key** and **Client Secret** key details.

   The **Endpoint Compliance Check Provider** and **Hostname** fields are already filled. Do not change these values.

3 From the Admin UI, navigate to Horizon settings, locate **Endpoint compliance check provider** field, and select OPSWAT from the drop-down menu.

4 Click **Save**.

5 Connect to the remote desktop using the **Endpoint compliance check provider** client.

   The configured Horizon View desktops are listed and when you launch a desktop, the client device is validated for compliance.

**Deployment as Reverse Proxy**

Unified Access Gateway can be used as a web reverse proxy and can act as either a plain reverse proxy or an authenticating reverse proxy in the DMZ.

**Deployment Scenario**

Unified Access Gateway provides secure remote access to an On-Premises deployment of VMware Identity Manager. Unified Access Gateway appliances are typically deployed in a network demilitarized zone (DMZ). With VMware Identity Manager, the Unified Access Gateway appliance operates as a web reverse proxy between a user’s browser and the VMware Identity Manager service in the data center. Unified Access Gateway also enables remote access to the Workspace ONE catalog to start Horizon applications.

**Note** A single instance of Unified Access Gateway can handle up to 15000 simultaneous TCP connections. If the expected load is more than 15000, multiple instances of Unified Access Gateway must be configured behind the load balancer.
See Advanced Edge Service Settings for information about the settings used when configuring reverse proxy.

**Figure 4-4. Unified Access Gateway Appliance Pointing to VMware Identity Manager**
Understanding Reverse Proxy

Unified Access Gateway provides access to the app portal for remote users to single-sign-on and access their resources. The app portal is a back-end application such as Sharepoint, JIRA, or V IDM, for which Unified Access Gateway is acting as the reverse proxy.

**Note** View, a component of Horizon 7, does not work with an enabled web reverse proxy when there is an overlap in the proxy pattern. Therefore, if both Horizon and a web reverse proxy instance are configured and enabled with proxy patterns on the same Unified Access Gateway instance, remove the proxy pattern ‘/’ from Horizon settings and retain the pattern in the web reverse proxy to prevent the overlap. Retaining the ‘/’ proxy pattern in the web reverse proxy instance ensures that when a user clicks the URL of Unified Access Gateway, the correct web reverse proxy page is displayed. If only Horizon settings are configured, the above change is not required.

Note the following points when enabling and configuring reverse proxy:

- You must enable the authentication of the reverse proxy on an Edge Service manager. Currently, RSA SecurID and RADIUS authentication methods are supported.
- You must generate the identity provider metadata (IDP metadata) before enabling authentication on web reverse proxy.
- Unified Access Gateway provides remote access to VMware Identity Manager and web applications with or without authentication from browser-based client and then launch Horizon desktop.
- You can configure multiple instances of the reverse proxy and each configured instance can be deleted.

**Figure 4-5. Multiple Reverse Proxies Configured**

Configure Reverse Proxy With VMware Identity Manager

You can configure the Web reverse proxy service to use Unified Access Gateway with VMware Identity Manager.
Prerequisites

Note the following requirements for deployment with VMware Identity Manager:

- Split DNS. Externally, the host name should get resolved to the IP address of Unified Access Gateway. Internally, on Unified Access Gateway, the same host name should get resolved to the actual web server either through internal DNS mapping or through a host name entry on Unified Access Gateway.

  **Note** If you are deploying only with Web Reverse proxy, there is no need to configure identity bridging.

- VMware Identity Manager service must have fully qualified domain name (FQDN) as hostname.

- Unified Access Gateway must use internal DNS. This means that the proxy Destination URL must use FQDN.

- The combination of proxy pattern and proxy host pattern for a web reverse proxy instance must be unique if there are multiple reverse proxies setup in a Unified Access Gateway instance.

- The host names of all configured reverse proxies should resolve to the same IP address which is the IP address of the Unified Access Gateway instance.

- See Advanced Edge Service Settings for information about the advanced edge service settings.

Procedure

1. In the admin UI Configure Manually section, click Select.

2. In the General Settings > Edge Service Settings, click Show.

3. Click the Reverse Proxy Settings gearbox icon.

4. In the Reverse Proxy Setting page, click Add.

5. In the Enable Reverse Proxy Settings section, change NO to YES to enable reverse proxy.

6. Configure the following edge service settings.

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Identifier</td>
<td>The edge service identifier is set to Web reverse proxy.</td>
</tr>
<tr>
<td>Instance Id</td>
<td>The unique name to identify and differentiate a Web reverse proxy instance from all other Web reverse proxy instances.</td>
</tr>
<tr>
<td>Proxy Destination URL</td>
<td>Enter the address of the Web application, which is usually the back end URL. For example, for VMware Identity Manager, add the IP address, the VMware Identity Manager host name and the external DNS on the client machine. On the Admin UI, add the IP address, the VMware Identity Manager host name and the internal DNS.</td>
</tr>
</tbody>
</table>
## Option Description

### Proxy Destination URL Thumbprints
Enter a list of acceptable SSL server certificate thumbprints for the `proxyDestination` URL. If you specify `*`, any certificate is accepted. A thumbprint is in the format `{alg=}`xx:xx, where `{alg}` can either be the default, `sha1`, or `md5`. The `xx` are hexadecimal digits. The `:` separator can also be a space or missing. The case in a thumbprint is ignored. For example:

- sha1=86 77 DC 9C 19 94 2E F1 78 F0 AD 4B EC 85 D1 7A F8 8B DC 34

If you do not configure the thumbprints, the server certificates must be issued by a trusted CA.

### Proxy Pattern
Enter the matching URI paths that forward to the destination URL. For example, enter as `(/|/SAAS(.*)|/hc(.*)|/web(.*)|/catalog-portal(.*)).`

**Note** When you configure multiple reverse proxies, provide the hostname in the proxy host pattern.

7 To configure other advanced settings, click **More**.

### Option Description

#### Auth Methods
The default is to use pass-through authentication of the user name and password. The authentication methods you configured in Unified Access Gateway are listed in the drop-down menus. RSA SecurID, RADIUS, and Device Certificate Auth methods are supported.

#### Health Check URI Path
Unified Access Gateway connects to this URI path to check the health of your web application.

#### SAML SP
Required when you configure Unified Access Gateway as an authenticated reverse proxy for VMware Identity Manager. Enter the name of the SAML service provider for the View XML API broker. This name must either match the name of a service provider you configured with Unified Access Gateway or be the special value **DEMO**. If there are multiple service providers configured with Unified Access Gateway, their names must be unique.

#### External URL
The default value is the Unified Access Gateway host URL, port 443. You can enter another external URL. Enter as `https://<host:port>`. 
<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Auth Cookie</strong></td>
<td>Enter the authentication cookie name. For example: HZN</td>
</tr>
<tr>
<td><strong>Login Redirect URL</strong></td>
<td>If the user logs out of the portal, enter the redirect URL to log back in. For example: /SAAS/auth/login?dest=%s</td>
</tr>
<tr>
<td><strong>Proxy Host Pattern</strong></td>
<td>External hostname used to check the incoming host to see whether it matches the pattern for that particular instance. Host pattern is optional, when configuring Web reverse proxy instances.</td>
</tr>
<tr>
<td><strong>Trusted Certificates</strong></td>
<td>Add a trusted certificate to this edge service. Click ‘+’ to select a certificate in PEM format and add to the trust store. Click ‘-’ to remove a certificate from the trust store. By default, the alias name is the filename of the PEM certificate. Edit the alias text box to provide a different name.</td>
</tr>
<tr>
<td><strong>Host Entries</strong></td>
<td>Enter the details to be added in /etc/hosts file. Each entry should include an IP, a hostname, and an optional hostname alias in that order, separated by a space. For example, 10.192.168.1 example1.com, 10.192.168.2 example2.com example-alias. Click the ‘+’ sign to add multiple host entries.</td>
</tr>
<tr>
<td><strong>Note</strong></td>
<td>UnSecure Pattern, Auth Cookie, and Login Redirect URL options are applicable only with VMware Identity Manager. The values provided here are also applicable to Access Point 2.8 and Unified Access Gateway 2.9.</td>
</tr>
<tr>
<td><strong>Important</strong></td>
<td>The host entries are saved only after you click Save.</td>
</tr>
<tr>
<td><strong>Note</strong></td>
<td>The Auth Cookie and UnSecure Pattern properties are not valid for authn reverse proxy. You must use the Auth Methods property to define the authentication method.</td>
</tr>
</tbody>
</table>

VMware, Inc.  61
8  Click **Save**.

**What to do next**

To enable identity bridging, see [Configuring Identity Bridging Settings](#).

**Deployment for Single Sign-on Access to On-Premises Legacy Web Apps**

The Unified Access Gateway identity bridging feature can be configured to provide single sign-on (SSO) to legacy Web applications that use Kerberos Constrained Delegation (KCD) or header-based authentication.

Unified Access Gateway in identity bridging mode acts as the service provider that passes user authentication to the configured legacy applications. VMware Identity Manager acts as an identity provider and provides SSO into SAML applications. When users access legacy applications that require KCD or header-based authentication, Identity Manager authenticates the user. A SAML assertion with the user's information is sent to the Unified Access Gateway. Unified Access Gateway uses this authentication to allow users to access the application.

**Note**  View, a component of Horizon 7, does not work with an enabled web reverse proxy when there is an overlap in the proxy pattern. Therefore, if both Horizon and a web reverse proxy instance are configured and enabled with proxy patterns on the same Unified Access Gateway instance, remove the proxy pattern '/' from Horizon settings and retain the pattern in the web reverse proxy to prevent the overlap. Retaining the '/' proxy pattern in the web reverse proxy instance ensures that when a user clicks the URL of Unified Access Gateway, the correct web reverse proxy page is displayed. If only Horizon settings are configured, the above change is not required.
Identity Bridging Deployment Scenarios

Unified Access Gateway identity bridging mode can be configured to work with VMware Workspace® ONE® either in the cloud or in an on-premises environment.
Using Unified Access Gateway Identity Bridging with Workspace ONE Clients in the Cloud

The identity bridging mode can be set up to work with Workspace ONE in the cloud to authenticate users. When a user requests access to a legacy Web application, the identity provider applies applicable authentication and authorization policies.

If the user is validated, the identity provider creates a SAML token and sends it to the user. The user passes the SAML token to Unified Access Gateway in the DMZ. Unified Access Gateway validates the SAML token and retrieves the User Principal Name from the token.

If the request is for Kerberos authentication, Kerberos Constrained Delegation is used to negotiate with the Active Directory server. Unified Access Gateway impersonates the user to retrieve the Kerberos token to authenticate with the application.

If the request is for header-based authentication, the user header name is sent to the Web server to request authentication with the application.

The application sends the response back to Unified Access Gateway. The response is returned to the user.

Figure 4-7. Unified Access Gateway Identity Bridging with Workspace ONE in the Cloud
Using Identity Bridging with Workspace ONE Clients On Premises

When the identity bridging mode is set up to authentication users with Workspace ONE in an on-premises environment, users enter the URL to access the on-premise legacy Web application through the Unified Access Gateway proxy. Unified Access Gateway redirects the request to the identity provider for authentication. The identity provider applies authentication and authorization policies to the request. If the user is validated, the identity provider creates a SAML token and sends the token to the user.

The user passes the SAML token to Unified Access Gateway. Unified Access Gateway validates the SAML token and retrieves the User Principal Name from the token.

If the request is for Kerberos authentication, Kerberos Constrained Delegation is used to negotiate with the Active Directory server. Unified Access Gateway impersonates the user to retrieve the Kerberos token to authenticate with the application.

If the request is for header-based authentication, the user header name is sent to the Web server to request authentication with the application.

The application sends the response back to Unified Access Gateway. The response is returned to the user.

Figure 4-8. Unified Access Gateway Identity Bridging On-Premises

Using Identity Bridging with Certificate to Kerberos

You can configure Identity Bridging to provide single sign-on (SSO) to On-Premises legacy non-SAML applications using certificate validation. See Configure a Web Reverse Proxy for Identity Bridging (Certificate to Kerberos).
Configuring Identity Bridging Settings

When Kerberos is configured in the back-end application, to set up identity bridging in Unified Access Gateway, upload the identity provider metadata and keytab file and configure the KCD realm settings.

**Note** This release of identity bridging supports cross-domain with a single domain setup. This means the user and the SPN account can be in different domains.

When identity bridging is enabled with header-based authentication, keytab settings and KCD realm settings are not required.

Before you configure the identity bridging settings for Kerberos authentication, make sure that the following is available.

- An identity provider is configured and the SAML metadata of the identity provider saved. The SAML metadata file is uploaded to Unified Access Gateway (SAML scenarios only).
- For Kerberos authentication, a server with Kerberos enabled with the realm names for the Key Distribution Centers to use identified.
- For Kerberos authentication, upload the Kerberos keytab file to Unified Access Gateway. The keytab file includes the credentials for the Active Directory service account that is set up to get the Kerberos ticket on behalf of any user in the domain for a given back-end service.
- Ensure that the following ports are open:
  - Port 443 for incoming HTTP requests
  - TCP/UDP port 88 for Kerberos communication with Active Directory
  - Unified Access Gateway uses TCP to communicate with back-end applications. The appropriate port on which the back-end is listening, for example, TCP port 8080.

**Note**

- Configuring identity bridging for both SAML and Certificate to Kerberos for two different reverse proxy instances on the same Unified Access Gateway instance is not supported.
- Web Reverse Proxy instances with certificate authority and without certificate-based authentication that does not have identity bridging enabled on the same appliance is not supported.

**Header-Based Authentication Using SAML**

SAML responses from IDP to SP (in the case of identity bridging, Unified Access Gateway) contain SAML assertions, which have SAML attributes. The SAML attributes are configurable in the IDP to point to various parameters such as user name, email and so on.
In the header-based authentication using SAML, the value of a SAML attribute can be sent as an HTTP header to the back-end proxied destination. SAML attribute name defined in Unified Access Gateway is the same as that as in the IDP. For example, if an identity provider has the attribute defined as Name: \texttt{userName} Value: \texttt{idmadmin}, then, SAML attribute name in Unified Access Gateway must be defined as "\texttt{userName}".

SAML attribute that does not match the attribute defined in the IDP is ignored. Unified Access Gateway supports both multiple SAML attributes and multi-valued SAML attributes. Sample excerpts of the SAML assertion expected from an Identity provider are mentioned in the following for each case. For example,

1. SAML response expected from IDP for multiple SAML attributes

   ```xml
   <saml:AttributeStatement>
      <saml:Attribute Name="userName" NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:basic">
      </saml:Attribute>
      <saml:Attribute Name="userEmail" NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:basic">
      </saml:Attribute>
   </saml:AttributeStatement>
   ```

   In the preceding example, an assertion contains two attributes, "\texttt{userName}" and "\texttt{userEmail}". If header-based authentication is configured only for "\texttt{userName}", with the header name being "HTTP\_USER\_NAME", then the header is sent as: "HTTP\_USER\_NAME: \texttt{idmadmin}". Since "\texttt{userEmail}" is not configured on Unified Access Gateway for header-based authentication, it is not sent as a header.

2. SAML response expected from IDP for multi-valued SAML attribute

   ```xml
   <saml:AttributeStatement>
      <saml:Attribute Name="group" NameFormat="urn:oasis:names:tc:SAML:2.0:attrname-format:basic">
      </saml:Attribute>
   </saml:AttributeStatement>
   ```
In the preceding example, an attribute "group" contains four values, namely "All Employees", "All Contractors", "All Executives", and "All". If header-based authentication is configured only for "group", with the header name being "HTTP_GROUP", the header is sent as "HTTP_GROUP: All Employees, All Contractors, All Executives, All" with a comma-separated list of all the attribute values as the header value.

**Configure Realm Settings**

Configure the domain realm name, the key distribution centers for the realm, and the KDC timeout.

The realm is the name of an administrative entity that maintains authentication data. Selecting a descriptive name for the Kerberos authentication realm is important. Configure the realm, also known as the domain name, and the corresponding KDC service in Unified Access Gateway. When a UPN request comes to a specific realm, Unified Access Gateway internally resolves the KDC to use the Kerberos serviced ticket.

The convention is to make the realm name the same as your domain name, entered in uppercase letters. For example, a realm name is EXAMPLE.NET. The realm name is used by a Kerberos client to generate DNS names.

Starting with Unified Access Gateway version 3.0, you can delete previously defined realms.

**Important** In case of a cross domain set up, add details of all the realms including primary and secondary or sub-domains and associated KDC information. Ensure that trust is enabled between realms.

**Prerequisites**

A server with Kerberos enabled with the realm names for the Key Distribution Centers to use identified.

**Procedure**

1. In the admin UI Configure Manually section, click **Select**.

2. In the Advanced Settings > Identity Bridging Settings section, select the **Realm Settings** gearbox icon.

3. Click **Add**.

4. Complete the form.

<table>
<thead>
<tr>
<th>Label</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of the realm</td>
<td>Enter the realm with the domain name. Enter the realm in uppercase letters. The realm must match the domain name set up in the Active Directory.</td>
</tr>
<tr>
<td>Key Distribution Centers</td>
<td>Enter the KDC servers for the realm. Comma separate the list if adding more than one server.</td>
</tr>
<tr>
<td>KDC Timeout (in seconds)</td>
<td>Enter the time to wait for the KDC response. The default is 3 seconds.</td>
</tr>
</tbody>
</table>

5. Click **Save**.

**What to do next**

Configure the keytab settings.
Upload Keytab Settings

A keytab is a file containing pairs of Kerberos principals and encrypted keys. A keytab file is created for applications that require single sign-on. Unified Access Gateway identity bridging uses a keytab file to authenticate to remote systems using Kerberos without entering a password.

When a user is authenticated into Unified Access Gateway from the identity provider, Unified Access Gateway requests a Kerberos ticket from the Kerberos Domain Controller to authenticate the user.

Unified Access Gateway uses the keytab file to impersonate the user to authenticate to the internal Active Directory domain. Unified Access Gateway must have a domain user service account on the Active Directory domain. Unified Access Gateway is not directly joined to the domain.

Note If the admin regenerates the keytab file for a service account, the keytab file must be uploaded again into Unified Access Gateway.

You can also generate the keytab file using the command-line. For example:

```
ktpass /princ HOST/uagkerberos@KKI.ORG /ptype KRB5_NT_PRINCIPAL /pass * /out C:\Temp\kerberos.keytab /mapuser uagkerberos /crypto All
```

See the Microsoft documentation for detailed information about the ktpass command.

Prerequisites

You must have access to the Kerberos keytab file to upload to Unified Access Gateway. The keytab file is a binary file. If possible, use SCP or another secure method to transfer the keytab between computers.

Procedure

1. In the admin UI Configure Manually section, click Select.
2. In the Advanced Settings > Identity Bridging Settings section, select the Upload Keytab settings gearbox icon.
3. (Optional) Enter the Kerberos principal name in the Principal Name text box.
   
   Each principal is always fully qualified with the name of the realm. The realm should be in uppercase.
   
   Ensure that the principal name entered here is the first principal found in the keytab file. If the same principal name is not in the keytab file that is uploaded, keytab upload fails.
4. In the Select Keytab file text box, click Select and browse to the keytab file you saved. Click Open.
   
   If you did not enter the principal name, the first principal found in the keytab is used. You can merge multiple keytabs into one file.
5. Click Save.

What to do next

Configure the Web reverse proxy for Unified Access Gateway identity bridging.
Configuring a Web Reverse Proxy for Identity Bridging (SAML to Kerberos)

To configure a web reverse proxy for identity bridging (SAML to Kerberos), you must have saved the identity provider metadata file to Unified Access Gateway.

You can then enable identity bridging on the admin console and configure the external host name for the service.

Upload Identity Provider Metadata

To configure the identity bridging feature, you must upload the identity provider's SAML certificate metadata XML file to Unified Access Gateway.

Prerequisites

The SAML metadata XML file must be saved to a computer you can access.

If you are using VMware Identity Manager as the identity provider, download and save the SAML metadata file from the VMware Identity Manager admin console, Catalog > Settings SAML Metadata > Identity Provider (IdP) metadata link.

Procedure

1. In the admin console, click Select under Configure Manually.
2. In the Advanced Settings > Identity Bridging Settings section, select the Upload Identity Provider Metadata gearbox icon.
3. Enter the entity ID for the identity provider in the Entity ID text box.
   If you do not enter a value in the Entity ID text box, the identity provider name in the metadata file is parsed and used as the entity ID of the identity provider.
4. In the IDP Metadata section, click Select and browse to the metadata file you saved. Click Open.
5. Click Save.

What to do next

For KDC authentication, configure the realm settings and the keytab settings.

For header-based authentication, when you configure the identity bridging feature, complete the User Header Name option with the name of the HTTP header that includes the user ID.

Configure a Web Reverse Proxy for Identity Bridging (SAML to Kerberos)

Enable identity bridging, configure the external host name for the service, and download the Unified Access Gateway service provider metadata file.

This metadata file is uploaded to the Web application configuration page in the VMware Identity Manager service.
Prerequisites

You must have configured the following Identity Bridging Settings on the Unified Access Gateway admin console. You can find these settings under the Advanced Settings section.

- Identity provider metadata uploaded to Unified Access Gateway.
- The Kerberos principal name configured and the keytab file uploaded to Unified Access Gateway.
- The realm name and key distribution center information.

Ensure that TCP/UDP port 88 is open since Unified Access Gateway uses this port for the Kerberos communication with Active Directory.

Procedure

1. In the admin UI Configure Manually section, click Select.
2. In the General Settings > Edge Service Settings line, click Show.
3. Click the Reverse Proxy Settings gearbox icon.
4. In the Reverse Proxy Settings page, click Add to create a proxy setting.
5. Set Enable Reverse Proxy Settings to YES, and configure the following edge service settings.

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Identifier</td>
<td>The edge service identifier is set to the Web reverse proxy.</td>
</tr>
<tr>
<td>Instance Id</td>
<td>Unique name for the Web reverse proxy instance.</td>
</tr>
<tr>
<td>Proxy Destination URL</td>
<td>Specify the internal URI for the Web application. Unified Access Gateway must</td>
</tr>
<tr>
<td></td>
<td>be able to resolve and access this URL.</td>
</tr>
<tr>
<td>Proxy Destination URL</td>
<td>The URI to match with this proxy setting. A thumbprint is in the format</td>
</tr>
<tr>
<td>Thumbprints</td>
<td>[alg]=[xx:xx], where alg can be sha1, the default or md5. The ‘xx’ are</td>
</tr>
<tr>
<td></td>
<td>hexadecimal digits. For example, sha=C3 89 A2 19 DC 7A 48 2B 85 1C 81 EC</td>
</tr>
<tr>
<td></td>
<td>5E 8F 6A 3C 33 F2 95 C3.</td>
</tr>
<tr>
<td>Proxy Pattern</td>
<td>Enter the matching URI paths that forward to the destination URL. For</td>
</tr>
<tr>
<td></td>
<td>example, enter as (/</td>
</tr>
<tr>
<td></td>
<td>Note: When you configure multiple reverse proxies, provide the hostname in</td>
</tr>
<tr>
<td></td>
<td>the proxy host pattern</td>
</tr>
</tbody>
</table>

6. To configure other advanced settings, click More.

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Auth Methods</td>
<td>The default is to use pass-through authentication of the user name and</td>
</tr>
<tr>
<td></td>
<td>password. The authentication methods you configured in Unified Access</td>
</tr>
<tr>
<td></td>
<td>Gateway are listed in the drop-down menus. RSA SecurID, RADIUS, and Device</td>
</tr>
<tr>
<td></td>
<td>Certificate Auth methods are supported.</td>
</tr>
<tr>
<td>Health Check URI Path</td>
<td>Unified Access Gateway connects to this URI path to check the health of your</td>
</tr>
<tr>
<td></td>
<td>web application.</td>
</tr>
<tr>
<td>Option</td>
<td>Description</td>
</tr>
<tr>
<td>---------------------</td>
<td>---------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>SAML SP</td>
<td>Required when you configure Unified Access Gateway as an authenticated reverse proxy for VMware Identity Manager. Enter the name of the SAML service provider for the View XML API broker. This name must either match the name of a service provider you configured with Unified Access Gateway or be the special value DEMO. If there are multiple service providers configured with Unified Access Gateway, their names must be unique.</td>
</tr>
<tr>
<td>External URL</td>
<td>The default value is the Unified Access Gateway host URL, port 443. You can enter another external URL. Enter as https://<a href="">host:port</a>.</td>
</tr>
<tr>
<td>UnSecure Pattern</td>
<td>Enter the known VMware Identity Manager redirection pattern. For example: (/[catalog-portal(.*)]</td>
</tr>
<tr>
<td>Auth Cookie</td>
<td>Enter the authentication cookie name. For example: HZN</td>
</tr>
<tr>
<td>Login Redirect URL</td>
<td>If the user logs out of the portal, enter the redirect URL to log back in. For example: /SAAS/auth/login?dest=%s</td>
</tr>
<tr>
<td>Proxy Host Pattern</td>
<td>External hostname used to check the incoming host to see whether it matches the pattern for that particular instance. Host pattern is optional, when configuring Web reverse proxy instances.</td>
</tr>
<tr>
<td>Trusted Certificates</td>
<td>Add a trusted certificate to this edge service. Click '+' to select a certificate in PEM format and add to the trust store. Click '-' to remove a certificate from the trust store. By default, the alias name is the filename of the PEM certificate. Edit the alias text box to provide a different name.</td>
</tr>
<tr>
<td>Host Entries</td>
<td>Enter the details to be added in /etc/hosts file. Each entry should include an IP, a hostname, and an optional hostname alias in that order, separated by a space. For example, 10.192.168.1 example1.com, 10.192.168.2 example2.com example-alias. Click the '+' sign to add multiple host entries.</td>
</tr>
</tbody>
</table>
7 In the Enable Identity Bridging section, change NO to YES.

8 Configure the following Identity Bridging settings.

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Authentication Types</td>
<td>Select SAML.</td>
</tr>
<tr>
<td>Identity Provider</td>
<td>From the drop-down menu, select the identity provider.</td>
</tr>
<tr>
<td>Keytab</td>
<td>In the drop-down menu, select the configured keytab for this reverse proxy.</td>
</tr>
<tr>
<td>Target Service Principal Name</td>
<td>Enter the Kerberos service principal name. Each principal is always fully qualified with the name of the realm. For example, myco_hostname@MYCOMPANY. Type the realm name in uppercase. If you do not add a name to the text box, the service principal name is derived from the host name of the proxy destination URL.</td>
</tr>
<tr>
<td>Service Landing Page</td>
<td>Enter the page that users are redirected to in the identity provider after the assertion is validated. The default setting is /.</td>
</tr>
<tr>
<td>User Header Name</td>
<td>For header-based authentication, enter the name of the HTTP header that includes the user ID derived from the assertion.</td>
</tr>
</tbody>
</table>

9 In the Download SP Metadata section, click **Download**.

Save the service provider metadata file.

10 Click **Save**.

**What to do next**

Add the Unified Access Gateway service provider metadata file to the Web application configuration page in the VMware Identity Manager service.

**Add the Metadata File to VMware Identity Manager Service**

The Unified Access Gateway service provider metadata file that you downloaded must be uploaded to the Web application configuration page in the VMware Identity Manager service.

The SSL certificate used must be the same certificate used across multiple load-balanced Unified Access Gateway servers.

**Prerequisites**

You must have saved the Unified Access Gateway Service Provider Metadata file to the computer.

**Procedure**

1 Log in to the VMware Identity Manager admin console.

2 In the Catalog tab, click **Add Application** and select **create a new one**.

3 In the Application Details page, enter an end-user friendly name in the Name text box.

4 Select the **SAML 2.0 POST** authentication profile.

   You can also add a description of this application and an icon to display to end users in the Workspace ONE portal.

5 Click **Next** and in the Application Configuration page, scroll down to the **Configure Via** section.
6 Select the Meta-data XML radio button and paste the Unified Access Gateway service provider metadata text into the Meta-data XML text box.

7 (Optional) In the Attribute Mapping section, map the following attribute names to the user profile values. The FORMAT field value is Basic. The attribute names must be entered in lower case.

<table>
<thead>
<tr>
<th>Name</th>
<th>Configured Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>upn</td>
<td>userPrincipalName</td>
</tr>
<tr>
<td>userid</td>
<td>Active Directory user ID</td>
</tr>
</tbody>
</table>

8 Click Save.

What to do next
Entitle users and groups to this application.

Note Unified Access Gateway supports only single domain users. If the identity provider is set up with multiple domains, the application can be entitled only to users in a single domain.

Configuring a Web Reverse Proxy for Identity Bridging (Certificate to Kerberos)
Configure the Workspace ONE UEM console to fetch and use CA certificates before you configure the Unified Access Gateway bridging feature to provide single sign-on (SSO) to On-Premises legacy non-SAML applications using certificate validation.

Enable Workspace ONE UEM Console to Fetch and Use CA Certificates
You can add a user template in the CA server and configure the settings in the Workspace ONE UEM console to enable AirWatch to fetch and use the CA certificates.

1 Add a User Template
   Add a user template in the CA server as a first step to enable AirWatch to fetch certificates.

2 Add a Certificate Authority in the Console
   Add a Certificate Authority (CA) in the Workspace ONE UEM console.

3 Add a Certificate Authority Request Template
   Add a CA request template after you have added a Certificate Authority in the Workspace ONE UEM console.

4 Update Security Policies to Use the Fetched CA Certificate
   Update the security policies in the Workspace ONE UEM console to use the CA fetched certificate.

Add a User Template
Add a user template in the CA server as a first step to enable AirWatch to fetch certificates.

Procedure
1 Log in to the server where the CA is configured.
2 Click Start and type \mmc.exe.
3 In the MMC window, go to File > Add/Remove Snap-in.
4 In the Add or Remove Snap-ins window, select Certificate Templates and click Add.
5 Click OK.
6 In the Certificates templates window, scroll down and select User > Duplicate Template,
7 In the Properties of new Template window, select the General tab and provide a name for the Template Display Name.
   The Template Name is automatically populated with this name, without the space.
8 Select the Subject Name tab and select Supply in the request.
9 Click Apply and then click OK.
10 In the MMC window, go to File > Add/Remove Snap-in.
11 In the Add or Remove Snap-ins window, select Certificate Authority and click Add.
12 In the MMC window, select Certificate Authority > Certificate Template.
13 Right-click Certificate Authority and select New > Certificate Template to Issue.
14 Select the template you created in Step 6.

What to do next
Verify that the template you added is displayed in the list.
Log in to the Workspace ONE UEM console and add a CA.

Add a Certificate Authority in the Console
Add a Certificate Authority (CA) in the Workspace ONE UEM console.

Prerequisites
- You must have added a user template in the CA server.
- You must have the name of the CA Issuer. Log in to the Active Directory(AD) server and run the certutil command from the command prompt to get the CA Issuer name.
- Specify the Username for the CA to be of type service account.

Procedure
1 Log in to the Workspace ONE UEM console and select the appropriate Organization Group.
2 Go to All Settings and click Enterprise Integration > Certificate Authorities from the drop-down menu.
3 Click the Certificate Authorities tab and click Add.
4 Enter the following information for the Certificate Authority:

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>A valid name for the CA</td>
</tr>
<tr>
<td>Authority Type</td>
<td>Microsoft ADCS</td>
</tr>
<tr>
<td>Protocol</td>
<td>ADCS</td>
</tr>
<tr>
<td>Server Hostname</td>
<td>Hostname of AD Server</td>
</tr>
<tr>
<td>Authority Name</td>
<td>CA Issuer Name</td>
</tr>
<tr>
<td>Authentication</td>
<td>Service Account</td>
</tr>
<tr>
<td>Username</td>
<td>User name with a service account in the form domain\username.</td>
</tr>
<tr>
<td>Password</td>
<td>Password for the user name</td>
</tr>
<tr>
<td>Additional Options</td>
<td>None</td>
</tr>
</tbody>
</table>

5 Click **Save**.

**Add a Certificate Authority Request Template**

Add a CA request template after you have added a Certificate Authority in the Workspace ONE UEM console.

**Prerequisites**

1 You must have added a user template in the CA server.
2 You must have added a CA in the Workspace ONE UEM console.

**Procedure**

1 Log in to Workspace ONE UEM console, go to All Settings and click Enterprise Integration > Certificate Authorities from the drop-down list.
2 Click the Request Templates tab and click Add.
3 Enter the following information for the template:

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>A valid name for the certificate template</td>
</tr>
<tr>
<td>Description (optional)</td>
<td>Description of the template</td>
</tr>
<tr>
<td>Certificate Authority</td>
<td>The certificate authority added earlier</td>
</tr>
<tr>
<td>Issuing Template</td>
<td>Name of the user template created in the CA server</td>
</tr>
<tr>
<td>Subject Name</td>
<td>To add the Subject Name, keep the cursor on the value field (after the default value ‘CN=’), and click the ‘+’ button, and select the appropriate email address</td>
</tr>
<tr>
<td>Private Key Length</td>
<td>2048</td>
</tr>
<tr>
<td>Private Key Type</td>
<td>Select Signing</td>
</tr>
<tr>
<td>SAN Type</td>
<td>Click Add and choose User Principal Name</td>
</tr>
<tr>
<td>Automatic Certificate Renewal (optional)</td>
<td></td>
</tr>
</tbody>
</table>
### Option Description

<table>
<thead>
<tr>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Enable Certificate Revocation (optional)</td>
</tr>
<tr>
<td>Publish Private Key (optional)</td>
</tr>
</tbody>
</table>

4. Click **Save**.

**Update Security Policies to Use the Fetched CA Certificate**

Update the security policies in the Workspace ONE UEM console to use the CA fetched certificate.

**Prerequisites**

**Procedure**

1. Log in to the Workspace ONE UEM console, go to **All Settings** and click **Apps > Security & Policies > Security Policies** from the drop-down menu.
2. Select **Override** for Current Settings.
3. Enable **Integrated Authentication**.
   a. Select **Use Certificate**.
   b. Set the **Credential Source** to **Defined Certificate Authority**.
   c. Specify the **Certificate Authority** and **Certificate Template** set earlier.
4. Set **Allowed Sites** to *.
5. Click **Save**.

**Configure a Web Reverse Proxy for Identity Bridging (Certificate to Kerberos)**

Configure the Unified Access Gateway bridging feature to provide single sign-on (SSO) to on-premises legacy non-SAML applications using certificate validation.

**Prerequisites**

Before starting the configuration process, make sure that you have the following files and certificates available:

- Keytab file of a back-end application, such as Sharepoint or JIRA
- Root CA certificate or the entire certificate chain with intermediate certificate for the user
- You must have added and uploaded a certificate in the Workspace ONE UEM console. See **Enable Workspace ONE UEM Console to Fetch and Use CA Certificates**.

See the relevant product documentation to generate the root and user certificates and the keytab file for non-SAML applications.

Ensure that TCP/UDP port 88 is open since Unified Access Gateway uses this port for Kerberos communication with Active Directory.
Procedure

1. From Authentication Settings > X509 Certificate, go to:
   a. At Root and Intermediate CA certificate, click Select and upload the entire cert chain.
   b. At Enable Cert Revocation, set the toggle to Yes.
   c. Select the check box for Enable OCSP Revocation.
   d. Enter the OCSP responder URL in the OCSP URL text box.

   Unified Access Gateway sends the OCSP request to the specified URL and receives a response that contains information indicating whether or not the certificate is revoked.

   e. Select the check box Use OCSP URL from certificate only if there is a use case to send the OCSP request to the OCSP URL in the client certificate. If this is not enabled, then it defaults to the value in the OCSP URL text box.

2. From Advanced Settings > Identity Bridging Settings > OSCP settings, click Add.
   a. Click Select and upload the OCSP signing certificate.

3. Select the Realm Settings gearbox icon and configure the Realm settings as described in Configure Realm Settings.

4. From General Settings > Edge Service Settings, select the Reverse Proxy Settings gearbox icon.

5. Set Enable Identity Bridging Settings to YES, configure the following Identity Bridging settings, then click Save.
<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Authentication Types</td>
<td>Select CERTIFICATE from the drop-down menu.</td>
</tr>
<tr>
<td>Keytab</td>
<td>In the drop-down menu, select the configured keytab for this reverse proxy.</td>
</tr>
<tr>
<td>Target Service Principal Name</td>
<td>Enter the Kerberos service principal name. Each principal is always fully qualified with the name of the realm. For example, myco_hostname@MYCOMPANY. Type the realm name in uppercase. If you do not add a name to the text box, the service principal name is derived from the host name of the proxy destination URL.</td>
</tr>
<tr>
<td>User Header Name</td>
<td>For header-based authentication, enter the name of the HTTP header that includes the user ID derived from the assertion or use the default, AccessPoint-User-ID.</td>
</tr>
</tbody>
</table>

**What to do next**

When you use the VMware Browser to access the target website, the target website acts as the reverse-proxy. Unified Access Gateway validates the presented certificate. If the certificate is valid, the browser displays the user interface page for the back-end application.

For specific error messages and troubleshooting information, see Troubleshooting Errors: Identity Bridging.

**VMware AirWatch Components on Unified Access Gateway**

You can deploy VMware Tunnel using the Unified Access Gateway appliance. Unified Access Gateway supports deployment on either ESXi or Microsoft Hyper-V environments. VMware Tunnel provides a secure and effective method for individual applications to access corporate resources. Content Gateway (CG) is a component of the VMware AirWatch Content Management solution that securely allows access to On-Premise repository content on mobile devices.
DNS Requirements for VMware Tunnel and Content Gateway

When VMware Tunnel and Content Gateway services are enabled on the same appliance, and TLS Port Sharing is enabled, the DNS names must be unique for each service. When TLS is not enabled only one DNS name can be used for both services as the port will differentiate the incoming traffic.

Deploying VMware Tunnel on Unified Access Gateway

Deploying VMware Tunnel using the Unified Access Gateway appliance provides a secure and effective method for individual applications to access corporate resources. Unified Access Gateway supports deployment on either ESXi or Microsoft Hyper-V environments.

VMware Tunnel is composed of two independent components: Tunnel Proxy and Per-App Tunnel. You deploy VMware Tunnel using either single or multi-tier network architecture models.

Both Tunnel Proxy and Per-App Tunnel deployment models can be used for a multi-tier network on the Unified Access Gateway appliance. The deployment consists of a front-end Unified Access Gateway server deployed in the DMZ and a back-end server deployed in the internal network.

The Tunnel Proxy component secures the network traffic between an end user device and a website through the VMware Browser or any VMware AirWatch SDK-enabled application deployed from VMware AirWatch. The mobile application creates a secure HTTPS connection with the Tunnel Proxy server and protects the sensitive data. Devices are authenticated to the Tunnel Proxy with a certificate issued via the SDK as configured in the Workspace ONE UEM console. Typically, this component should be used when there are unmanaged devices that need secured access to internal resources.

For fully enrolled devices, the Per-App Tunnel component enables devices to connect to internal resources without needing the VMware AirWatch SDK. This component uses the native Per-App VPN capabilities of the iOS, Android, Windows 10, and macOS operating systems.

For more information on these platforms and VMware Tunnel component capabilities, refer to the latest Tunnel documentation from the Workspace ONE UEM documentation page.

Deploying the VMware Tunnel for your VMware AirWatch environment involves the following:

1. Set up the initial hardware.
2. Configure the VMware Tunnel hostname and port information in the Workspace ONE UEM console. See Firewall Rules for DMZ-Based Unified Access Gateway Appliances.
3. Download and deploy the Unified Access Gateway OVF template.
4. Manually configure the VMware Tunnel.
AirWatch v9.1 and above supports Cascade Mode as the Multi-Tier deployment model for VMware Tunnel. Cascade Mode requires a dedicated inbound port for each Tunnel component from the internet to the front-end Tunnel server. Both the front-end and back-end servers must be able to communicate with the AirWatch API and AWCM servers. VMware Tunnel Cascade mode supports the multi-tier architecture for the Per-App Tunnel component.

For load balancing considerations for Content Gateway and Tunnel Proxy, see Unified Access Gateway Load Balancing Topologies.

Go to the VMware AirWatch documentation page for a complete list of VMware AirWatch guides and release notes.

**Configure VMware Tunnel Settings for VMware AirWatch**

Tunnel proxy deployment secures the network traffic between an end user device and a website through the VMware Browser mobile application.

**Procedure**

1. In the admin UI Configure Manually section, click **Select**.
2. Navigate to **General Settings > Edge Service Settings** and click **Show**.
3. Click **VMware Tunnel Settings** gear icon.
4. Change NO to **YES** to enable tunnel proxy.
5 Configure the following edge service settings resources.

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>API Server URL</td>
<td>Enter the VMware AirWatch API server URL. For example, enter as <a href="https://example.com">https://example.com</a>:&lt;port&gt;.</td>
</tr>
<tr>
<td>API Server User Name</td>
<td>Enter the user name to log in to the API server.</td>
</tr>
<tr>
<td>API Server Password</td>
<td>Enter the password to log in to the API server.</td>
</tr>
<tr>
<td>Organization Group ID</td>
<td>Enter the organization of the user.</td>
</tr>
<tr>
<td>Tunnel Server Hostname</td>
<td>Enter the VMware Tunnel external hostname configured in the Workspace ONE UEM console.</td>
</tr>
</tbody>
</table>

6 To configure other advanced settings, click More.

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Outbound Proxy Host</td>
<td>Enter the host name where the outbound proxy is installed.</td>
</tr>
<tr>
<td></td>
<td><strong>Note</strong> This is not the Tunnel Proxy.</td>
</tr>
<tr>
<td>Outbound Proxy Port</td>
<td>Enter the port number of the outbound proxy.</td>
</tr>
<tr>
<td>Outbound Proxy User Name</td>
<td>Enter the user name to log in to the outbound proxy.</td>
</tr>
<tr>
<td>Outbound Proxy Password</td>
<td>Enter the password to log in to the outbound proxy.</td>
</tr>
<tr>
<td>NTLM Authentication</td>
<td>Change NO to YES to specify that the outbound proxy request requires NTLM authentication.</td>
</tr>
<tr>
<td>Use for VMware Tunnel Proxy</td>
<td>Change NO to YES to use this proxy as an outbound proxy for VMware Tunnel. If not enabled,</td>
</tr>
<tr>
<td></td>
<td>Unified Access Gateway uses this proxy for the initial API call to get the configuration from the</td>
</tr>
<tr>
<td></td>
<td>Workspace ONE UEM console.</td>
</tr>
<tr>
<td>Host Entries</td>
<td>Enter the details to be added in /etc/hosts file. Each entry should include an IP, a hostname,</td>
</tr>
<tr>
<td></td>
<td>an optional hostname alias in that order, separated by a space. For example,</td>
</tr>
<tr>
<td></td>
<td>10.192.168.1 example1.com, 10.192.168.2 example2.com example-alias. Click the ‘+’ sign to add</td>
</tr>
<tr>
<td></td>
<td>multiple host entries.</td>
</tr>
<tr>
<td></td>
<td><strong>Important</strong> The host entries are saved only after you click Save.</td>
</tr>
<tr>
<td>Trusted Certificates</td>
<td>Select the trusted certificate files in PEM format, to be added to the trust store. By default,</td>
</tr>
<tr>
<td></td>
<td>the alias name is the filename of the PEM certificate. Edit the alias text box to give a different</td>
</tr>
<tr>
<td></td>
<td>name.</td>
</tr>
</tbody>
</table>

7 Click Save.

Deployment of VMware Tunnel for VMware AirWatch using PowerShell

You can use PowerShell to deploy the VMware Tunnel for VMware AirWatch.

For information on deploying VMware Tunnel with PowerShell, watch this video:

VMware AirWatch Tunnel PowerShell Deployment
(http://link.brightcove.com/services/player/bcpid2296383276001?bctid=ref:video_airwatch_tunnel_powershell)
About TLS Port Sharing

TLS port sharing is enabled by default on Unified Access Gateway whenever multiple edge services are configured to use TCP port 443. Supported edge services are VMware Tunnel (Per-App VPN), Content Gateway and Web reverse proxy.

**Note** If you want TCP port 443 to be shared, ensure that each configured edge service has a unique external hostname pointing to Unified Access Gateway.

Content Gateway on Unified Access Gateway

Content Gateway (CG) is a component of the VMware AirWatch Content Management solution that securely allows access to On-premise repository content on mobile devices.

**Prerequisites**

You must configure the Content Gateway node using the Workspace ONE UEM console before you can configure Content Gateway on Unified Access Gateway. After configuring the node, note down the Content Gateway Configuration GUID, which is automatically generated. See the Configure a Content Gateway Node section in the VMware Workspace ONE UEM documentation for detailed information.

**Note** The acronym CG is also used to refer to Content Gateway.

You can also refer to the following documentation for Content Gateway architecture and security overview:

1. Basic (Endpoint Only) Deployment Model for Content Gateway
2. Relay Deployment Model for Content Gateway

**Procedure**

1. Navigate to General Settings > Edge Service Settings > Content Gateway Settings and click the gearbox icon.
2. Select YES to enable Content Gateway settings.
3. Configure the following settings and click Save.

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Identifier</td>
<td>Indicates that this service is enabled.</td>
</tr>
<tr>
<td>API Server URL</td>
<td>The VMware AirWatch API Server URL [http[s]://]hostname[:port]</td>
</tr>
<tr>
<td></td>
<td>The destination URL must contain the protocol, host name or IP address, and port number. For example: <a href="https://load-balancer.example.com:8443">https://load-balancer.example.com:8443</a></td>
</tr>
<tr>
<td></td>
<td>Unified Access Gateway pulls Content Gateway configuration from API server.</td>
</tr>
<tr>
<td>API Server Username</td>
<td>User name to log into the API server.</td>
</tr>
<tr>
<td>Option</td>
<td>Description</td>
</tr>
<tr>
<td>----------------------------------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>API Server Password</td>
<td>Password to log into the API server.</td>
</tr>
<tr>
<td>Content Gateway Hostname</td>
<td>Host name used to configure edge settings.</td>
</tr>
<tr>
<td>Content Gateway Configuration GUID</td>
<td>VMware AirWatch Content Gateway configuration ID. This ID is automatically generated when the Content Gateway is configured on the Workspace ONE UEM console. The Configuration GUID is displayed on the Content Gateway page on the UEM console under Settings &gt; Content &gt; Content Gateway.</td>
</tr>
<tr>
<td>Outbound Proxy Host</td>
<td>The host where the outbound proxy is installed. Unified Access Gateway makes a connection to API Server through an outbound proxy if configured.</td>
</tr>
<tr>
<td>Outbound Proxy Port</td>
<td>Port of the outbound proxy.</td>
</tr>
<tr>
<td>Outbound Proxy Username</td>
<td>User name to log into the outbound proxy.</td>
</tr>
<tr>
<td>Outbound Proxy Password</td>
<td>Password to log into the outbound proxy.</td>
</tr>
<tr>
<td>NTLM Authentication</td>
<td>Specify whether the outbound proxy requires NTLM authentication.</td>
</tr>
<tr>
<td>Trusted Certificates</td>
<td>Add a trusted certificate to this edge service. Click ‘+’ to select a certificate in PEM format and add to the trust store. Click ‘−’ to remove a certificate from the trust store. By default, the alias name is the filename of the PEM certificate. Edit the alias text box to give a different name.</td>
</tr>
<tr>
<td>Host Entries</td>
<td>Enter the details to be added in /etc/hosts file. Each entry should include an IP, a hostname, and an optional hostname alias in that order, separated by a space. For example, 10.192.168.1 example1.com, 10.192.168.2 example2.com example-alias. Click ‘+’ to add multiple host entries. Important The host entries are saved only after you click Save.</td>
</tr>
</tbody>
</table>

**Note** HTTP traffic is not allowed for Content Gateway on port 80 on Unified Access Gateway, because TCP port 80 is used by the edge Service Manager.

**Additional Deployment Use Cases**

You can deploy Unified Access Gateway with multiple edge services on the same appliance, such as with Horizon and Web Reverse Proxy and Unified Access Gateway with VMware Tunnel, Content Gateway, and Web Reverse Proxy.

**Considerations for Deploying Unified Access Gateway with Multiple Services**

Note the following important considerations before you deploy the edge services together.

- Understand and meet the networking requirements - See Firewall Rules for DMZ-Based Unified Access Gateway Appliances.
- Follow sizing guidelines - See the sizing options section in the Deploy Unified Access Gateway Using the OVF Template Wizard topic.

- Horizon Connection Server does not work with an enabled web reverse proxy when there is an overlap in the proxy pattern. Therefore, if both Horizon and a web reverse proxy instance are configured and enabled with proxy patterns on the same Unified Access Gateway instance, remove the proxy pattern ‘/’ from Horizon settings and retain the pattern in the web reverse proxy to prevent the overlap. Retaining the ‘/’ proxy pattern in the web reverse proxy instance ensures that when a user clicks the URL of Unified Access Gateway, the correct web reverse proxy page is displayed. If only Horizon settings are configured, the above change is not required.

- When deploying Unified Access Gateway with the combined services of VMware Tunnel, Content Gateway, and Web Reverse Proxy, if you use the same port 443 for all the services, every service should have a unique external hostname. See About TLS Port Sharing.

- The different edge services can be configured independently using the Admin UI and you can import any previous settings if you want. When deploying with PowerShell, the INI file makes the deployment production-ready.

- If Horizon Blast and VMware Tunnel are enabled on the same Unified Access Gateway appliance, then VMware Tunnel must be configured to use a different port number other than 443 or 8443. If you want to use port 443 or 8443 for VMware Tunnel, you must deploy the Horizon Blast service on a separate Unified Access Gateway appliance.
You must configure the TLS/SSL Certificates for Unified Access Gateway appliances.

**Note** Configuring the TLS/SSL certificates for the Unified Access Gateway appliance applies to Horizon, Horizon Air, and Web Reverse Proxy only.

### Configuring TLS/SSL Certificates for Unified Access Gateway Appliances


TLS/SSL server certificates are signed by a Certificate Authority (CA). A CA is a trusted entity that guarantees the identity of the certificate and its creator. When a certificate is signed by a trusted CA, users no longer receive messages asking them to verify the certificate, and thin client devices can connect without requiring additional configuration.

A default TLS/SSL server certificate is generated when you deploy a Unified Access Gateway appliance. For production environments, VMware recommends that you replace the default certificate as soon as possible. The default certificate is not signed by a trusted CA. Use the default certificate only in a non-production environment.

### Selecting the Correct Certificate Type

You can use various types of TLS/SSL certificates with Unified Access Gateway. Selecting the correct certificate type for your deployment is crucial. Different certificate types vary in cost, depending on the number of servers on which they can be used.

Follow VMware security recommendations by using fully qualified domain names (FQDNs) for your certificates, no matter which type you select. Do not use a simple server name or IP address, even for communications within your internal domain.

### Single-Server Name Certificate

You can generate a certificate with a subject name for a specific server. For example: dept.example.com.
This type of certificate is useful if, for example, only one Unified Access Gateway appliance needs a certificate.

When you submit a certificate signing request to a CA, provide the server name to associate with the certificate. Be sure that the Unified Access Gateway appliance can resolve the server name you provide so that it matches the name associated with the certificate.

**Subject Alternative Names**

A Subject Alternative Name (SAN) is an attribute that can be added to a certificate when it is being issued. You use this attribute to add subject names (URLs) to a certificate so that it can validate more than one server.

For example, three certificates might be issued for the Unified Access Gateway appliances that are behind a load balancer: ap1.example.com, ap2.example.com, and ap3.example.com. By adding a Subject Alternative Name that represents the load balancer host name, such as horizon.example.com in this example, the certificate is valid because it matches the host name specified by the client.

When you submit a certificate signing request to a CA, provide the external interface load balancer virtual IP address (VIP) as the common name and the SAN name. Be sure that the Unified Access Gateway appliance can resolve the server name you provide so that it matches the name associated with the certificate.

The certificate is used on port 443.

**Wildcard Certificate**

A wildcard certificate is generated so that it can be used for multiple services. For example:

*example.com

A wildcard is useful if many servers need a certificate. If other applications in your environment in addition to Unified Access Gateway appliances need TLS/SSL certificates, you can use a wildcard certificate for those servers, too. However, if you use a wildcard certificate that is shared with other services, the security of the VMware Horizon product also depends on the security of those other services.

**Note** You can use a wildcard certificate only on a single level of domain. For example, a wildcard certificate with the subject name *.example.com can be used for the subdomain dept.example.com but not dept.it.example.com.

Certificates that you import into the Unified Access Gateway appliance must be trusted by client machines and must also be applicable to all instances of Unified Access Gateway and any load balancer, either by using wildcards or by using Subject Alternative Name (SAN) certificates.

**Convert Certificate Files to One-Line PEM Format**

To use the Unified Access Gateway REST API to configure certificate settings, or to use the PowerShell scripts, you must convert the certificate into PEM-format files for the certificate chain and the private key, and you must then convert the .pem files to a one-line format that includes embedded newline characters.
When configuring Unified Access Gateway, there are three possible types of certificates you might need to convert.

- You should always install and configure a TLS/SSL server certificate for the Unified Access Gateway appliance.
- If you plan to use smart card authentication, you must install and configure the trusted CA issuer certificate for the certificate that will be put on the smart card.
- If you plan to use smart card authentication, VMware recommends that you install and configure a root certificate for the signing CA for the SAML server certificate that is installed on the Unified Access Gateway appliance.

For all of these types of certificates, you perform the same procedure to convert the certificate into a PEM-format file that contains the certificate chain. For TLS/SSL server certificates and root certificates, you also convert each file to a PEM file that contains the private key. You must then convert each .pem file to a one-line format that can be passed in a JSON string to the Unified Access Gateway REST API.

**Prerequisites**

- Verify that you have the certificate file. The file can be in PKCS#12 (.p12 or .pfx) format or in Java JKS or JCEKS format.
- Familiarize yourself with the openssl command-line tool that you will use to convert the certificate. See [https://www.openssl.org/docs/apps/openssl.html](https://www.openssl.org/docs/apps/openssl.html).
- If the certificate is in Java JKS or JCEKS format, familiarize yourself with the Java keytool command-line tool to first convert the certificate to .p12 or .pks format before converting to .pem files.

**Procedure**

1. If your certificate is in Java JKS or JCEKS format, use keytool to convert the certificate to .p12 or .pks format.

   ```
   openssl pkcs12 -in mycaservercert.pfx -nokeys -out mycaservercert.pem
   openssl pkcs12 -in mycaservercert.pfx -nodes -nocerts -out mycaservercertkey.pem
   openssl rsa -in mycaservercertkey.pem -check -out mycaservercertkeyrsa.pem
   ```

   **Important** Use the same source and destination password during this conversion.

2. If your certificate is in PKCS#12 (.p12 or .pfx) format, or after the certificate is converted to PKCS#12 format, use openssl to convert the certificate to .pem files.

   For example, if the name of the certificate is mycaservercert.pfx, use the following commands to convert the certificate:

   ```
   openssl pkcs12 -in mycaservercert.pfx -nokeys -out mycaservercert.pem
   openssl pkcs12 -in mycaservercert.pfx -nodes -nocerts -out mycaservercertkey.pem
   openssl rsa -in mycaservercertkey.pem -check -out mycaservercertkeyrsa.pem
   ```

3. Edit mycaservercert.pem and remove any unnecessary certificate entries. It should contain the one SSL server certificate followed by any necessary intermediate CA certificates and root CA certificate.
Use the following UNIX command to convert each .pem file to a value that can be passed in a JSON string to the Unified Access Gateway REST API:

```
awk 'NF {sub(/\r/, "\n"); printf "%s\n", $0;}' cert-name.pem
```

In this example, `cert-name.pem` is the name of the certificate file. The certificate looks similar to this example.

**Figure 5-1. Certificate File on a Single Line**

![Certificate File on a Single Line](image)

The new format places all the certificate information on a single line with embedded newline characters. If you have an intermediate certificate, that certificate must also be in one-line format and add to the first certificate so that both certificates are on the same line.

You can now configure certificates for Unified Access Gateway by using these .pem files with the PowerShell scripts attached to the blog post "Using PowerShell to Deploy VMware Unified Access Gateway," available at https://communities.vmware.com/docs/DOC-30835. Alternatively, you can create and use a JSON request to configure the certificate.

**What to do next**

You can update the default self-signed certificate with a CA-signed certificate. See Update SSL Server Signed Certificates. For smart card certificates, see Configuring Certificate or Smart Card Authentication on the Unified Access Gateway Appliance.

**Change the Security Protocols and Cipher Suites Used for TLS or SSL Communication**

Although in almost all cases, the default settings do not need to be changed, you can configure the security protocols and cryptographic algorithms that are used to encrypt communications between clients and the Unified Access Gateway appliance.
The default setting includes cipher suites that use either 128-bit or 256-bit AES encryption, except for anonymous DH algorithms, and sorts them by strength. By default, TLS v1.1 and TLS v1.2 are enabled. TLS v1.0 and SSL v3.0 are disabled.

Prerequisites

- Familiarize yourself with the Unified Access Gateway REST API. The specification for this API is available at the following URL on the virtual machine where Unified Access Gateway is installed: https://access-point-appliance.example.com:9443/rest/swagger.yaml.
- Familiarize yourself with the specific properties for configuring the cipher suites and protocols: cipherSuites, ssl30Enabled, tls10Enabled, tls11Enabled, and tls12Enabled.

Procedure

1. Create a JSON request for specifying the protocols and cipher suites to use.

   The following example has the default settings.

   ```json
   {
     "cipherSuites": "TLS_ECDHE_RSA_WITH_AES_128_CBC_SHA,TLS_RSA_WITH_AES_128_CBC_SHA,SSL_RSA_WITH_RC4_128_SHA",
     "ssl30Enabled": "false",
     "tls10Enabled": "false",
     "tls11Enabled": "true",
     "tls12Enabled": "true"
   }
   ```

2. Use a REST client, such as curl or postman, to use the JSON request to invoke the Unified Access Gateway REST API and configure the protocols and cipher suites.

   In the example, access-point-appliance.example.com is the fully qualified domain name of the Unified Access Gateway appliance.

   ```bash
   curl -k -d @- -u 'admin' -H "Content-Type: application/json" -X PUT https://access-point-appliance.example.com:9443/rest/v1/config/system < ~/.ciphers.json
   ```

   ciphers.json is the JSON request you created in the previous step.

   The cipher suites and protocols that you specified are used.
Configuring Authentication in DMZ

When you initially deploy Unified Access Gateway, Active Directory password authentication is set up as the default. Users enter their Active Directory user name and password and these credentials are sent through to a back-end system for authentication.

You can configure the Unified Access Gateway service to perform Certificate/Smart Card authentication, RSA SecurID authentication, RADIUS authentication, and RSA Adaptive Authentication.

**Note** Password authentication with Active Directory is the only authentication method that can be used with an AirWatch deployment.

This chapter includes the following topics:

- Configuring Certificate or Smart Card Authentication on the Unified Access Gateway Appliance
- Configure RSA SecurID Authentication in Unified Access Gateway
- Configuring RADIUS for Unified Access Gateway
- Configuring RSA Adaptive Authentication in Unified Access Gateway
- Generate Unified Access Gateway SAML Metadata

**Configuring Certificate or Smart Card Authentication on the Unified Access Gateway Appliance**

You can configure x509 certificate authentication in Unified Access Gateway to allow clients to authenticate with certificates on their desktop or mobile devices or to use a smart card adapter for authentication.

Certificate-based authentication is based on what the user has (the private key or smart card), and what the person knows (the password to the private key or the smart card PIN). Smart card authentication provides two-factor authentication by verifying both what the person has (the smart card) and what the person knows (the PIN). End users can use smart cards for logging in to a remote View desktop operating system and to access smart-card enabled applications, such as an email application that uses the certificate for signing emails to prove the identity of the sender.

With this feature, smart card certificate authentication is performed against the Unified Access Gateway service. Unified Access Gateway uses a SAML assertion to communicate information about the end user's X.509 certificate and the smart card PIN to the Horizon server.
You can configure certificate revocation checking to prevent users who have their user certificates revoked from authenticating. Certificates are often revoked when a user leaves an organization, loses a smart card, or moves from one department to another. Certificate revocation checking with certificate revocation lists (CRLs) and with the Online Certificate Status Protocol (OCSP) is supported. A CRL is a list of revoked certificates published by the CA that issued the certificates. OCSP is a certificate validation protocol that is used to get the revocation status of a certificate.

You can configure both CRL and OCSP in the certificate authentication adapter configuration. When you configure both types of certificate revocation checking and the Use CRL in case of OCSP failure check box is enabled, OCSP is checked first and if OCSP fails, revocation checking falls back to CRL.

**Note** Revocation checking does not fall back to OCSP if CRL fails.

**Note** For VMware Identity Manager, authentication is always passed through Unified Access Gateway to the VMware Identity Manager service. You can configure smart card authentication to be performed on the Unified Access Gateway appliance only if Unified Access Gateway is being used with Horizon 7.

### Configure Certificate Authentication on Unified Access Gateway

You enable and configure certificate authentication from the Unified Access Gateway administration console.

**Prerequisites**

- Obtain the root certificate and intermediate certificates from the CA that signed the certificates presented by your users. See Obtain the Certificate Authority Certificates
- Verify that the Unified Access Gateway SAML metadata is added on the service provider and the service provider SAML metadata is copied the Unified Access Gateway appliance.
- (Optional) List of Object Identifier (OID) of valid certificate policies for certificate authentication.
- For revocation checking, the file location of the CRL and the URL of the OCSP server.
- (Optional) OCSP Response Signing certificate file location.
- Consent form content, if a consent form displays before authentication.

**Procedure**

1. In the admin UI Configure Manually section, click Select.
2. In the General Settings Authentication Settings section, click Show.
3. Click the gearbox in the X.509 Certificate line.
4 Configure the X.509 Certificate form.

An asterisk indicates a required text box. All other text boxes are optional.

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Enable X.509 Certificate</td>
<td>Change NO to <strong>YES</strong> to enable certificate authentication.</td>
</tr>
<tr>
<td><strong>Root and Intermediate CA Certificates</strong></td>
<td>Click <strong>Select</strong> to select the certificate files to upload. You can select multiple root CA and intermediate CA certificates that are encoded as DER or PEM.</td>
</tr>
<tr>
<td>Enable Cert Revocation</td>
<td>Change NO to <strong>YES</strong> to enable certificate revocation checking. Revocation checking prevents users who have revoked user certificates from authenticating.</td>
</tr>
<tr>
<td>Use CRL from Certificates</td>
<td>Select the check box to use the certificate revocation list (CRL) published by the CA that issued the certificates to validate the status of a certificate, revoked or not revoked.</td>
</tr>
<tr>
<td>CRL Location</td>
<td>Enter the server file path or the local file path from which to retrieve the CRL</td>
</tr>
<tr>
<td>Enable OCSP Revocation</td>
<td>Select the check box to use the Online Certificate Status Protocol (OCSP) certificate validation protocol to get the revocation status of a certificate.</td>
</tr>
<tr>
<td>Use CRL in case of OCSP Failure</td>
<td>If you configure both CRL and OCSP, you can select this box to fall back to using CRL if OCSP checking is not available.</td>
</tr>
<tr>
<td>Send OCSP Nonce</td>
<td>Select this check box if you want the unique identifier of the OCSP request to be sent in the response.</td>
</tr>
<tr>
<td>OCSP URL</td>
<td>If you enabled OCSP revocation, enter the OCSP server address for revocation checking.</td>
</tr>
<tr>
<td>Use OCSP URL from certificate</td>
<td>Check this box to use the OCSP URL.</td>
</tr>
<tr>
<td>Enable Consent Form before Authentication</td>
<td>Select this check box to include a consent form page to appear before users log in to their Workspace ONE portal using certificate authentication.</td>
</tr>
</tbody>
</table>

5 Click **Save**.

**What to do next**

When X.509 Certificate authentication is configured and Unified Access Gateway appliance is set up behind a load balancer, make sure that Unified Access Gateway is configured with SSL pass-through at the load balancer and not configured to terminate SSL at the load balancer. This configuration ensures that the SSL handshake is between the Unified Access Gateway and the client in order to pass the certificate to Unified Access Gateway.

**Obtain the Certificate Authority Certificates**

You must obtain all applicable CA (certificate authority) certificates for all trusted user certificates on the smart cards presented by your users and administrators. These certificates include root certificates and can include intermediate certificates if the user’s smart card certificate was issued by an intermediate certificate authority.

If you do not have the root or intermediate certificate of the CA that signed the certificates on the smart cards presented by your users and administrators, you can export the certificates from a CA-signed user certificate or a smart card that contains one. See **Obtain the CA Certificate from Windows**.
Procedure

Obtain the CA certificates from one of the following sources.

- A Microsoft IIS server running Microsoft Certificate Services. See the Microsoft TechNet Web site for information on installing Microsoft IIS, issuing certificates, and distributing certificates in your organization.

- The public root certificate of a trusted CA. This is the most common source of a root certificate in environments that already have a smart card infrastructure and a standardized approach to smart card distribution and authentication.

What to do next

Add the root certificate, intermediate certificate, or both to a server truststore file.

Obtain the CA Certificate from Windows

If you have a CA-signed user certificate or a smart card that contains one, and Windows trusts the root certificate, you can export the root certificate from Windows. If the issuer of the user certificate is an intermediate certificate authority, you can export that certificate.

Procedure

1. If the user certificate is on a smart card, insert the smart card into the reader to add the user certificate to your personal store.

   If the user certificate does not appear in your personal store, use the reader software to export the user certificate to a file. This file is used in Step 4 of this procedure.

2. In Internet Explorer, select Tools > Internet Options.

3. On the Content tab, click Certificates.

4. On the Personal tab, select the certificate you want to use and click View.

   If the user certificate does not appear on the list, click Import to manually import it from a file. After the certificate is imported, you can select it from the list.

5. On the Certification Path tab, select the certificate at the top of the tree and click View Certificate.

   If the user certificate is signed as part of a trust hierarchy, the signing certificate might be signed by another higher-level certificate. Select the parent certificate (the one that actually signed the user certificate) as your root certificate. In some cases, the issuer might be an intermediate CA.

6. On the Details tab, click Copy to File.

   The Certificate Export Wizard appears.

7. Click Next > Next and type a name and location for the file that you want to export.

8. Click Next to save the file as a root certificate in the specified location.

What to do next

Add the CA certificate to a server truststore file.
**Configure RSA SecurID Authentication in Unified Access Gateway**

After the Unified Access Gateway appliance is configured as the authentication agent in the RSA SecurID server, you must add the RSA SecurID configuration information to the Unified Access Gateway appliance.

**Prerequisites**

- Verify that RSA Authentication Manager (the RSA SecurID server) is installed and properly configured.
- Download the compressed `sdconf.rec` file from the RSA SecurID server and extract the server configuration file.

**Procedure**

1. In the admin UI Configure Manually section, click **Select**.
2. In the General Settings Authentication Settings section, click **Show**.
3. Click the gearbox in the RSA SecurID line.
4. Configure the RSA SecurID page.

Information used and files generated on the RSA SecurID server are required when you configure the SecurID page.

<table>
<thead>
<tr>
<th>Option</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Enable RSA SecurID</td>
<td>Change NO to <strong>YES</strong> to enable SecurID authentication.</td>
</tr>
<tr>
<td>*Name</td>
<td>The name is <code>securid-auth</code>.</td>
</tr>
</tbody>
</table>
| *Number of Iterations| Enter the number of authentication attempts that are allowed. This is the maximum number of failed login attempts when using the RSA SecurID token. The default is 5 attempts.  

**Note** When more than one directory is configured and you implement RSA SecurID authentication with additional directories, configure **Number of authentication attempts allowed** with the same value for each RSA SecurID configuration. If the value is not the same, SecurID authentication fails.

<table>
<thead>
<tr>
<th>*External HOST Name</th>
<th>Enter the IP address of the Unified Access Gateway instance. The value you enter must match the value you used when you added the Unified Access Gateway appliance as an authentication agent to the RSA SecurID server.</th>
</tr>
</thead>
<tbody>
<tr>
<td>*Internal HOST Name</td>
<td>Enter the value assigned to the <strong>IP address</strong> prompt in the RSA SecurID server.</td>
</tr>
<tr>
<td>*Server Configuration</td>
<td>Click <strong>Change</strong> to upload the RSA SecurID server configuration file. First, you must download the compressed file from the RSA SecurID server and extract the server configuration file, which by default is named <code>sdconf.rec</code>.</td>
</tr>
<tr>
<td>*Name Id Suffix</td>
<td>Enter the nameId as <code>@somedomain.com</code>. Is used to send additional content such as domain name to the RADIUS server or the RSA SecurID server. For example, if a user logs in as <code>user1</code>, then <code>user1@somedomain.com</code> is sent to the server.</td>
</tr>
</tbody>
</table>
Configuring RADIUS for Unified Access Gateway

You can configure Unified Access Gateway so that users are required to use RADIUS authentication. You configure the RADIUS server information on the Unified Access Gateway appliance.

RADIUS support offers a wide range of alternative two-factor token-based authentication options. Because two-factor authentication solutions, such as RADIUS, work with authentication managers installed on separate servers, you must have the RADIUS server configured and accessible to the identity manager service.

When users sign in and RADIUS authentication is enabled, a special login dialog box appears in the browser. Users enter their RADIUS authentication user name and passcode in the login dialog box. If the RADIUS server issues an access challenge, Unified Access Gateway displays a dialog box prompting for a second passcode. Currently support for RADIUS challenges is limited to prompting for text input.

After a user enters credentials in the dialog box, the RADIUS server can send an SMS text message or email, or text using some other out-of-band mechanism to the user's cell phone with a code. The user can enter this text and code into the login dialog box to complete the authentication.

If the RADIUS server provides the ability to import users from Active Directory, end users might first be prompted to supply Active Directory credentials before being prompted for a RADIUS authentication username and passcode.

Configure RADIUS Authentication

On the Unified Access Gateway appliance, you must enable RADIUS authentication, enter the configuration settings from the RADIUS server, and change the authentication type to RADIUS authentication.

Prerequisites

- Verify that the server to be used as the authentication manager server has the RADIUS software installed and configured. Set up the RADIUS server and then configure the RADIUS requests from Unified Access Gateway. Refer to your RADIUS vendor’s setup guides for information about setting up the RADIUS server.

The following RADIUS server information is required.

- IP address or DNS name of the RADIUS server.
- Authentication port numbers. Authentication port is usually 1812.
- Authentication type. The authentication types include PAP (Password Authentication Protocol), CHAP (Challenge Handshake Authentication Protocol), MSCHAP1, MSCHAP2 (Microsoft Challenge Handshake Authentication Protocol, versions 1 and 2).
- RADIUS shared secret that is used for encryption and decryption in RADIUS protocol messages.
- Specific timeout and retry values needed for RADIUS authentication.
**Procedure**

1. In the admin UI Configure Manually section, click **Select**.
2. In the General Settings Authenticating Settings section, click **Show**.
3. Click the gearbox in the RADIUS line.

<table>
<thead>
<tr>
<th>Option</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td>Enable RADIUS</td>
<td>Change NO to <strong>YES</strong> to enable RADIUS authentication.</td>
</tr>
<tr>
<td>Name*</td>
<td>The name is radius-auth</td>
</tr>
<tr>
<td>Authentication type*</td>
<td>Enter the authentication protocol that is supported by the RADIUS server. Either PAP, CHAP, MSCHAP1, OR MSCHAP2.</td>
</tr>
<tr>
<td>Shared secret*</td>
<td>Enter the RADIUS shared secret.</td>
</tr>
<tr>
<td>Number of Authentication attempts allowed *</td>
<td>Enter the maximum number of failed login attempts when using RADIUS to log in. The default is three attempts.</td>
</tr>
<tr>
<td>Number of attempts to RADIUS server*</td>
<td>Enter the total number of retry attempts. If the primary server does not respond, the service waits for the configured time before retrying again.</td>
</tr>
<tr>
<td>Server Timeout in Seconds*</td>
<td>Enter the RADIUS server timeout in seconds, after which a retry is sent if the RADIUS server does not respond.</td>
</tr>
<tr>
<td>Radius Server Host name *</td>
<td>Enter the host name or the IP address of the RADIUS server.</td>
</tr>
<tr>
<td>Authentication Port*</td>
<td>Enter the Radius authentication port number. The port is usually 1812.</td>
</tr>
<tr>
<td>Realm Prefix</td>
<td>(Optional) The user account location is called the realm.</td>
</tr>
<tr>
<td></td>
<td>If you specify a realm prefix string, the string is placed at the beginning of the user name when the name is sent to the RADIUS server. For example, if the user name is entered as jdoe and the realm prefix DOMAIN-A1 is specified, the user name DOMAIN-A1jdoe is sent to the RADIUS server. If you do not configure these fields, only the user name that is entered is sent.</td>
</tr>
<tr>
<td>Realm Suffix</td>
<td>(Optional) If you configure a realm suffix, the string is placed at the end of the user name. For example, if the suffix is @myco.com, the user name <a href="mailto:jdoe@myco.com">jdoe@myco.com</a> is sent to the RADIUS server.</td>
</tr>
<tr>
<td>Name Id Suffix</td>
<td>Enter the NameId as @somedomain.com. Is used to send additional content such as domain name to the RADIUS server or the RSA SecurID server. For example, if a user logs in as user1, then <a href="mailto:user1@somedomain.com">user1@somedomain.com</a> is sent to the server.</td>
</tr>
<tr>
<td>Option</td>
<td>Action</td>
</tr>
<tr>
<td>------------------------</td>
<td>----------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Login page passphrase hint</td>
<td>Enter the text string to display in the message on the user login page to direct users to enter the correct Radius passcode. For example, if this field is configured with AD password first and then SMS passcode, the login page message would read Enter your AD password first and then SMS passcode. The default text string is RADIUS Passcode.</td>
</tr>
<tr>
<td>Enable secondary server</td>
<td>Change NO to YES to configure a secondary RADIUS server for high availability. Configure the secondary server information as described in step 3.</td>
</tr>
</tbody>
</table>

4 Click Save.

### Configuring RSA Adaptive Authentication in Unified Access Gateway

RSA Adaptive Authentication can be implemented to provide a stronger multi-factor authentication than only user name and password authentication against Active Directory. Adaptive Authentication monitors and authenticates user login attempts based on risk levels and policies.

When Adaptive Authentication is enabled, the risk indicators specified in the risk policies set up in the RSA Policy Management application and the Unified Access Gateway configuration of adaptive authentication are used to determine whether a user is authenticated with user name and password or whether additional information is needed to authenticate the user.

### Supported RSA Adaptive Authentication Methods of Authentication

The RSA Adaptive Authentication strong authentication methods supported in Unified Access Gateway are out-of-band authentication via phone, email, or SMS text message and challenge questions. You enable on the service the methods of RSA Adaptive Auth that can be provided. RSA Adaptive Auth policies determine which secondary authentication method is used.

Out-of-band authentication is a process that requires sending additional verification along with the user name and password. When users enroll in the RSA Adaptive Authentication server, they provide an email address, a phone number, or both, depending on the server configuration. When additional verification is required, RSA adaptive authentication server sends a one-time passcode through the provided channel. Users enter that passcode along with their user name and password.

Challenge questions require the user to answer a series of questions when they enroll in the RSA Adaptive Authentication server. You can configure how many enrollment questions to ask and the number of challenge questions to present on the login page.
Enrolling Users with RSA Adaptive Authentication Server

Users must be provisioned in the RSA Adaptive Authentication database to use adaptive authentication for authentication. Users are added to the RSA Adaptive Authentication database when they log in the first time with their user name and password. Depending on how you configured RSA Adaptive Authentication in the service, when users log in, they can be asked to provide their email address, phone number, text messaging service number (SMS), or they might be asked to set up responses to challenge questions.

**Note** RSA Adaptive Authentication does not allow for international characters in user names. If you intend to allow multi-byte characters in the user names, contact RSA support to configure RSA Adaptive Authentication and RSA Authentication Manager.

Configure RSA Adaptive Authentication in Unified Access Gateway

To configure RSA Adaptive Authentication on the service, you enable RSA Adaptive Authentication; select the adaptive authentication methods to apply, and add the Active Directory connection information and certificate.

**Prerequisites**
- RSA Adaptive Authentication correctly configured with the authentication methods to use for secondary authentication.
- Details about the SOAP endpoint address and the SOAP user name.
- Active Directory configuration information and the Active Directory SSL certificate available.

**Procedure**
1. In the admin UI Configure Manually section, click **Select**.
2. In the General Settings Authentication Settings section, click **Show**.
3. Click the gearbox in the RSA Adaptive Authentication line.
4. Select the appropriate settings for your environment.

**Note** An asterisk indicates a required field. The other fields are optional.

<table>
<thead>
<tr>
<th>Option</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Enable RSA AA Adapter</td>
<td>Change NO to YES to enable RSA Adaptive Authentication.</td>
</tr>
<tr>
<td>Name*</td>
<td>The name is rsaaa-auth.</td>
</tr>
<tr>
<td>SOAP Endpoint*</td>
<td>Enter the SOAP endpoint address for integration between the RSA Adaptive Authentication adapter and the service.</td>
</tr>
<tr>
<td>SOAP Username*</td>
<td>Enter the user name and password that is used to sign SOAP messages.</td>
</tr>
<tr>
<td>SOAP Password*</td>
<td>Enter the RSA Adaptive Authentication SOAP API password.</td>
</tr>
<tr>
<td>Option</td>
<td>Description</td>
</tr>
<tr>
<td>-------------------------------</td>
<td>-----------------------------------------------------------------------------</td>
</tr>
<tr>
<td>RSA Domain</td>
<td>Enter the domain address of the Adaptive Authentication server.</td>
</tr>
<tr>
<td>Enable OOB Email</td>
<td>Select YES to enable out-of-band authentication that sends a one-time passcode to the end user by way of an email message.</td>
</tr>
<tr>
<td>Enable OOB SMS</td>
<td>Select YES to enable out-of-band authentication that sends a one-time passcode to the end user by way of a SMS text message.</td>
</tr>
<tr>
<td>Enable SecurID</td>
<td>Select YES to enable SecurID. Users are asked to enter their RSA token and passcode.</td>
</tr>
<tr>
<td>Enable Secret Question</td>
<td>Select YES if you are going to use enrollment and challenge questions for authentication.</td>
</tr>
<tr>
<td>Number Enrollment Questions*</td>
<td>Enter the number of questions the user will need to setup when they enroll in the Authentication Adapter server.</td>
</tr>
<tr>
<td>Number Challenge Questions*</td>
<td>Enter the number of challenge questions users must answer correctly to login.</td>
</tr>
<tr>
<td>Number of authentication attempts allowed*</td>
<td>Enter the number of times to display challenge questions to a user trying to log in before authentication fails.</td>
</tr>
<tr>
<td>Type of Directory*</td>
<td>The only directory supported is Active Directory.</td>
</tr>
<tr>
<td>Use SSL</td>
<td>Select YES if you use SSL for your directory connection. You add the Active Directory SSL certificate in the Directory Certificate field.</td>
</tr>
<tr>
<td>Server Host*</td>
<td>Enter the Active Directory host name.</td>
</tr>
<tr>
<td>Server Port</td>
<td>Enter the Active Directory port number.</td>
</tr>
<tr>
<td>Use DNS Service Location</td>
<td>Select YES if DNS service location is used for directory connection.</td>
</tr>
<tr>
<td>Base DN</td>
<td>Enter the DN from which to start account searches. For example, OU=myUnit,DC=myCorp,DC=com.</td>
</tr>
<tr>
<td>Bind DN*</td>
<td>Enter the account that can search for users. For example, CN=binduser,OU=myUnit,DC=myCorp,DC=com.</td>
</tr>
<tr>
<td>Bind Password</td>
<td>Enter the password for the Bind DN account.</td>
</tr>
<tr>
<td>Search Attribute</td>
<td>Enter the account attribute that contains the username.</td>
</tr>
<tr>
<td>Directory certificate</td>
<td>To establish secure SSL connections, add the directory server certificate to the text box. In the case of multiple servers, add the root certificate of the certificate authority.</td>
</tr>
<tr>
<td>Use STARTTLS</td>
<td>Change NO to YES to use STARTTLS.</td>
</tr>
</tbody>
</table>

5. Click Save.

**Generate Unified Access Gateway SAML Metadata**

You must generate SAML metadata on the Unified Access Gateway appliance and exchange metadata with the server to establish the mutual trust required for smart card authentication.

The Security Assertion Markup Language (SAML) is an XML-based standard that is used to describe and exchange authentication and authorization information between different security domains. SAML passes information about users between identity providers and service providers in XML documents called SAML assertions. In this scenario, Unified Access Gateway is the identity provider and the server is the service provider.
Prerequisites

- Configure the clock (UTC) on the Unified Access Gateway appliance so that the appliance has the correct time. For example, open a console window on the Unified Access Gateway virtual machine and use arrow buttons to select the correct time zone. Also verify that the ESXi host's time is synchronized with an NTP server. Verify that VMware Tools, which is running in the appliance virtual machine, synchronizes the time on the virtual machine with the time on the ESXi host.

**Important** If the clock on the Unified Access Gateway appliance does not match the clock on the server host, smart card authentication might not work.

- Obtain a SAML signing certificate that you can use to sign the Unified Access Gateway metadata.

  **Note** VMware recommends that you create and use a specific SAML signing certificate when you have more than one Unified Access Gateway appliance in your setup. In this case, all appliances must be configured with the same signing certificate so that the server can accept assertions from any of the Unified Access Gateway appliances. With a specific SAML signing certificate, the SAML metadata from all the appliances is the same.

- If you have not done so already, convert the SAML signing certificate to PEM-format files and convert the .pem files to one-line format. See Convert Certificate Files to One-Line PEM Format.

Procedure

1. In the admin UI Configure Manually section, click Select.
2. In the Advanced Settings section, click the SAML Identity Provider Settings gearbox icon.
3. Select the Provide Certificate check box.
4. To add the Private Key file, click Select and browse to the private key file for the certificate.
5. For add the Certificate Chain file, click Select and browse to the certificate chain file.
6. Click Save.
7. In the Hostname text box, enter the hostname and download the identity provider settings.

Creating a SAML Authenticator Used by Other Service Providers

After you generate the SAML metadata on the Unified Access Gateway appliance, you can copy that data to the back-end service provider. Copying this data to the service provider is part of the process of creating a SAML authenticator so that Unified Access Gateway can be used as an identity provider.

For a Horizon Air server, see the product documentation for specific instructions.
Copy Service Provider SAML Metadata to Unified Access Gateway

After you create and enable a SAML authenticator so that Unified Access Gateway can be used as an identity provider, you can generate SAML metadata on that back-end system and use the metadata to create a service provider on the Unified Access Gateway appliance. This exchange of data establishes trust between the identity provider (Unified Access Gateway) and the back-end service provider, such as View Connection Server.

Prerequisites

Verify that you have created a SAML authenticator for Unified Access Gateway on the back-end service provider server.

Procedure

1. Retrieve the service provider SAML metadata, which is generally in the form of an XML file.
   
   For instructions, refer to the documentation for the service provider.

   Different service providers have different procedures. For example, you must open a browser and enter a URL such as: https://connection-server.example.com/SAML/metadata/sp.xml

   You can then use a Save As command to save the Web page to an XML file. The contents of this file begin with the following text:

   ```xml
   <md:EntityDescriptor xmlns:md="urn:oasis:names:tc:SAML:2.0:metadata" ...
   ```

2. In the Unified Access Gateway admin UI Configure Manually section, click Select.

3. In the Advanced Settings section, click the SAML Server Provider Settings gearbox icon.

4. In the Service Provider Name text box, enter the service provider name.

5. In the Metadata XML text box, paste the metadata file you created in step 1.

6. Click Save.

Unified Access Gateway and the service provider can now exchange authentication and authorization information.
Troubleshooting Unified Access Gateway Deployment

You can use a variety of procedures to diagnose and fix problems that you encounter when you deploy Unified Access Gateway in your environment.

You can use troubleshooting procedures to investigate the causes of such problems and attempt to correct them yourself, or you can obtain assistance from VMware Technical Support.

This chapter includes the following topics:

- Monitoring the Health of Deployed Services
- Troubleshooting Deployment Errors
- Troubleshooting Errors: Identity Bridging
- Troubleshooting Errors: Cert-to-Kerberos
- Troubleshooting Endpoint Compliance
- Troubleshooting Certificate Validation in the Admin UI
- Troubleshooting Firewall and Connection Issues
- Troubleshooting Root Login Issues
- Collecting Logs from the Unified Access Gateway Appliance
- Export Unified Access Gateway Settings

Monitoring the Health of Deployed Services

You can quickly see that services you deployed are configured, up and running successfully from the admin UI for Edge Settings.
Figure 7-1. Health Check

A circle displays before the service. The color coding is as follows.

- Blank circle - The setting is not configured.
- A red circle - service is down.
- An amber circle - The service is partially running.
- A green circle - The service is running without any issues.

Troubleshooting Deployment Errors

You might experience difficulty when you deploy Unified Access Gateway in your environment. You can use various procedures for diagnosing and fixing problems with your deployment.

Security Warning When Running Scripts Downloaded from Internet

Verify that the PowerShell script is the script you intend to run, and then from the PowerShell console, run the following command:

```
unblock-file .\uagdeploy.ps1
```

**ovftool command not found**

Verify that you have installed the OVF Tool software on your Windows machine and that it is installed in the location expected by the script.

**Invalid Network in Property netmask1**

The message might state netmask0, netmask1, or netmask2. Check that a value has been set in the INI file for each of the three networks netInternet, netManagementNetwork, and netBackendNetwork.
Warning Message About the Operating System Identifier Being Not Supported

The warning message displays that the specified operating system identifier SUSE Linux Enterprise Server 12.0 64-bit (id: 85) is not supported on the selected host. It is mapped to the following OS identifier: Other Linux (64-bit).

Ignore this warning message. It is mapped to a supported operating system automatically.

Locator does not refer to an object error

The error notifies that the target= value that is used by vSphere OVF Tool is not correct for your vCenter Server environment. Use the table listed in https://communities.vmware.com/docs/DOC-30835 for examples of the target format used to refer to a vCenter host or cluster. The top level object is specified as follows:

```target=vi://administrator@vsphere.local:PASSWORD0192.168.0.21/```

The object now lists the possible names to use at the next level.

```target=vi://administrator@vsphere.local:PASSWORD0192.168.0.21/Datacenter1/
target=vi://administrator@vsphere.local:PASSWORD0192.168.0.21/Datacenter1/host
or
target=vi://administrator@vsphere.local:PASSWORD0192.168.0.21/Datacenter1/host/Cluster1/
target=vi://administrator@vsphere.local:PASSWORD0192.168.0.21/Datacenter1/host/esxhost1```

The folder names, hostnames, and cluster names used in the target are case-sensitive.

Error message: Unable to retrieve client certificate from session: sessionId

- Check that the user certificate is installed properly in the browser.
- Check that the default TLS protocol versions 1.1 and 1.2 are enabled on the browser and on Unified Access Gateway.

Unable to Deploy the Unified Access Gateway ova Using VMware vSphere Web Client Launched on the Chrome Browser

You must install the client integration plugin on the browser you use to deploy an ova file on the vSphere Web Client. After installing the plugin on the Chrome browser, an error message displays indicating that the browser is not installed and will not allow you to enter the ova file URL in the source location. This is a problem with the Chrome browser and is not related to the Unified Access Gateway ova. It is recommended that you use a different browser to deploy the Unified Access Gateway ova.
Unable to Deploy the Unified Access Gateway ova Using VMware vSphere HTML4/5 Web Client

You might run into errors such as Invalid value specified for property. This problem is not related to the Unified Access Gateway ova. It is recommended that you use the vSphere FLEX client instead to deploy the ova.

Unable to Deploy the Unified Access Gateway ova Using VMware vSphere 6.7 HTML5 Web Client

You may find that there are missing fields on the Deployment Properties page in the VMware vSphere 6.7 HTML5 Web Client. This problem is not related to the Unified Access Gateway ova. It is recommended that you use the vSphere FLEX client instead to deploy the ova.

Cannot Launch XenApp from Chrome From VMware Identity Manager

After deploying Unified Access Gateway as a web reverse proxy from VMware Identity Manager, you may not be able to launch XenApp from the Chrome Browser.

Follow the steps below to resolve this issue.

1. Use the following REST API to disable the feature flag orgUseNonNPAPIForCitrixLaunch from VMware Identity Manager service.

   ```
   PUT https://fqdn/SAAS/jersey/manager/api/tenants/settings?tenantId=tenantname
   { "items": [ {"name":"orgUseNonNPAPIForCitrixLaunch","value": "false"} ] }
   ```

   with the following two headers:
   - Content-Type application/vnd.vmware.horizon.manager.tenants.tenant.config.list+json
   - Authorization HZN value_of_HZN_cookie_for_admin_user

2. Wait for 24 hours for the change to take effect or restart the VMware Identity Manager service.

   - To restart the service on Linux, log in to the virtual appliance and run the following command:
     ```
     service horizon-workspace restart
     ```
   
   - To restart the service on Windows, run the following script:
     ```
     install_dir\usr\local\horizon\scripts\horizonService.bat restart
     ```

Troubleshooting Errors: Identity Bridging

You might experience difficulty when you configure Certificate to Kerberos or SAML-to-Kerberos in your environment. You can use a variety of procedures for diagnosing and fixing these problems.

Monitoring the health of KDC server and backend application server.

You can quickly see that services you deployed are configured, up and running successfully from the admin UI for Edge Settings.
Figure 7-2. Health Check - Reverse Proxy Settings

A circle displays before the service. The color coding is as follows.

- **Red Circle**: If the status is Red, it could mean one of the following.
  - Connectivity issues between Unified Access Gateway and Active Directory
  - Port blocking issues between Unified Access Gateway and Active Directory.
  
  **Note**: Ensure that both TCP and UDP port 88 is opened in the Active Directory machine.

- **Green Circle**: If the status is Green, it means that the Unified Access Gateway is able to log in to the Active Directory with the credentials provided in keytab file.

**Error creating Kerberos context: clock skew too great**

This error message:

```
ERROR:"wsportal.WsPortalEdgeService[createKerberosLoginContext: 119][39071f3d-9363-4e22-a8d9-5e288ac800fe]: Error creating kerberos context.
Identity bridging may not work
javax.security.auth.login.LoginException: Clock skew too great"
```

displays when the Unified Access Gateway time and the AD server time are significantly out of sync. Reset the time on the AD server to match the exact UTC time on Unified Access Gateway.

**Error creating Kerberos context: name or service not known**

This error message:

```
wsportal.WsPortalEdgeService[createKerberosLoginContext: 133][]: Error creating kerberos context.
Identity bridging may not work
javax.security.auth.login.LoginException: Name or service not known
```
displays when the Unified Access Gateway is unable to reach the configured realm or unable to connect to KDC with the user details in the keytab file. Confirm the following:

- the keytab file is generated with the correct SPN user account password and uploaded to Unified Access Gateway
- the back end application IP address and hostname are added correctly in host entries.

**Error in receiving Kerberos token for user: user@domain.com, error: Kerberos Delegation Error: Method name: gss_acquire_cred_impersonate_name: Unspecified GSS failure. Minor code may provide more information**

"Kerberos Delegation Error: Method name: gss_acquire_cred_impersonate_name: Server not found in Kerberos database"

If this message displays, check if:

- Trust between the domains is working.
- Target SPN name is configured correctly.

**Troubleshooting Errors: Cert-to-Kerberos**

You might experience difficulty when you configure Cert-to-Kerberos in your environment. You can use a variety of procedures for diagnosing and fixing these problems.

**Error Message: Internal error. Please contact your administrator**

Check the /opt/vmware/gateway/logs/authbroker.log for the message

"OSCP validation of CN=clientCert, OU=EUC, O=<org name>, ST=<state name>, C=IN failed with "Could not send OCSP request to responder: Connection refused (Connection refused), will attempt CRL validation""

This indicates that the OCSP URL configured in "X.509 Certificate" is not reachable or incorrect.

**Error when OCSP certificate is invalid**

"revocation.RevocationCheck: OSCP validation of CN=clientCert failed with "Could not verify signing certificate for OCSP responder:http://asdkad01/ocsp". will attempt CRL validation."

displays when an invalid certificate for OCSP is uploaded or if the OCSP certificate is revoked.
Error when OCSP response verification fails


sometimes displays when OCSP response verification fails.

Error Message: unable to retrieve client certificate from session: <sessionId>

If this message displays:

- Check the X.509 certificate settings and determine whether or not it is configured
- If X.509 certificate settings is configured: check the client certificate installed on the client side browser to see if is issued by the same CA uploaded in the field "Root and Intermediate CA Certificates" in the X.509 certificate settings.

Troubleshooting Endpoint Compliance

You might experience difficulty when you deploy the Endpoint Compliance Check Provider in your environment. You can use a variety of procedures for diagnosing and fixing problems with your deployment.

**Note** Esmanager.log logs info about the MAC address of the device that is used for compliance check. This is useful in identifying the MAC address used for endpoint compliance check if the device has more than one NIC or switch to different networks.

Unified Access Gateway displays "Bad client credentials"

Unified Access Gateway makes the OPSWAT API call to validate the client-key and client secret provided. If the credentials are not correct then the settings are not saved, resulting in a Bad client credentials error.

Verify that the correct client key and client secret are in the Username and Password fields.

To generate client credentials, register your application here https://gears.opswat.com/o/app/register.

Unified Access Gateway displays "DNS is not able to resolve the host https://gears.opswat.com"

Use the ping command to discover the IP address for gears.opswat.com for your region.
Then, use the IP address from the ping command to create a \texttt{/etc/hosts} entry for \url{https://gears.opswat.com}. Navigate to Horizon settings from the Admin UI and provide the value in \textbf{Host Entries} for the View edge service.

**Unified Access Gateway displays “The request timed out while connecting to the host https://gears.opswat.com”**

This can happen if the host entry of \texttt{gears.opswat.com} is configured incorrectly in UAG or \url{https://gears.opswat.com} does not accept the connect request.

### Troubleshooting Certificate Validation in the Admin UI

If you encounter errors when validating the PEM format of a certificate, look up the error message here for more information.

Here is a list of possible scenarios where errors are generated.

<table>
<thead>
<tr>
<th>Error</th>
<th>Issue</th>
</tr>
</thead>
<tbody>
<tr>
<td>Invalid PEM format. Could be due to wrong BEGIN format. See log for more details.</td>
<td>The PrivateKey BEGIN certificate is invalid.</td>
</tr>
<tr>
<td>Invalid PEM format. Exception message: -----END RSA PRIVATE KEY not found. See log for more details.</td>
<td>The PrivateKey END certificate is invalid.</td>
</tr>
<tr>
<td>Invalid PEM format. Exception message: problem creating RSA private key: java.lang.IllegalArgumentException: failed to construct sequence from byte[]. corrupted stream - out of bounds length found. See log for more details.</td>
<td>Thee PrivateKey in the certificate is corrupted.</td>
</tr>
<tr>
<td>Failed to parse certificates from PEM string. See log for more details.</td>
<td>The PublicKey BEGIN certificate is invalid.</td>
</tr>
<tr>
<td>Malformed PEM data encountered. See log for more details.</td>
<td>The PublicKey END certificate is invalid.</td>
</tr>
<tr>
<td>Malformed PEM data encountered. See log for more details.</td>
<td>The PublicKey in the certificate is corrupted.</td>
</tr>
<tr>
<td>There are no target/end certificates to build the chaining.</td>
<td>There is no target/end certificate.</td>
</tr>
<tr>
<td>Not able to build cert chain path, all target certs are invalid.</td>
<td>There is no certificate chain to build.</td>
</tr>
<tr>
<td>Not able to build cert chain path, CertificateExpiredException:</td>
<td>The certificate has expired.</td>
</tr>
<tr>
<td></td>
<td>certificate expired on 20171206054737GMT+00:00. See log for more details.</td>
</tr>
</tbody>
</table>

### Troubleshooting Firewall and Connection Issues

You can monitor, test, and troubleshoot network problems such as firewall and connection issues from your Unified Access Gateway instance with various tools and commands such as \texttt{tcpdump} and \texttt{curl}. 
Install and Run tcpdump

tcpdump is a command-line tool that you can use to analyze TCP packets for troubleshooting and testing purposes.

If you have not installed tcpdump on your Unified Access Gateway instance, run the following command from the command-line to install tcpdump:

```
/etc/vmware/gss-support/install.sh
```

The following examples show tcpdump usage:

- Run the following commands to monitor traffic over specific ports.

  ```
  Note: If you specify port 8443, ensure that UDP 8443 is not blocked by an outer firewall.
  ```

  a. tcpdump -i eth0 -n -v udp port 8443
  b. tcpdump -i eth0 -n -v tcp port 8443
  c. tcpdump -i any -n -v port 22443

- Run the following commands to trace the packets that are coming to and from the RADIUS server to Unified Access Gateway:

  ```
  nslookup <radius-server-hostname>
  tracepath <radius-server-hostname>
  tcpdump -i any -n -v port 1812
  ```

- Run the following commands to trace the packets that are coming to and from the RSA SecurID server to Unified Access Gateway.

  ```
  nslookup <rsa-auth-server-hostname>
  tracepath <rsa-auth-server-hostname>
  ```

Using the curl command

You can also use the curl command to retrieve information about network connections.

- Run the following command to test the connection to a back end connection server or a web server:

  ```
  curl -v -k https://<hostname-or-ip-address>:443/
  ```

  You can view the back end server connection issues in the esmanager.log file:

  ```
  07/14 07:29:03,882[nioEventLoopGroup-7-1]ERROR
  view.ViewEdgeService[onFailure: 165][]: Failed to resolve hostname address in proxyDestinationUrl:xref:mbxxx-cs.xyz.in
  ```
- You cannot test connections to back end virtual desktops such as PCoIP 4172 and Blast 22443 using tcpdump as the desktops do not listen on these port numbers until a session is ready. See the logs to look at possible connection failures on these ports.

- Run the following command for Horizon Framework Channel TCP connection:
  ```bash
curl -v telnet://<virtualdesktop-ip-address>:32111
  ```

- Run the following command for Horizon MMR/CDR TCP connection:
  ```bash
curl -v telnet://<virtualdesktop-ip-address>:9427
  ```

- Run the following command to test port connectivity from Unified Access Gateway to the virtual desktop. Ensure that the session to the virtual desktop is active before running this command.
  ```bash
curl -v telnet://<virtualdesktop-ip-address>:22443
  ```

**PowerShell Commands**

Run the following commands from the PowerShell command-line to monitor connectivity for specific ports:

1. Test-NetConnection <uag-hostname-or-ip-address> -port 443
2. Test-NetConnection <uag-hostname-or-ip-address> -port 8443
3. Test-NetConnection <uag-hostname-or-ip-address> -port 4172

**Troubleshooting Root Login Issues**

If you log in as root to the Unified Access Gateway console with the correct username and password and get a "Login incorrect" error, check for keyboard mapping issues and reset the root password.

There are several reasons why a login error occurs:

- The keyboard used does not map certain password characters correctly according to the keyboard definition of Unified Access Gateway.
- The password expired. The root password expires 365 days after deploying the OVA file.
- The password was not set correctly when the appliance was deployed. This is a known issue with older versions of Unified Access Gateway.
- The password has been forgotten.

To test that the keyboard is mapping characters correctly, try entering the password in response to the "Login:" username prompt. This allows you to see each password character and may identify where characters are being misinterpreted.
For all other causes, reset the root password of the appliance.

**Note**  To reset the root password you must:
- have login access to vCenter
- know the vCenter login password
- have permission to access the appliance console

If you have set up a Grub 2 boot loader menu password for the appliance, you will need to enter this as part of this procedure.

**Procedure**

1. Restart the appliance from vCenter and immediately connect to the console.
2. As soon as the Photon OS splash screen appears, press e to enter GNU GRUB edit menu
3. In the GNU GRUB edit menu, go to the end of the line that starts with `linux`, add a space and type `/$photon_linux root=$rootpartition rw init=/bin/bash`. After adding these values, GNU GRUB edit menu should look exactly like this:

```
setparams 'Photon'
linux /$photon_linux root=$rootpartition rw init=/bin/bash
if [ -f /$photon_initrd ] then
    initrd /$photon_initrd
fi
```

**Note**  For a FIPS appliance, `fips=1` should be retained at the end of the line as displayed.
4 Press the F10 key and at the bash command prompt enter `passwd` to change the password.

   passwd  New password: Retype new password: passwd: password updated successfully

5 At the command prompt, unmount the file system `unmount/`

6 Reboot the appliance `reboot -f`
   - After the appliance boots, log in as root with the newly set password.

**About the Grub2 Password**

You can use the Grub2 password for your root login.

Starting with Unified Access Gateway 3.1, the Grub2 edit password will be set by default.

The username is root and the password is the same as the root password which you configured while deploying Unified Access Gateway. This password will never be reset unless you explicitly reset it by logging in to the machine.

**Note** Manually changing the root password by logging into the machine using any commands will not reset the Grub2 password. They are mutually exclusive. Only during deployment will the same password be set for both (with UAG 3.1 version and later).

**Collecting Logs from the Unified Access Gateway Appliance**

Download the `UAG-log-archive.zip` file from the Support Settings section in the Admin UI. The ZIP file contains all logs from your Unified Access Gateway appliance.

**Set the Logging Level**

You can manage the log level settings from the admin UI. Go to the **Support Settings** page and select **Log Level Settings**. The log levels that can be generated are INFO, WARNING, ERROR, and DEBUG. The logging level is set by default to INFO.

A description of the type of information that the log levels collect follows.
### Table 7-1. Logging Levels

<table>
<thead>
<tr>
<th>Level</th>
<th>Type of Information Collected</th>
</tr>
</thead>
<tbody>
<tr>
<td>INFO</td>
<td>The INFO level designates information messages that highlight the progress of the service.</td>
</tr>
<tr>
<td>ERROR</td>
<td>The ERROR level designates error events that might still allow the service to continue running.</td>
</tr>
<tr>
<td>WARNING</td>
<td>The WARNING level designates potentially harmful situations but are usually recoverable or can be ignored.</td>
</tr>
<tr>
<td>DEBUG</td>
<td>Designates events that would generally be useful to debug problems, to view or manipulate the internal state of the appliance, and to test the deployment scenario in your environment.</td>
</tr>
</tbody>
</table>

### Collect Logs

Download the log ZIP files from the Support Settings section of the admin UI. 

These log files are collected from the `/opt/vmware/gateway/logs` directory on the appliance.

The following tables contain descriptions of the various files included in the ZIP file.

### Table 7-2. Files That Contain System Information to Aid in Troubleshooting

<table>
<thead>
<tr>
<th>File Name</th>
<th>Description</th>
<th>Linux Command (if applicable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>ipv4-forwardrules</td>
<td>IPv4 forwarding rules configured on the appliance.</td>
<td></td>
</tr>
<tr>
<td>df.log</td>
<td>Contains information about disk space usage on the appliance.</td>
<td><code>df -a -h --total</code></td>
</tr>
<tr>
<td>netstat.log</td>
<td>Contains information on open ports and existing TCP connections.</td>
<td><code>netstat -anop</code></td>
</tr>
<tr>
<td>netstat-s.log</td>
<td>Network stats (bytes sent/received etc) from the time of creation of the appliance.</td>
<td><code>netstat -s</code></td>
</tr>
<tr>
<td>netstat-r.log</td>
<td>Static routes created on the appliance.</td>
<td><code>netstat -r</code></td>
</tr>
<tr>
<td>uag_config.json, uag_config.ini</td>
<td>Entire configuration of the Unified Access Gateway appliance, showing all of the settings as a json and an ini file.</td>
<td></td>
</tr>
<tr>
<td>ps.log</td>
<td>Includes processes running at the time of downloading logs.</td>
<td><code>ps -elf --width 300</code></td>
</tr>
<tr>
<td>ifconfig.log</td>
<td>Network interface configuration for the appliance.</td>
<td><code>ifconfig -a</code></td>
</tr>
<tr>
<td>free.log</td>
<td>RAM availability at the time of downloading logs.</td>
<td><code>free</code></td>
</tr>
<tr>
<td>top.log</td>
<td>Sorted list of processes by memory usage at the time of downloading logs.</td>
<td><code>top -b -o %MEM -n 1</code></td>
</tr>
<tr>
<td>iptables.log</td>
<td>IP tables for IPv4.</td>
<td><code>iptables-save</code></td>
</tr>
<tr>
<td>ip6tables.log</td>
<td>IP tables for IPv6.</td>
<td><code>ip6tables-save</code></td>
</tr>
<tr>
<td>w.log</td>
<td>Information about uptime, the users currently on the machine, and their processes.</td>
<td><code>w</code></td>
</tr>
<tr>
<td>systemctl.log</td>
<td>List of services currently running on the appliance</td>
<td><code>systemctl</code></td>
</tr>
</tbody>
</table>
### Table 7.3. Log Files for Unified Access Gateway

<table>
<thead>
<tr>
<th>File Name</th>
<th>Description</th>
<th>Linux Command (if applicable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>supervisord.log</td>
<td>Supervisor (manager for the Edge Service manager, admin and a AuthBroker)</td>
<td></td>
</tr>
<tr>
<td>esmanager-x.log, esmanager-std-</td>
<td>Edge service manager log(s), showing back end processes performed on the</td>
<td></td>
</tr>
<tr>
<td>out.log</td>
<td>appliance.</td>
<td></td>
</tr>
<tr>
<td>audit.log</td>
<td>Audit log for all admin user operations.</td>
<td></td>
</tr>
<tr>
<td>authbroker.log</td>
<td>Contains log messages from the AuthBroker process, which handles Radius and</td>
<td></td>
</tr>
<tr>
<td></td>
<td>RSA SecurID authentication.</td>
<td></td>
</tr>
<tr>
<td>admin.log, admin-std-out.log</td>
<td>Admin GUI logs. Contains log messages from the process that provides the</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Unified Access Gateway REST API on port 9443.</td>
<td></td>
</tr>
<tr>
<td>bsg.log</td>
<td>Contains log messages from the Blast Secure Gateway.</td>
<td></td>
</tr>
<tr>
<td>SecurityGateway_xxx.log</td>
<td>Contains log messages from the PCoIP Secure Gateway.</td>
<td></td>
</tr>
<tr>
<td>utserver.log</td>
<td>Contains log messages from the UDP Tunnel Server.</td>
<td></td>
</tr>
<tr>
<td>activeSessions.csv</td>
<td>List of active Horizon or WRP sessions.</td>
<td></td>
</tr>
<tr>
<td>haproxy.conf</td>
<td>Contains HA proxy configuration parameters for TLS port sharing.</td>
<td></td>
</tr>
<tr>
<td>vami.log</td>
<td>Contains log messages from running vami commands to set network interfaces</td>
<td></td>
</tr>
<tr>
<td></td>
<td>during deployment.</td>
<td></td>
</tr>
<tr>
<td>aw-content-gateway.log, aw-</td>
<td>Contains log messages from AirWatch Content Gateway.</td>
<td></td>
</tr>
<tr>
<td>content-gateway-wrapper.log,</td>
<td>aw-0.content-gateway-YYYY-mm.dd.log.zip</td>
<td></td>
</tr>
<tr>
<td>tunnel.log</td>
<td>Contains log messages from the tunnel process that is used as part of the</td>
<td></td>
</tr>
<tr>
<td></td>
<td>XML API processing. You must have Tunnel enabled in the Horizon settings in</td>
<td></td>
</tr>
<tr>
<td></td>
<td>order see this log.</td>
<td></td>
</tr>
<tr>
<td>admin-zookeeper.log</td>
<td>Contains log messages related to the data layer that is used to store the</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Unified Access Gateway configuration.</td>
<td></td>
</tr>
<tr>
<td>tunnel-snap.tar.gz</td>
<td>Tarball containing VMware Tunnel server and proxy logs.</td>
<td></td>
</tr>
<tr>
<td>aw-appliance-agent.log</td>
<td>Appliance agent (for starting up AirWatch services) logs.</td>
<td></td>
</tr>
</tbody>
</table>

The log files that end in "-std-out.log" contain the information written to stdout of various processes and are usually empty files.

### Export Unified Access Gateway Settings

Export Unified Access Gateway configuration settings in both JSON and INI formats from the Admin UI.
You can export all Unified Access Gateway configuration settings and save them in JSON or INI format. You can use the exported INI file to deploy Unified Access Gateway using Powershell scripts.

**Procedure**

1. Navigate to **Support Settings > Export** Unified Access Gateway Settings.

2. Click **JSON** or **INI** to export the Unified Access Gateway settings in the format you want. To save the settings in both formats, click the **Log Archive** button.

   The files are saved by default in your Downloads folder.